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Rutland County Council

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Ladies and Gentlemen,

A meeting of the **SPECIAL JOINT PLACES AND RESOURCES SCRUTINY PANEL** will be held in the Council Chamber, Catmose, Oakham, Rutland, LE15 6HP on **Wednesday, 18th January, 2017** commencing at 6.00 pm when it is hoped you will be able to attend.

Yours faithfully

Helen Briggs
Chief Executive

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A G E N D A

APOLOGIES

1) DECLARATIONS OF INTEREST

In accordance with the Regulations, Members are invited to declare any personal or prejudicial interests they may have and the nature of those interests in respect of items on this Agenda and/or indicate if Section 106 of the Local Government Finance Act 1992 applies to them.

2) PETITIONS, DEPUTATIONS AND QUESTIONS

To receive any petitions, deputations and questions received from Members of the Public in accordance with the provisions of Procedure Rule 217.

The total time allowed for this item shall be 30 minutes. Petitions, declarations and questions shall be dealt with in the order in which they are received. Question may also be submitted at short notice by giving a written copy to the Committee Administrator 15 minutes before the start of the meeting.

The total time allowed for questions at short notice is 15 minutes out of the total time of 30 minutes. Any petitions, deputations and questions that have been submitted with prior formal notice will take precedence over questions submitted at short notice. Any questions that are not considered within the

time limit shall receive a written response after the meeting and be the subject of a report to the next meeting.

3) QUESTIONS WITH NOTICE FROM MEMBERS

To consider any questions with notice from Members received in accordance with the provisions of Procedure Rule No. 219 and No. 219A.

SCRUTINY

Scrutiny provides the appropriate mechanism and forum for members to ask any questions which relate to this Scrutiny Panel's remit and items on this Agenda.

4) REVENUE AND CAPITAL BUDGET 2017-18 AND MEDIUM TERM FINANCIAL PLAN

To receive Report No. 8/2017 from the Director for Resources.

Members are requested to bring their copy, distributed under separate cover.

- The above report was presented to Cabinet on 10 January 2017 and the recommendations within the report were approved.
- Cabinet has requested that Scrutiny review and comment on proposals before the Cabinet on 14 February 2017 so as to inform the decision-making process.
- The report and its proposals may be amended following the outcome of the Scrutiny Panel. Scrutiny is therefore asked to consider the report and provide feedback to the Portfolio Holder and Director.

(Pages 5 - 116)

5) FEES AND CHARGES 2017/18

To receive Report No. 23/2017 from the Director for Resources.

Members are requested to bring their copy, distributed under separate cover.

- The above report is to be considered by Cabinet on 17 January 2017.
- Cabinet has requested that Scrutiny review and comment on proposals before the Cabinet on 14 February 2017 so as to inform the decision-making process.
- The report and its proposals may be amended following the outcome of the Scrutiny Panel. Scrutiny is therefore asked to consider the report and provide feedback to the Portfolio Holder and Director.

(Pages 117 - 146)

DISTRIBUTION

MEMBERS OF THE PLACES AND RESOURCES SCRUTINY PANELS:

Mr A Walters (Chairman)

Mr E Baines
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Mr B Callaghan
Mr W Cross
Mr J Lammie
Mr A Mann
Mr A Stewart
Miss G Waller

Mr N Begy
Mr K Bool
Mr G Conde
Mr J Dale
Mrs D MacDuff
Mr M Oxley
Mr K Thomas

OTHER MEMBERS FOR INFORMATION

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CABINET

10 January 2017

REVENUE AND CAPITAL BUDGET 2017/18 AND MEDIUM TERM FINANCIAL PLAN

Report of the Director for Resources

Strategic Aim:	All	
Key Decision: Yes	Forward Plan Reference: FP/260816/01	
Exempt Information	No	
Cabinet Member(s) Responsible:	Mr T C King, Leader and Portfolio Holder for Corporate Finance	
Contact Officer(s):	Saverio Della Rocca, Assistant Director (Finance)	01572 758159 sdrocca@rutland.gov.uk
	Debbie Mogg, Director for Resources	01572 758358 dmogg@rutland.gov.uk
Ward Councillors	N/A	

DECISION RECOMMENDATIONS

That Cabinet:

1) approves for consultation:

- The General Fund Budget for 2017/18 detailed in Appendix 1, section 3
- The savings proposals set out in Appendix 6
- The service pressures contained within Appendix 7
- The indicative Directorate budgets per Appendices 3 - 5
- That Council tax for 2017/18 is increased by 3.99% including a 2% social care precept
- The capital programme as detailed in Section 4 of Appendix 1

2) notes:

- The Medium Term Financial Plan at Appendix 2 and underlying assumptions
- That the funding position may change when the NNDR (business rates) tax base and local government finance settlement are finalised
- That in the final budget report, a recommendation will be made asking that the Director for Resources is given delegated authority to spend £150k on IT capital expenditure (para 4.4.2, Appendix 1)
- That additional revenue or capital expenditure may be incurred in 2017/18

funded through 2016/17 budget under spends to be carried forward via earmarked reserves. The use of reserves for budget carry forwards is not currently shown in the budget but will have no impact on the General Fund

- The estimated surplus of £196,000 on the Collection Fund as at 31 March 2017 (2.6 of Appendix 1) of which £170,000 is the Rutland share
- That in the 16/17 outturn report (Q4), a recommendation will be made to transfer a £1m of General Fund balances to earmarked reserves in line with paras 2.7.6 of Appendix 1.
- That Council will be approving the Treasury Management Strategy separately and that any implications arising from it are already covered in the draft budget.

1 PURPOSE OF THE REPORT

- 1.1 The Council is required to set a balanced budget and agree the level of Council tax for 2017/18. This report presents a draft budget for consultation prior to the budget being formally set in February 2017.

2 BACKGROUND AND MAIN CONSIDERATIONS

- 2.1 The budget for 2017/18 is set within the context of the Governments 4-year settlement offer made in November 2015 and accepted in September 2016.
- 2.2 The Autumn Statement and 17/18 Local Government Finance Settlement resulted in little new funding for the Council. The Council did receive a new adult social care grant of £136k but this was outweighed by changes to the New Homes Bonus scheme which results in estimated losses of £716k up to 20/21.
- 2.3 The reduction in New Homes Bonus funding was to some extent already anticipated as the Council's MTFP assumed a loss of £1.6m (up until 20/21) with the reduction of six year payments (of £1,540 per Band D equivalent) to four year payments. However, the introduction of a 0.4% baseline (c65 properties) below which no funding is received creates further losses of £716k as noted above.
- 2.4 The lack of new funding in the Finance Settlement coupled with the freedom Councils were given to bring forward council tax rises (for adult social care purposes) reiterates the Government's stance on local authorities needing to generate their own funding. However, it should be noted that the settlement is in draft and in 16/17 more funding was made available in the final settlement following intensive lobbying from the LGA and Rural Services Network.
- 2.5 One of the Council's aims in setting the budget is to deliver services within its MTFP. In this regard, there are two key principles that the Council must achieve over the medium term:
- First, the Council must not spend more than the resources it has available – it must set a balanced budget and one that does not rely on the ongoing use of reserves;
 - Second, the Council must ensure that its level of General Fund balances remains above the minimum level of £2m as advised by the Council's Chief Finance Officer (para 2.5 of Appendix 1 refers).

- 2.6 In the medium term, the MTFP shows the challenge facing this Council – namely that assuming the Council raises Council tax by 3.99% each year (including levying the additional 2% social care precept) it will still have to reduce net expenditure by c£2m to ensure that it is spending within its available funding. The earlier the Council takes action then the less severe it will need to be.
- 2.7 The draft revenue budget for 17/18 is therefore proposed in the acknowledgement that further reductions in net expenditure either through savings or income generation will be required in time without drastic action today.
- 2.8 The key points to note in the draft revenue budget are:
- The available funding resources to the Council is £33.615m compared to £34.121m last year with RSG reduced from £2.353m to £889k;
 - Of the funding available, only £10.3m is from government funding (this includes business rates);
 - The available funding of £33.6m assumes that Council increases council tax by 3.99% (including 2% for the social care precept);
 - The Council is using £67k from its General Fund and £108k from earmarked reserves to balance its budget;
 - The net cost of services is £33.962m, slightly lower than the 16/17 approved budget of £33.993m;
 - The 17/18 budget includes a pay award of 1%;
 - The 17/18 budget includes a contingency of £200k to meet in-year social care pressures if needed;
 - Net capital financing costs are £1.903m;
 - The budget includes £807k of new service pressures; and
 - The budget includes £732k of new savings.
- 2.9 The capital programme for 17/18 comprises:
- Capital projects already approved that will span across more than one financial year. Any projects already approved which are not yet completed will continue into 17/18;
 - Funding set aside for specific areas/projects – in these areas detailed plans will be brought forward in due course.

3 CONSULTATION

- 3.1 The Council is required to consult on the budget as set out in Section 7 of Appendix 1 and has plans in place to meet those requirements.

4 ALTERNATIVE OPTIONS

4.1 There are three key areas where the Council has choices: revenue savings/pressures, the capital programme, funding decisions and reserve levels. These are considered separately.

4.2 Revenue savings/pressures

4.2.1 Option 1 - In terms of revenue savings/pressures Members could approve all savings/pressures for consultation – this is the recommended option. Where savings have been put forward Officers are of the view that these are achievable without impacting on front line services. The budget includes service pressures most of which arise from a need to respond to statutory requirements and/or unavoidable circumstances.

4.2.2 Option 2 - Members could reject all savings/pressures – this would mean that in those areas where savings have been put forward officers would revert back to original spending plans. In light of the future funding outlook this is not advisable. In terms of pressures, then where these are included to respond to statutory requirements, Officers would need to find alternative savings either before the budget was set or in-year; otherwise it is likely that the budget would be overspent. The rejection of all proposals is not recommended.

4.2.3 Option 3 – Members could approve savings/pressures with amendments. Members would need to be mindful of the financial implications of doing this on the overall financial position.

4.3 Capital programme

4.3.1 The capital programme for 17/18 includes projects already approved by Cabinet/Council.

4.3.2 Cabinet will be asked to delegate responsibility to officers in consultation with Portfolio Holders to work up the detail of projects and incur expenditure in relation to IT projects.

4.3.3 IT infrastructure spend can be incurred on a new system or upgrading existing equipment. Delegation will be requested for reasons of expediency and to avoid bringing back requests for small value projects. Members could choose not to approve this delegation and require full Cabinet approval.

4.4 Funding

4.4.1 The MTFP includes funding assumptions. The majority are based on the professional judgement of officers taking into consideration the settlement allocation and all other available information. The one key funding decision that Full Council has to make is around whether to increase Council tax.

4.4.2 This decision is fundamental to the amount of funding the Council will have available over the next five years given the cuts in Government funding. In making these decisions, Members need to be aware of the following issues:

- The Council accepted a 4 year funding offer in September 2016. This offer included a **significant reduction in Government funding** over the four year period.
- Government's funding allocations announced in 16/17 in the four year offer assume **Councils will raise council tax** – this assumption is not changed in the Finance Settlement – there is no new core funding for Council;
- **All decisions have a cumulative impact** – for example, the 'loss' of funding by retaining Council tax at its current level may be c£800k in 17/18 but over a 4 year period the loss is in excess of £3m (even if 3.99% increases are applied from 18/19 onwards); and
- **Making savings is unlikely to compensate for funding reductions** – the MTFP already assumes substantial savings have to be made over the life of the MTFP, over £2m by 19/20.

4.4.3 It is strongly recommended that the Council increases council tax by 3.99% (including the social care precept).

4.5 Reserve levels

4.5.1 The Councils Section 151 Officer (Assistant Director – Finance) is recommending that the minimum General Fund reserve level is maintained at £2m and that £1m of existing General Fund balances are transferred to earmarked reserves (para 2.7.6 of Appendix 1 explains in detail).

4.5.2 Many authorities keep a lower level of General Reserves and set funds aside in earmarked reserves. The rationale for this is that a high level of General Fund balances can give a distorted view of financial health. As authorities know that additional pressures or liabilities are inevitable creating earmarked reserves to cover these gives a better balanced view of the real level of General Fund balances.

4.5.3 It is therefore **proposed that the £1m transfer** includes a top up of £500k to the **social care reserve and that the ceiling is increased to £1m**. Other than a £200k contingency, the MTFP includes no additional contingency for increased demand for social care. Some Councils include an amount for unpredicted demand in spend forecasts. The Council is opposed to this approach as it can discourage budget managers from taking corrective action or looking at alternative means of living within the budget. However, it is very likely that additional demand will be experienced at some point over the medium term as evidenced by the pressures in this year's budget.

4.5.4 It is also proposed that £500k is put into a new **pressures reserve** (with a ceiling of £1m) to meet the costs of price pressures (as seen with recycling costs in 16/17); uncontrollable demand for services outside of social care; and other one-off costs. As officers submit pressures for consideration in the annual budget a contribution would be made from the earmarked reserve thereby reducing the impact on the General Fund. There is no allowance in the MTFP for pressures at present.

4.5.5 Council could choose not to implement these changes. Technically, the overall

position would not change but Members would need to bear in mind that all future non-social care pressures and any social care pressures above the existing reserve level would need to be funded from General Fund reserves.

5 FINANCIAL IMPLICATIONS

- 5.1 The draft budget as presented relies on a contribution of £67k from the General Fund. As set out above, this is affordable in 17/18 but in the medium term net expenditure needs to be reduced by c£2m.

6 LEGAL AND GOVERNANCE CONSIDERATIONS

- 6.1 The Council is on course to agree its budget and set its Council Tax for 2017/18 within the timetable required by statute and the constitution.
- 6.2 Under section 25 of the Local Government Act 2003 the Section 151 Officer is required to report to the Council on the robustness of the estimates made for the purpose of setting the Council Tax and the adequacy of the proposed financial reserves. This report meets that requirement and comment is made in Appendix 1, Section 3.8.
- 6.3 The Council is also required by the Local Authorities (Funds)(England) Regulations 1992 in exercise of the powers under section 99(3) of the Local Government Finance Act 1988, to make an estimate on 15 January of the amount of the deficit or surplus on the Collection Fund as at 31st March 2016. This report sets out an indicative figure to be formally confirmed in the February budget.
- 6.4 A full list of legal and governance considerations and how the Council meets them is covered in Appendix 1, Section 8.

7 EQUALITY IMPACT ASSESSMENT (EIA)

- 7.1 In the exercise of its functions, the Council must have due regard to the Council's duty to eliminate discrimination, to advance equality of opportunity for protected groups and to foster good relations between protected groups and others.
- 7.2 The Council has completed EIA screening for all savings proposals and for the proposed tax increase (see Appendix 1, section 3.9). There are no proposals for decision on specific courses of action that could have an impact on different groups of people and therefore full EIAs are not required.

8 COMMUNITY SAFETY IMPLICATIONS

- 8.1 There are no community safety implications.

9 HEALTH AND WELLBEING IMPLICATIONS

- 9.1 There are no health and wellbeing implications.

10 CONCLUSION AND SUMMARY OF REASONS FOR THE RECOMMENDATIONS

- 10.1 The Council is required to set a balanced budget and agree the level of Council tax for 2017/18.

10.2 The draft budget for consultation is affordable within the context of the MTFP and will allow the Council to meet service aims and objectives for the coming year.

11 BACKGROUND PAPERS

11.1 There are no additional background papers to the report.

12 APPENDICES

Appendix 1	Budget Report 2016/17
Appendix 2	Medium Term Financial Plan and assumptions
Appendix 3.1	People Directorate – functional analysis
Appendix 3.2	People Directorate – subjective analysis
Appendix 4.1	Places Directorate – functional analysis
Appendix 4.2	Places Directorate – subjective analysis
Appendix 5.1	Resources Directorate – functional analysis
Appendix 5.2	Resources Directorate – subjective analysis
Appendix 6	Savings
Appendix 7	Pressures
Appendix 8	Education Services Funding
Appendix 9	Earmarked Reserves
Appendix 10	Capital programme

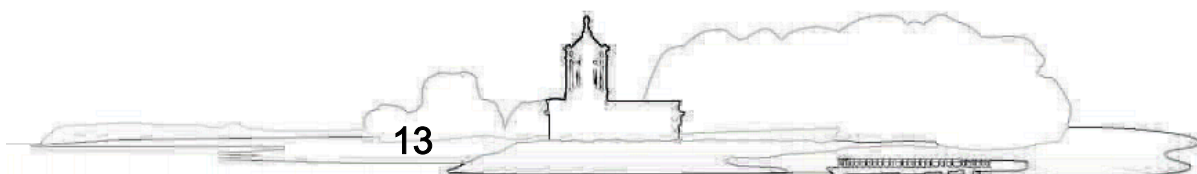
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Rutland County Council

The Budget 2017 - 18



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1 EXECUTIVE SUMMARY

1.1 Overview from s151 Officer

- 1.1.1 In December 2015 the Government offered the Council a 4-year funding settlement which was accepted in September 2016. In the 17/18 Finance Settlement, the Government confirmed the offer and therefore the Council's government core funding position is similar to that reported in the prior year in that RSG will fall to 'zero' by 19/20 with the Council handing over an additional £1m to Government in business rates. Unfortunately, the settlement brought with it no major additional funding other than an adult social care grant of £136k but it did confirm changes to New Homes Bonus which will result in further losses of funding of £716k to 20/21.
- 1.1.2 Whilst the Government is revamping the system for business rates (100% Business Rates Retention) and is reviewing the 'needs' formula and funding allocation method (Fair Funding Review), the finance settlement indicates that there is very unlikely to be additional government funding for existing duties although this Council and the LGA continue to lobby based on undoubted pressures that local government is facing. In addition to a loss of central government funding, council tax and business rates income will also be under pressure with the closure of St Georges Barracks in 2020/21.
- 1.1.3 Alongside funding cuts and uncertainty, the Council continues to experience pressure on its base budget, not from new investment, but from increased demand for existing services (adoption and fostering, children's social care and transport) and changes in the economic environment which continue to have a negative impact on costs (waste management).
- 1.1.4 The medium term funding outlook therefore remains unchanged in that Government funding will reduce over the next few years with Elected Members expected to raise revenue locally through council tax to make up the shortfall at the same time as having to oversee reductions in net spending against a backdrop of demand and cost pressures. The key change in the settlement is that Councils will be allowed to raise a social care precept of up to 3% in 17/18 and 18/19 (but still cannot exceed 6% over the next three years). This will bring forward the funding available for social care.
- 1.1.5 In the Quarter 2 MTFP, the Council estimated that by 20/21 the financial gap would be in the region of £2.8m. Following confirmation of the funding settlement, review of financial planning assumptions and the detailed budget work, the gap is now estimated at £1.92m. The Quarter 2 MTFP assumed that the Council would be using just over £1m of General Fund reserves to balance the budget in 17/18 but this has been reduced to £67k. Whilst there is still an overall funding gap, further savings proposals and investment opportunities are being developed for 18/19 and 19/20 which will reduce the gap further.
- 1.1.6 In terms of General Fund balances, the reduced 17/18 budget deficit has

had the impact of slowing down the attrition of General Fund balances. Last year, it was predicted that by 20/21 balances would be at £1.785m but now they are expected to be at £5.205m. Whilst the position is still not tenable in the medium term, the Council has more time to tackle the challenge.

- 1.1.7 For the next few years therefore the Council's remit remains the same: to work towards reducing its deficit position so that it can live within its means by:
- focusing its resources on priority areas in line with the new corporate plan;
 - continuing to ensure that it focuses on achieving value for money/best value;
 - continuing with its plans to identify and deliver savings;
 - looking for opportunities to be more commercial and generate revenue income from investments; and
 - embracing the flexibility given by the Government to raise council tax.
- 1.1.8 As noted in its efficiency plan, approved by Council in September 2016, given that the Council has already made substantial savings and that its service costs per head are the lowest amongst unitary councils, there is a low likelihood of the Council being able to meet the challenge without an impact on front line services. Future savings proposals are likely to involve some difficult decisions which may involve:
- withdrawing service provision in non-statutory areas;
 - reducing or rationalising service provision in some areas; and
 - asking stakeholders to contribute more to the cost of service delivery.
- 1.1.9 My summary of the position for the proposed 17/18 budget is as follows:
- The available funding resources to the Council is £33.615m compared to £34.121m last year with RSG reduced from £2.353m to £889k;
 - Of the funding available, only £10.3m is from government funding (this includes business rates);
 - The available funding of £33.6m assumes that Council increases council tax by 3.99% (including 2% for the social care precept);
 - The Council is using £67k from its General Fund and £108k from earmarked reserves to balance its budget;
 - The net cost of services is £33.962m, slightly lower than the 16/17 approved budget of £33.993m;

- The 17/18 budget includes a pay award of 1%;
- The 17/18 budget includes a contingency of £200k to meet in-year social care pressures if needed;
- Net capital financing costs are £1.903m;
- The budget includes £807k of new service pressures; and
- The budget includes £732k of new savings.

1.2 Key questions and answers

1.2.1 Delivering Council Services within the MTFP is a key priority for the Council. The remainder of this report gives Members answers to some of the key questions relevant to the budget setting process. Further detail can be found in individual sections.

Key questions	Status	Ref
<i>Statutory and constitutional requirements (section 8)</i>		
1. Overall Position – Is the Council on track to meet its constitutional and statutory requirements?	Statutory requirements yes, but the draft budget has been pushed back to January 2017 to give an opportunity for the detailed local government settlement to be processed.	Section 8
<i>Funding and MTFP (section 2)</i>		
2. What resource does the Council have available in 17/18 and over the next few years and how certain is it?	The Council's resources have reduced from 16/17 and are predicted to reduce further. Total available resources in 19/20 (the last year of the 4 year offer) will be less in cash terms than those available in 16/17.	2.2 and Appendix 2 MTFP
3. What level of reserves should the Council aim to retain?	It is proposed that the minimum level is retained at £2m but given the increased level of uncertainty and risk the Council will need to monitor this position. The short term position affords the Council time to reduce expenditure to match funding levels.	2.7
4. What choice does the Council have over the level of Council tax?	The Council can decide to keep Council tax at the current level or increase it by up to 4.99% (including 3% for social care). The budget assumes a 3.99% increase in council tax. Whilst Members do have a choice, not embracing a 3.99% increase would have a significant impact on balances.	2.5
5. Is the Council in a healthy financial position?	In the short term the position is stable and the budget for 17/18 has improved the financial position. The Council's current	2.8

Key questions	Status	Ref
	forecasts indicate that spending plans exceed available resources and therefore action is still required before General Fund reserves are reduced significantly.	
<i>17/18 budget (section 3)</i>		
6. What does the overall budget look like and how does it compare to prior year?	The 17/18 budget is in cash terms 0.1% lower than 16/17. In achieving this position a number of uncontrollable pressures have been absorbed.	3.2
7. Priorities – how does the proposed budget support the Council’s priorities?	The Councils spending plans continue to promote the Council’s priorities in line with the new corporate plan. The Corporate Plan includes some key financial targets which this budget contributes towards.	3.6
8. What new savings is the Council planning to make in 17/18?	The budget includes £1.5m of savings. £732k are new savings and £770k relate to savings already built into the MTFP. None of the savings are deemed to have a significant impact on front line services.	3.4
9. What pressures is the Council facing in 17/18?	The Council continues to experience pressure on its base budget (new pressures of £807k and already known pressures of £185k). Pressures arise from increased demand for services (adoption and fostering, children’s social care and transport) and cost pressures (waste management).	3.5
<i>Capital (section 4)</i>		
10. Are there any additions/amends to the current capital programme?	Most schemes continue into 17/18. Some funding, e.g. highways, has been set aside and spending plans will be presented and approved in due course.	4
<i>Consultation (section 7)</i>		
11. How will the Council consult on the budget?	Through Scrutiny Panels, on-line consultation, a meeting with local business and the local parish council forum.	7.1

2 FUNDING AND MEDIUM TERM FINANCIAL PLAN (MTFP)

2.1 Overview

2.1.1 This section sets out how the financial context for the 17/18 budget and in particular the financial position over the MTFP taking into account:

- The finance settlement and available funding (2.2);
- Funding issues and risks beyond 17/18 (2.3);

- Indicative spending plans and risks (2.4);
- Council tax choices (2.5 including the Collection Fund– 2.6); and
- Level of General Fund reserves needed (2.7).

2.1.2 The Council has a rolling MTFP where all funding assumptions and spending plans are reviewed and updated. The table below summarises how the MTFP has changed since that published at Quarter 2 and the impact this has had on the overall position. The detail is explained further in this report and the position is summarised in 2.8.

	Detail	17/18	18/19	19/20	20/21
		£m	£m	£m	£m
Pre budget report position (Q2) gap		1.0273	2.1549	2.7721	2.8313
Net cost of Services	Section 3	(0.4448)	(0.3344)	(0.4922)	(0.6068)
Misc government grant	2.2.3	(0.0645)	0.0669	0.0160	0.0160
New Homes Bonus	2.2.4	(0.0400)	0.1763	0.2597	0.3207
Better Care Fund	2.2.6	0	0	0	0
Council Tax/Social Care Precept	2.5	(0.1494)	(0.2993)	(0.4039)	(0.5054)
Collection Fund	2.6	(0.1700)	0	0	0
Rural Delivery Grant	2.2.1	0	0	0	0
Transitional grant	2.2.1	0	0	0	0
Business rates	2.2.7	(0.1080)	(0.1278)	(0.1464)	(0.1441)
RSG	2.2.1	0	(0.0307)	0	0
Earmarked reserves use	3.7	0.0169	(0.1544)	(0.0852)	0.0140
Post budget gap		0.0675	1.4515	1.9201	1.9257
Change in Gap		(0.9598)	(0.7033)	(0.8520)	(0.9056)

2.2 The finance settlement - what is the available funding and overall position for Rutland?

2.2.1 The local government finance settlement for 16/17 included a 4-year settlement offer to local councils (as set out in the table below) which the Council accepted. In the 17/18 Finance Settlement, DCLG confirmed that the figures quoted in the 'offer' have not changed (the offer was subject to an annual refresh in the event of exceptional circumstances) although the extra tariff payable in 18/19 (worth £30,692) has now been removed.

	2016/17	2017/18	2018/19	2019/20
RSG	(2,353,919)	(888,716)	0	0
Transitional Grant	(339,932)	(336,573)	0	0
Rural Service Delivery Grants	(843,258)	(680,891)	(523,763)	(680,891)
Tariffs relating to Business Rates (extra payment to Government)	0	0	0	958,318
Total core government funding	(3,537,109)	(1,906,180)	(523,763)	277,427

2.2.2 In terms of core government funding, the Council therefore is receiving 45% less than in 2016/17. In terms of other government/external funding, the Council's key income streams are set out below with some commentary below.

	2016/17	2017/18	2018/19	2019/20
Misc grants (2.2.3)	(350,641)	(236,428)	(54,128)	(54,128)
New Homes Bonus (2.2.4)	(1,230,024)	(1,214,332)	(1,285,507)	(1,303,678)
Better Care Fund (2.2.5)	(2,061,200)	(2,061,200)	(2,061,200)	(2,061,200)
Business rates (2.2.6)	(4,770,200)	(4,785,764)	(4,917,954)	(5,115,963)
Total other government funding	(8,412,065)	(8,297,724)	(8,318,789)	(8,534,969)
Ring-fenced government funding (e.g. public health)	1,359,000	1,326,000	1,291,100	1,256,000

2.2.3 The Council receives few **grants** from Government departments. In 2017/18 the budget includes a grant for social care in prisons from the Department of Health (£54k). Other grants included in the budget in 16/17 (such as the Independent Living Fund £60k and SEND reform grant £25k are assumed to be no longer available). The Education Services grant of £154k (for education services such as school improvement) is now included within the Dedicated Schools Grant (DSG) albeit at a lower rate of £75k. As a result of the changes to the Education services grant, the Council will receive a separate grant to cover monitoring and commissioning of school improvement support. The amount of grant is likely to be in the region of £46k. The Council will also receive a new adult social care grant, worth £136 funded from New Homes Bonus savings.

- 2.2.4 In terms of **New Homes Bonus (NHB)** various changes have been announced to the funding mechanism. Six year payments have been reduced to four (this was expected) albeit with a transitional five year payment in 17/18 but more significantly the Government has decided to implement a baseline of 0.4% growth (on existing dwellings) below which the bonus will not be paid. The loss over the period of the 4 year offer is £775k.
- 2.2.5 The MTFP assumes house building of c160 pa from 19/20 but a higher number in the next two years as shown below. The MTFP also includes a revised calculation for NHB.

	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23
Builds expected	274	252	158	158	158	158

- 2.2.6 In 2016/17 the Government indicated that this Council would see no increase in its **Better Care Fund** so the fund continues to be included at its 16/17 level. The BCF planning guidance for 17/18 has been issued but unfortunately the spending power summary includes no additional funding for Rutland.
- 2.2.7 Local government now retains 50% of the **business rates** collected. In Rutland, 1% is paid to the Fire Authority, and 49% is retained by the Council. This is known as the “business rate retention scheme”. Of the 49% retained, the Council pays a tariff to the Government (valued at £1m).
- 2.2.8 Whilst there have been substantial changes to business valuations this year resulting in local business paying £1.5m (before transitional relief and other discounts are applied) more in business rates, the Council will only keep a level of business rates commensurate with what the Government believes it needs – this baseline is £4.159m in 17/18. If the yield is above this level the Council will pay a levy of 20% (up from 16% last year).
- 2.2.9 Estimates of rates payable by businesses (and how much the Council will collect) have been based upon:
- the existing new rateable value;
 - changes in rateable value for known significant developments;
 - estimates of the cost of reliefs; and
 - provision for successful appeals.
- 2.2.10 The most difficult element in estimating rates income is the effect of appeals by rate payers. If our appeals provision is lower than actually needed then the Council will take a loss on business rates income. Conversely, if the provision is higher than actually needed, there will be a notional gain on business rates income. The Government has estimated that the loss on appeals could be c4.7%. Using that figure, the Council’s business rate income will be c£4.785m (£100k more than expected in the Q2 MTFP

reflecting net growth).

2.2.11 The Government will continue to compensate lost income to local authorities for small business rate relief by means of a separate grant, which has been included in the rates income figures.

2.2.12 In overall terms therefore the Councils government/external funding is reducing year on year with the MTFP assuming that Members will continue to raise council tax and levy the social care precept (discussed in more detail in 2.5).

	2016/17	2017/18	2018/19	2019/20
Core government funding	(3,537,109)	(1,906,180)	(523,763)	277,427
Other funding	(8,407,046)	(8,297,724)	(8,318,789)	(8,534,969)
Total government funding	(11,944,155)	(10,203,904)	(8,842,552)	(8,257,542)
Council tax (inc collection fund and social care precept)	(22,172,000)	(23,411,300)	(24,513,100)	(25,745,200)
Total resources	(34,116,155)	(33,615,204)	(33,355,652)	(34,002,742)
Use of earmarked reserves	(1,446,000)	(107,900)	(279,200)	(163,800)

2.2.13 In summary, the overall settlement for 17/18 has not substantially changed the overall position from the prior year. In order for the Council to keep funding at a level commensurate with 16/17 cash levels (6-7% less after inflation) by 19/20 is to raise council tax.

2.3 Beyond the 2017/18 settlement – what funding issues are on the horizon and how do they impact the MTFP?

2.3.1 The table below goes into detail about a range of announcements/ongoing matters that could directly or indirectly affect local government and this council.

Issue	Impact
By the end of the Parliament local government will retain 100 per cent of business rate revenues. The system of top-ups and tariffs which redistributes revenues between local authorities will be	As with any changes in funding systems there can be winners and losers. It is too early to assess the impact for the Council of 100% business rates retention. The MTFP assumes there will be no change for now.

Issue	Impact
<p>retained but will be reviewed.</p> <p>The Fair Funding Review is re-examining what the “needs” of authorities are and how funding may be allocated taking into account available resources. This review is unlikely to be concluded by the end of the Parliament.</p>	<p>The Fair Funding Review is unlikely to lead to any material change to the Councils funding as the review explains that the Councils relative resource position will be taken into account. As this Council is deemed to have a high level of its own resources (i.e. council tax) then its share of any national pot is likely to be low.</p>
<p>The government is considering transferring additional responsibilities to local authorities and funding this through surplus rates.</p>	<p>The MTFP assumes no transfers of responsibility and funding for now. Historically, where there have been transfers, the Council has “lost” funding e.g. council tax benefit. The Council will only lose out if transfers are not cost neutral.</p>
<p>The social care precept continues to give local authorities the ability to raise new funding to spend exclusively on adult social care. Some changes have been made to allow Councils to bring forward increase to 17/18 and 18/19 in – the detail is covered in 2.5.</p> <p>It is possible that amendments to the power could be made again in due course (i.e. beyond the period of the 4 year offer).</p>	<p>The MTFP assumes in line with Government expectations that the Council will take the opportunity to levy a precept of 2%. An additional 2% on Council tax is worth in excess of £400k pa.</p>
<p>From 2017 the Spending Review makes available social care funds for local government, rising to £1.5 billion by 19/20, to be included in an improved Better Care Fund.</p> <p>No changes to the BCF were proposed in the Autumn Statement or Settlement.</p> <p>Changes to how the BCF is used could create a pressure on the General Fund should funds be diverted from protecting core services to new areas.</p>	<p>The MTFP includes the BCF and expects to continue at its current rate. There is no additional funding for Rutland.</p> <p>The Council has a good working relationship with the CCG and both sides recognise that protecting existing social care services has had a beneficial impact on BCF outcomes such as the avoidance of non-elective admissions.</p>

Issue	Impact
<p>The Independent Living Fund (ILF) closed on 30 June 2015. From 1 July 2015, the funding and responsibility of ILF care and support needs transferred to local authorities.</p> <p>The Council received a grant to cover costs in 15/16 and 16/17 but it is not known whether this will be received this year.</p>	<p>The Council assumes no funding from 17/18.</p>
<p>The Government remains committed to introducing the Dilnot reforms to social care, with funding provided in 19/20 to cover the costs of local authorities preparing for these changes.</p> <p>The cap on reasonable care costs and extension of means tested support will then be introduced and funded from April 2020.</p>	<p>The Council assumes that Dilnot reforms will be fully funded although there continues to be a £100k contingency built into the MTFP 18/19.</p> <p>It is not known whether future funding will cover all costs. When plans are set out in detail the Council will need to model the potential impact and use the results as a basis for assessing whether funding will be sufficient to cover marginal costs.</p>
<p>The New Homes Bonus continues albeit with revisions. The length of payments is reduced from six years to four years but there will be a five year transitional payment in 17/18.</p> <p>The key other change is that payments will only be made for growth above a baseline of 0.4% of existing dwellings.</p> <p>The Government also consulted on other changes including making reductions if local authorities do not have a local plan or using a lower tariff for homes built on appeal. Whilst no changes have been made for now the Government is committed to looking at NHB again if authorities are not delivering on housing growth or if growth is significant indicating that the baseline is too low.</p>	<p>The MTFP assumes there will be no further changes to the New Homes Bonus scheme and payments are based on housing trajectory numbers and the new payment method.</p>
<p>The Government made savings in</p>	<p>PH funding announcements have been</p>

Issue	Impact
<p>local authority public health spending last year and indicated further savings would be made in 17/18 and beyond at an average of above 2% per annum. In year, the Government have produced a note setting out PH responsibilities and a description of 'what this means in practice' against which local areas can self-assess with a view to reviewing and improving.</p>	<p>made and confirm a reduction in funding of £33k in 17/18 and likely reductions of c£35k in 18/19 and 19/20.</p> <p>The MTFP assumes that any public health funding reduction will be absorbed or met in the short term from the Public health reserve.</p>
<p>The Government is setting up a £2.3 billion Housing Infrastructure Fund up to 2020/21 to deliver infrastructure that will support the building of 100,000 new homes in high demand areas, which will be allocated to local government on a competitive basis</p>	<p>It is not known whether this Council will be able to access the fund as it will depend on the qualifying criteria.</p>
<p>The Government will award £1.8 bn to Local Enterprise Partnerships (LEPs) - £392m to the Midlands and £151m to the East of England.</p>	<p>The Council is part of the Greater Cambridge and Greater Peterborough LEP and may benefit from access to funding although funding is not expected until later in the Parliament.</p>
<p>Government remains committed to devolving powers to support local areas and is working towards various deals with Combined Authorities (CAs). More funding (skills, employment support, transport, adult education) will be routed through LEPs and CA and not being a member of a CA could give the Council a funding risk.</p>	<p>Council continues to monitor what the best approach is for Rutland and no decision has been made as to whether it will become a member or non-constituent member of a CA.</p>
<p>Schools funding is outside of the Revenue Account and is ring fenced. All aspects of school funding are under consultation. Details are given in Section 5.</p> <p>The Government is pressing ahead with the planned cut to the Education Services Grant, despite deciding not to go ahead with the Education for All Bill which would have removed councils' school improvement duties.</p>	<p>It is possible that the level and nature of funding could inadvertently place more pressure on the General Fund should the Council not be able to deliver its statutory duties or if it has to intervene to support schools.</p>

Issue	Impact
<p>Councils' will now receive a separate grant to allow authorities to play a transitional role, as the school-led system of school improvement continues to mature.</p> <p>The Council has received illustrative numbers for the Central Schools Block from 2018/19 which would suggest that funding on retained duties (from the ESG) will continue to fall resulting in a continuing pressure on the General Fund.</p>	
<p>Local authorities continue to have flexibility to spend capital receipts from asset sales on the revenue costs of reform projects, subject to conditions.</p>	<p>The Council assumes that no capital receipts will be used to fund revenue over the life of the MTFP but will continue to keep this under review.</p>
<p>The Government has also confirmed the transitional scheme they will use for the 2017 Business Rates revaluation. Any rise will be capped at 5 per cent in the first year for small properties. This will be paid for by caps on reductions to businesses which gain from the revaluation, with smaller businesses having reductions phased in to a lesser extent than those for larger businesses, which will have their maximum increase in the first year reduced from 45 per cent to 42 per cent.</p>	<p>There will be no direct impact on Council funding as it is understood that the transitional scheme will be paid for by Government.</p>
<p>The Local Plan is the plan for the future development of Rutland which is drawn up by the Council in consultation with the community.</p> <p>The Local Plan will identify how much additional new development will be needed in Rutland over the next 20 year period to 2036 and where this should be located.</p>	<p>Local plan information will impact income assumptions (council tax and new homes bonus) and may have an impact on spending plans including capital projects.</p>

Issue	Impact
<p>In November, the MOD confirmed that St Georges Barracks will close in 2020/21. The Council receives both council tax and business rates from this site, c£500k pa.</p>	<p>The future use of the site is unclear and the Council will be monitoring developments closely and will aim, as far as possible, to mitigate any potential loss of funding.</p> <p>There is ongoing dialogue with the Defence Infrastructure Organisation (DIO).</p>

2.4 Spending plans and pressures – how may spending plans change over time?

2.4.1 The MTFP at Appendix 2 sets out the forecast spending profile of the Council over the medium term. The MTFP has been regularly updated throughout the year and shows the baseline position, assuming a continuation of existing services with allowances for service pressures, inflation etc. The budget for 17/18 is discussed in Section 3.

2.4.2 This section focuses on the factors that may have a significant impact on spending plans over the next 5 years and covers:

- Assumptions, contingencies and risks (2.4.3)
- Approach to reducing net expenditure (2.4.4).

Core assumptions, contingencies and risks

2.4.3 While the MTFP provides a useful modelling tool that can be used to demonstrate the effect of a range of variables on the Council's financial stability over the medium term, there are a number of inherent risks that could impact on spending that are outside of the Council's control (these are covered below).

Risk	Action to mitigate risk
<p>The apprenticeship levy will be introduced in April 2017 at a rate of 0.5 per cent of an employer's pay bill, to deliver 3 million apprenticeship starts by 2020. The levy will be paid through PAYE. The cost of the levy c£40k is built into the MTFP.</p> <p>The MTFP assumes the levy will continue at 0.5%. An increase to this rate would create a new pressure.</p>	<p>Employers in England who pay the levy will be able to get out more than they pay into the levy.</p> <p>Levied employers buying training from May 2017 can get some costs reimbursed.</p> <p>The Council is looking into how its approach to apprenticeships</p>

Risk	Action to mitigate risk
	so that it recoup the cost of the levy. Employing apprentices could offer savings in due course.
<p>In the past few years, the national pay agreement has been settled at below the 2% rate of pay inflation built into the MTFP.</p> <p>With inflation expected to continue above 2%, it is expected that trade unions and others will continue to lobby for increases and the introduction of the Living Wage.</p>	<p>The MTFP assumes an annual 2% pay award and as the Council is part of the national bargaining agreement no change is proposed.</p>
<p>There is a risk that the Council will bear the financial burden of any increase in the number of residents claiming council tax support, discretionary housing payments or crisis loans.</p> <p>In the last few years the number of people claiming support has reduced as the County has reached full employment. However, the Governments welfare reform changes (including the housing benefit cap) will reduce income of those receiving support and may increase the demand for council tax support, discretionary fund, crisis loans and discretionary housing payments.</p> <p>In addition, there is a risk that council tax collection levels will be lower than estimated particular if council tax increases of 3.99% are applied with a subsequent impact on the future financial position of the Collection Fund.</p>	<p>Proactive monitoring of demand for funding and collection levels for council tax will provide early indicators of any risks materialising.</p> <p>The Councils offer continues to be reviewed.</p>
<p>The MTFP assumes that some service pressures can be contained within the forecast budgets as growth is only built in where there is a degree of certainty. However there are a range of potential issues across different services that could have an</p>	<p>These will be monitored through the monthly monitoring process and quarterly reports to Cabinet. Variances identified as recurring are highlighted to Cabinet and the longer term</p>

Risk	Action to mitigate risk
<p>impact:</p> <ul style="list-style-type: none"> • increase in the cost of care packages arises from a growing population of older people, and other vulnerable adults requiring care; together with growing numbers with complex care needs; • the increase in costs of looked after children with a significant number of the children requiring placements being very vulnerable, and some have complex behaviours. • extra costs of social worker employment arising from a national shortage of qualified social workers. Good progress has been made to recruit permanent staff but further incentives may be required to retain and recruit staff; • By-elections – the Council has been in the position previously where it has had to hold an unexpected by-election. The Council does not budget for these costs. 	<p>implications assessed.</p> <p>As far as possible Directors will try to manage costs pressures within budget.</p> <p>It is proposed that a new earmarked reserve be set up to meet service pressures (discussed in section 2.7).</p> <p>Sufficient balances will also be maintained to cope with unforeseen cost pressures in the short-term.</p>
<p>Some budgets are demand led so whilst the Council will try and predict trends based on available information, there is an element of unpredictability where even a few cases can be financially significant. Demand led budgets include fostering and adoption, children’s social care, adult social care and elements of transport budgets.</p>	<p>These will be monitored through the monthly monitoring process and quarterly reports to Cabinet. Variances identified as recurring are highlighted to Cabinet and the longer term implications assessed.</p> <p>Sufficient balances will be maintained to cope with unforeseen cost pressures in the short-term.</p> <p>A social care earmarked reserve is also in place to meet</p>

Risk	Action to mitigate risk
	the costs of increases in demand in that area.
<p>Whilst inflation has been low for some time and the Government target is to keep it below 2%, there are emerging issues that may cause pressure on prices the Council pays for goods and services.</p> <p>Notwithstanding the impact of Brexit, the new National Living Wage (NLW) set at £7.20 from April 2016 will increase to £7.50 in April 2017.</p>	<p>The Council will monitor the position on key contracts and has inflation built into the MTFP which has been revisited as part of the 17/18 budget. Some adjustments have been made to inflation rates post 17/18.</p> <p>The Council is tendering for services so it can ensure value for money and does allow for inflationary cost increases and will aim where possible to keep costs within the current budget.</p>
<p>Interest rates may change thereby reducing the Council's ability to earn investment income.</p> <p>Advice from our Treasury advisors is that interest rates will stay below 1% for the next two years.</p>	<p>Regular review of the position and consideration of the balance between investing surplus cash and using it to repay long term debt. Advice from Capita is used to forecast investment income.</p>
<p>Capital financing costs have been estimated based on the assumption that no further external borrowing is undertaken during the life of the MTFP.</p>	<p>Corporate analysis of existing and potential new projects indicates that no further external borrowing is required.</p>
<p>The Council can be impacted by changes arising from partner bodies such as the Police and Fire as they, like the Council, aim to reduce costs. Any decision to reduce or reconfigure services in this County could result in additional demands on the Council.</p>	<p>The Council is working with partners to understand the impact of any changes and support changes where possible.</p> <p>It has previously built in funding support to partners but this has been removed in the latest MTFP.</p>
<p>In October the UK Home Care Association calculated the minimum price councils should be paying was £16.70 per hour, but the average was</p>	<p>The Council will be seeking to ensure that any increases are limited to what is reasonable.</p>

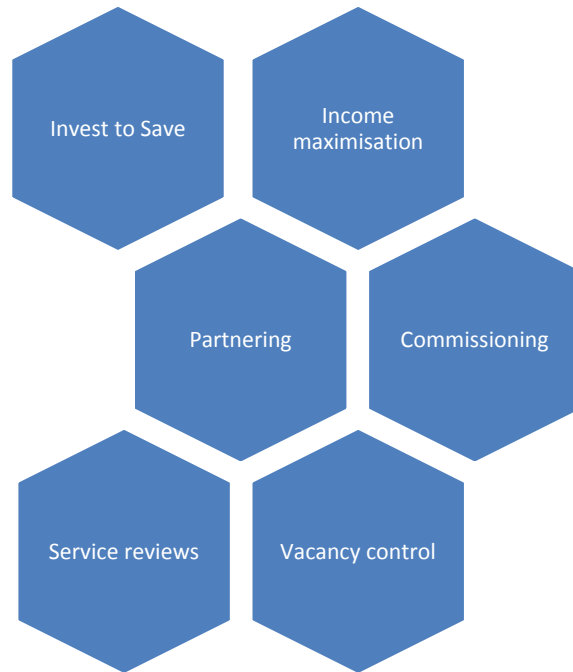
Risk	Action to mitigate risk
<p>over £2 less. This Council pays £16.46</p> <p>The UKHCA said the situation was threatening the future of the market and that agencies were struggling to recruit staff and maintain quality.</p> <p>Similarly residential care providers have expressed similar concerns with the National Living Wage and pension auto-enrolment creating pressures.</p> <p>The challenge is to negotiate a fair rate for the cost of care that allows the market to remain healthy and competitive. There is little doubt the Council will come under fee pressure. For Homecare, the next round of fee negotiations is not anticipated to be until 2018/19 unless providers write in and formally ask for a review in 2017/18. For residential care, the contracts allow for an increase based on CPIY. However, providers can write in and ask for this increase to be reviewed at any time.</p>	<p>Inflation rates in the MTFP have been reviewed from 2018/19 onwards.</p>
<p>The Council has seen demographic changes over time and will do so again in the future. Changes in population and number of households have not always translated into increases in service costs.</p> <p>This issue is relevant to Adult Social Care where many authorities assume that increases in population and in particular in 65+ age groups will place extra demand on social care budgets. Future budgets therefore typically include an “allowance” to compensate for this.</p> <p>The Council is expecting to see population changes over the next 5 years but in line with its Adult Social</p>	<p>The Council continues to monitor trends of demands for service and how this links to population changes.</p> <p>The Council has a Social Care Reserve and a £200k Social Care contingency to allow it to respond to changes in demand in-year.</p>

Risk	Action to mitigate risk
<p>Care strategy it will seek to respond to any changes by helping people to live independently as far as possible.</p>	
<p>In December 2015, the NHS outlined a new approach to help ensure that health and care services are built around the needs of local populations. Every health and care system (of which LLR is one) was asked to produce a multi-year Sustainability and Transformation Plan (STP), showing how local services will evolve and become sustainable over the next five years. As primary, secondary and social care are all under demand pressure this is an important plan.</p> <p>The LLR STP has been submitted and has been discussed at the Health and Wellbeing Board. Consultation will take place early next year.</p> <p>The approach being taken is a 'place based budget' one (single system control total) that looks across organisations at the 'LLR pound' and which focuses on new ways of working and models of care that manage demand and are more efficient. There are 5 big issues being tackled including:</p> <ul style="list-style-type: none"> a) Urgent & emergency care b) Integrated teams c) General practice resilience d) Service reconfiguration e) Operational efficiency <p>The Council is already working across LLR on joint commissioning opportunities and in some areas has joint teams. There is a strong likelihood that further integration is likely as "health and social care must have a plan" for integration by 2017,</p>	<p>The Council is looking at all opportunities to deliver services efficiently and for the benefit of local people.</p> <p>Council officers have been working with BCT colleagues to assess the impact on Adult Social Care (ASC) of planned changes across a range of work streams and will make the case for funding should the situation arise.</p> <p>Alongside local action, the Council supports lobbying led by the LGA and others for more central government funding.</p>

Risk	Action to mitigate risk
<p>to be implemented by 2020.</p> <p>The Council is also aware of the risks of health activity being displaced to social care and the costs that could arise.</p>	
<p>The Council has a number of outsourced services and retendering of contracts can lead to price pressure depending on the number of interested suppliers and market conditions. Whilst key contract expiry dates are not imminent (Refuse – 2022, Residual Waste – 2021, Street Cleaning – 2022, Leisure – 2021), contract inflation rates are kept under review.</p>	<p>The MTFP has been updated to reflect the expected cost of services.</p>
<p>The Syrian Vulnerable Persons Relocation Scheme will require the Council to take in vulnerable persons as part of the Governments response to helping those at risk.</p> <p>Some funding is available but experience of other local authorities is that this is not sufficient to cover costs particularly if social care services are needed.</p>	<p>The Council will seek to maximise funding and lobby for additional funds if possible.</p>
<p>The Council's net pension liability for the Local Government Pension Scheme (controlled by Leicestershire County Council as the Pension Fund administrator) has decreased.</p> <p>Following the triennial review, the contribution rates have been amended upwards to close the gap.</p> <p>Should investment returns not narrow the gap in the future, it is possible that contribution rates may increase again creating a demand on the General Fund.</p>	<p>The position will be monitored but the Council's MTFP includes the revised rates.</p>

Reducing net expenditure

2.4.4 One of the key principles of delivering services within the MTFP is “living within your means” i.e. not spending more than the resources available. Whilst the Council has a very good track record of spending within its allocated annual budget, the MTFP shows that in 17/18 and beyond the Council is spending more than the funding it has available and is therefore reliant on using General Fund reserves to balance the budget. The Council has a plan to address this issue which comprises the following elements:



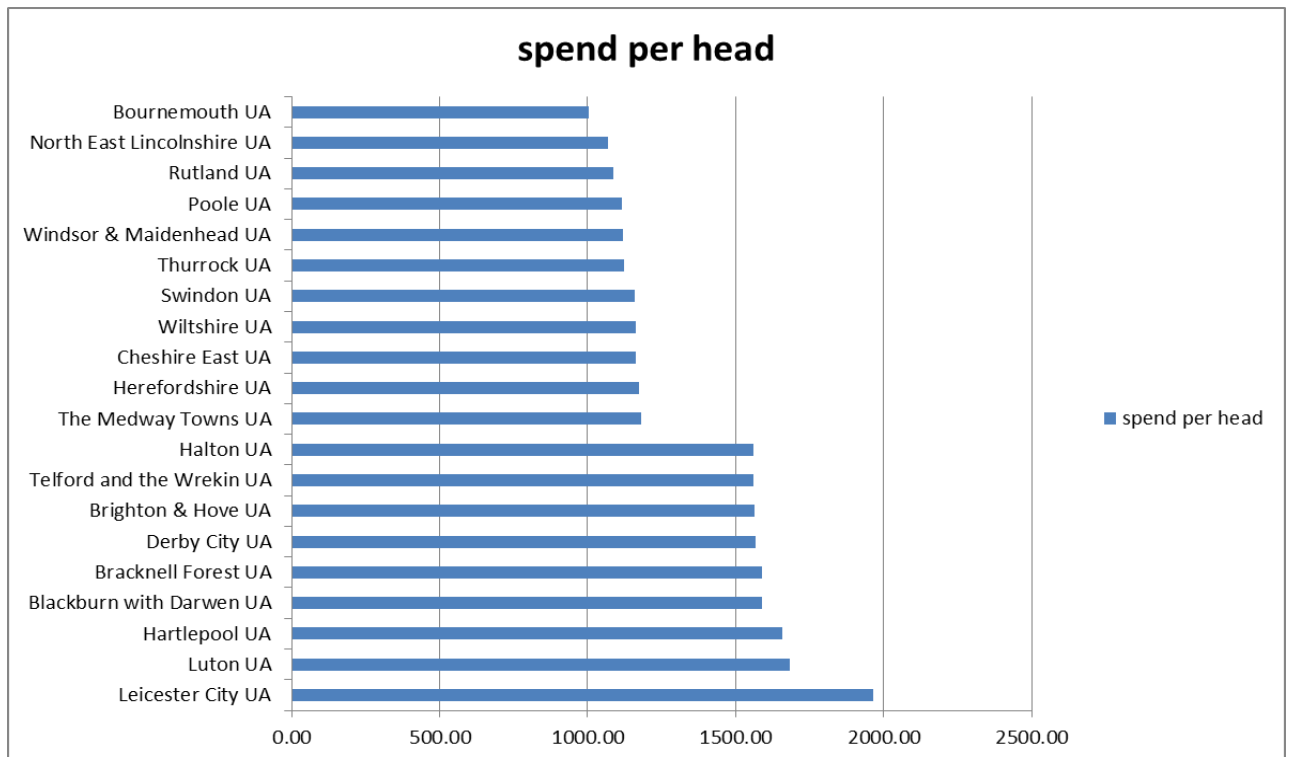
2.4.5 In its efficiency plan the Council stated that it would include a savings target in the MTFP. The Council has previously resisted including a target in the MTFP (other than for the PeopleFirst review) as in effect the forecast annual deficit is a clear indication of the extent to which the Council needs to reduce its spending. The annual deficit continues to signify a proxy “savings target”. The PeopleFirst expected savings are now included in Directorate base budgets.

2.4.6 Work has already begun in identifying future savings and income generating opportunities. Inevitably in this financial climate it is an ongoing task. All areas of council services are being examined. There are two key challenges the Council faces: a) its spend per head is low; and b) inevitably there are some areas where the potential for reductions to be made is low either because of savings already made, statutory obligations, current spend levels or other factors. The lists below are not exhaustive but highlight that all areas have to be examined.

Areas where it may be difficult to make savings	Areas where we may have to examine
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Areas where it may be difficult to make savings	Areas where we may have to examine
<p>External audit – fee reduced from £180k to £65k over last 10 years</p> <p>Internal audit – very low cost at c£85k, service being delegated to LGSS</p> <p>National insurance – £1m, set by Government</p> <p>Pension contributions – c£2.3m per annum, set by Pension Fund, unlikely to reduce given Pension Fund deficit</p> <p>Demand-led social care budgets - £5.8m, whilst demand can go up or down, it is to a large extent outside of Council control</p> <p>Social worker staffing -£2m – staff levels are a function of demand and a minimum level is required</p> <p>Insurance - £220k – tendered, cover levels reviewed and little scope for savings other than not having insurance</p> <p>Members expenses - £190k – level set externally</p> <p>Public Health – £1.3m, ring fenced sum, savings already made and allocation will continue to reduce</p> <p>Tourism/Economic development - £146k – key priorities and Council spend is already low</p> <p>Health and Safety - £40k – Council already meeting its statutory obligations at low cost</p> <p>Housing - £100k – statutory homelessness provision and floating support for those with a housing need</p>	<p>Senior management costs - £750k, some options for sharing posts already explored</p> <p>Waste management – £2.4m, refuse collection and recycling</p> <p>Road maintenance - £1m, rural road network is important and a high standard</p> <p>Parking - £230k – charges under review</p> <p>Commercial properties – (£213)k – key priority moving forward is to generate more income from existing portfolio</p> <p>Cultural services – Arts, Libraries, Museums and Sports - £1m - largely discretionary services which have been targeted for savings by other Councils</p> <p>Planning/development control - £600k – service delivery model options being considered</p> <p>Transport - £2.5m – all aspects under review, some savings already delivered</p> <p>Public protection - £400k – already a shared service with Peterborough</p> <p>Support services (Corporate support, Finance, Legal, HR and IT) - £3.1m – substantial savings taken in last few years but kept under review</p> <p>Property services - £1m – targeted for savings in 17/18 and inevitably there is a residual level of spend required</p>

2.4.7 In terms of spend per head, the Council is ranked 3rd lowest amongst unitary authorities. Figures for some of high and low spending Councils (based on 16/17 budget figures) are shown below.



2.4.8 Against this backdrop, future savings proposals are likely to involve some difficult decisions which may involve:

- withdrawing service provision in non-statutory areas;
- reducing or rationalising service provision in some areas; and
- asking stakeholders to contribute more to the cost of service delivery.

2.4.9 All Directorates have been through their budgets, and done an initial assessment of further areas for investigation in conjunction with Cabinet. No decisions have been made and in some cases further investigation may not lead to formal proposals being brought forward. In other cases, proposals will be worked up for consideration.

2.4.10 Areas being looked at include:

- Senior management structure - numbers and potential for sharing posts
- Banking contract
- Charging for green waste collection
- Library and museum provision and delivery model
- Transport provision
- Use and management of legal services

- Education services in light of academisation and changes to statutory responsibilities
- Planning shared services
- Models of delivery for care services
- Customer services operation
- Working with parishes on local services
- Use of Section 106 and CIL to support revenue
- Further development of commercial asset base

2.4.11 The list is not exhaustive but gives examples of the range of areas being considered.

2.5 Council tax – what choice does the Council have?

2.5.1 The Government has in recent years established a 2% limit on raising Council Tax before a referendum must be called. Last year local authorities were allowed to add a further 2% precept to Council tax for spending on adult social care.

2.5.2 In recognition of the particular pressures on adult social care services, councils will now be able to introduce the rise sooner. They will have the freedom to increase by up to 3% in 2017/18 or 2018/19, but still cannot exceed 6% in total over the three-year period. This means that the total rise in bills will be 6% but can be spread.

2.5.3 To ensure that councils are using income from the precept for adult social care, councils will be required to publish a description of their plans, including changing levels of spend on adult social care and other services. This must be signed off by the Chief Finance Officer (section 151 officer). Councils wishing to use the extra freedom to raise their precept by 3% instead of 2% in 2017/18 must also show how they plan to use this extra money to improve social care. DCLG will set out further details on the conditions of the scheme in the near future.

2.5.4 The MTFP assumes council tax rises of 3.99% in line with Governments expectations from 16/17 onwards and includes some tax base growth as described in Appendix 2. The table below gives shows the difference between:

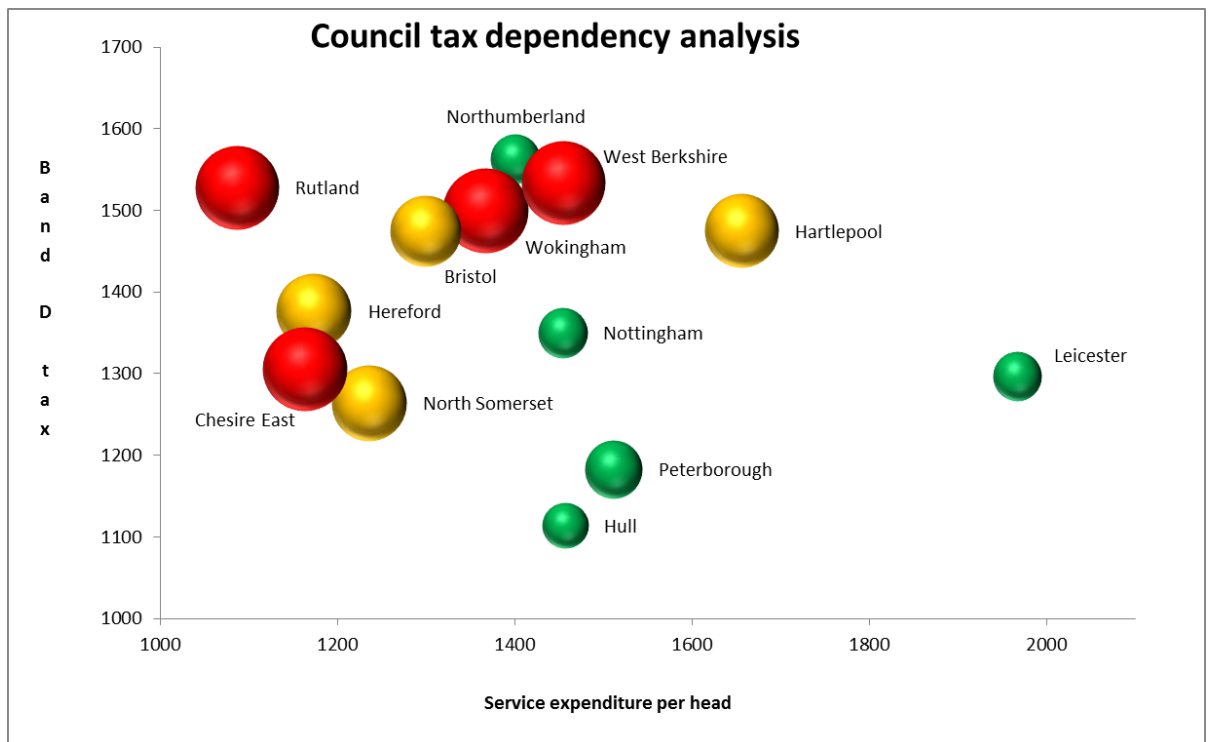
- the current MTFP - a 3.99% annual increase;
- an increase of 4.99% for two years followed by a 2% increase 19/20;
- a 2% annual increase; and
- a council tax freeze for the life of the MTFP.

	Council tax rate 17/18	17/18 council tax revenue	Size of gap in 21/22	General Fund balance 21/22

		£000		
Band D – current	£1,487.59	£22,349	£5,837	(£10,939)
3.99%	£1,546.94	£23,241	£1,612	£3,593
4.99% then 2%	£1,561.82	£23,464	£1,620	£4,268
2%	£1,517.34	£22,796	£3,934	(£3,847)

2.5.5 Members should note that even with Council tax rises of 2% for the next five years, the Council would have no General Fund balances remaining in 21/22 and would not be able to balance the budget unless of course substantial savings were made.

2.5.6 Members are aware that the Council’s Band D tax levels are amongst the highest of all Unitary councils. As has previously been reported, this position does not mean that the Council is high cost or inefficient as its service expenditure per head is low but is a function of its high dependency on council tax because of its low level of government funding. The diagram below shows the relationship between Band D Council tax levels, Spend per head and Council tax dependency (a RED label indicates a high level of Council tax dependency and GREEN a low level). Unfortunately as the Government funding allocation takes into account relative resources (i.e. the amount Councils generate from council tax) this position is unlikely to change.



2.6 Collection Fund – What is the estimated surplus for 2016/17?

2.6.1 The Council, as a billing authority, is required to keep a special fund, known as the Collection Fund. The fund is credited with the amount of Council tax it collects. Expenditure from the fund is in respect of the Council's own demand (i.e. General Fund expenditure net of RSG and share of Business rates) and the precepts payable to the Police Authority and Fire Service.

2.6.2 If a surplus or deficit remains in the Collection Fund at the year-end it is subsequently distributed to, or borne by the billing authority (in this situation the Council) and the preceptors (Police and Fire Authorities). Billing authorities are required to estimate the expected Collection Fund balance for the year to 31 March in order that the sum can be taken into account by billing authorities and preceptors in calculating the amounts of Council Tax for the coming year. The difference between the estimate at 15 January, and actual position at 31 March will be taken into account in the following financial year.

2.6.3 The estimated financial position on the Collection Fund at 31 March 2017 is shown below.

Estimated surplus at 31 March 2017	£196,681
Share of surplus	
Rutland County Council	£169,487
Leicestershire Police Authority	£20,360
Leicestershire Fire Service	£6,834

2.6.4 The Regulations provide for the Council's share of the estimated surplus to be transferred to the General Fund in 17/18.

2.7 Reserves – What level of reserves does the Council have and should it retain?

2.7.1 Reserves can be held for three main purposes:

- a working balance to help cushion the impact of uneven cash flows and avoid unnecessary temporary borrowing – this forms part of general reserves;
- a contingency to cushion the impact of unexpected events or emergencies – this also forms part of general reserves; and
- a means of building up funds to meet known or predicted liabilities – these are known as earmarked reserves.

2.7.2 The level of reserves is set to take account of:

- strategic, operational and financial risks facing the Council;

- key financial assumptions underpinning the budget; and
- quality of the Council's financial management arrangements.

2.7.3 In the current climate, it is essential that the Council maintains General Fund reserves to deal with the unexpected. There are a range of risks that may arise that the reserves are held for in order to maintain the Council's sound financial position. These risks include the following:

Risk factor/issue	Potential cost
Loss of business rates income before Safety Net reached through appeals or economic downturn	£0 - £300k
General service pressures or overspends – 1%	£0 - £300k
Grant uncertainty – further reductions in funding	£0 - £500k
Education redundancies no longer paid for through DSG	£0 - £150k
Above inflationary increases including the Living Wage or shortfalls in discretionary income	£0 - £300k
Increase in demand led services	£0 - £500k
Failure of key service provider	£0 - £200k
Legislative or policy changes that may or may not be funded	£0 - £200k
Potential growth in demand for general services	£0 - £200k

2.7.4 The Council's minimum reserves target is currently set at £2m which equates to about 6% of net spending. Presently, the Council's general fund balances (and useable earmarked reserves) are above the minimum level at c£10m. Alongside this balance the Council has c£2.5m in earmarked reserves (detailed in Appendix 9).

2.7.5 A review of the reserves position has been undertaken. **It is proposed that the minimum reserve level is maintained at between £2m and £3m** – this level is deemed adequate based on professional judgement and a risk assessment taking into account the following factors:

- despite existing savings plans, the Council is still using reserves to balance the budget;
- there are potential cost pressures which are only partly factored into spending plans;
- whilst the Council has some savings targets built into the MTFP and has a very good track record of delivering savings, no savings are guaranteed.

2.7.6 **It is also proposed that £1m of General Fund reserves are transferred to earmarked reserves.** Many authorities keep a lower level of General Reserves and set funds aside in earmarked reserves. The rationale for this is that a high level of General Fund balances can give a distorted view of financial health. As authorities know that additional pressures or liabilities are inevitable creating earmarked reserves to cover these gives a better balanced view of the real level of General Fund balances. The table below shows that Rutland's overall position is healthy but also how its approach differs to that of other Councils with broadly the same level of overall reserves.

	Average General Fund Balance as % of Net Revenue Expenditure (NRE)	Average Earmarked Reserves as % of NRE	Total Reserves as % of NRE
Unitary average	3%	9%	12%
Rutland	21%	5%	26%
Cornwall	6%	20%	26%
Durham	3%	19%	22%
Leicester	2%	17%	19%
East Riding	1%	19%	20%

2.7.7 It is **proposed that the £1m transfer** includes a top up of £500k to the **social care reserve and that the ceiling is increased to £1m.** Other than a £200k contingency, the MTFP includes no additional contingency for increased demand for social care. Some Councils include an amount for unpredicted demand in spend forecasts. The Council is opposed to this approach as it can discourage budget managers from taking corrective action or looking at alternative means of living within the budget. However, it is very likely that additional demand will be experienced at some point over the medium term as evidenced by the pressures in this year's budget.

2.7.8 It is also proposed that £500k is put into a new **pressures reserve** (with a ceiling of £1m) to meet the costs of:

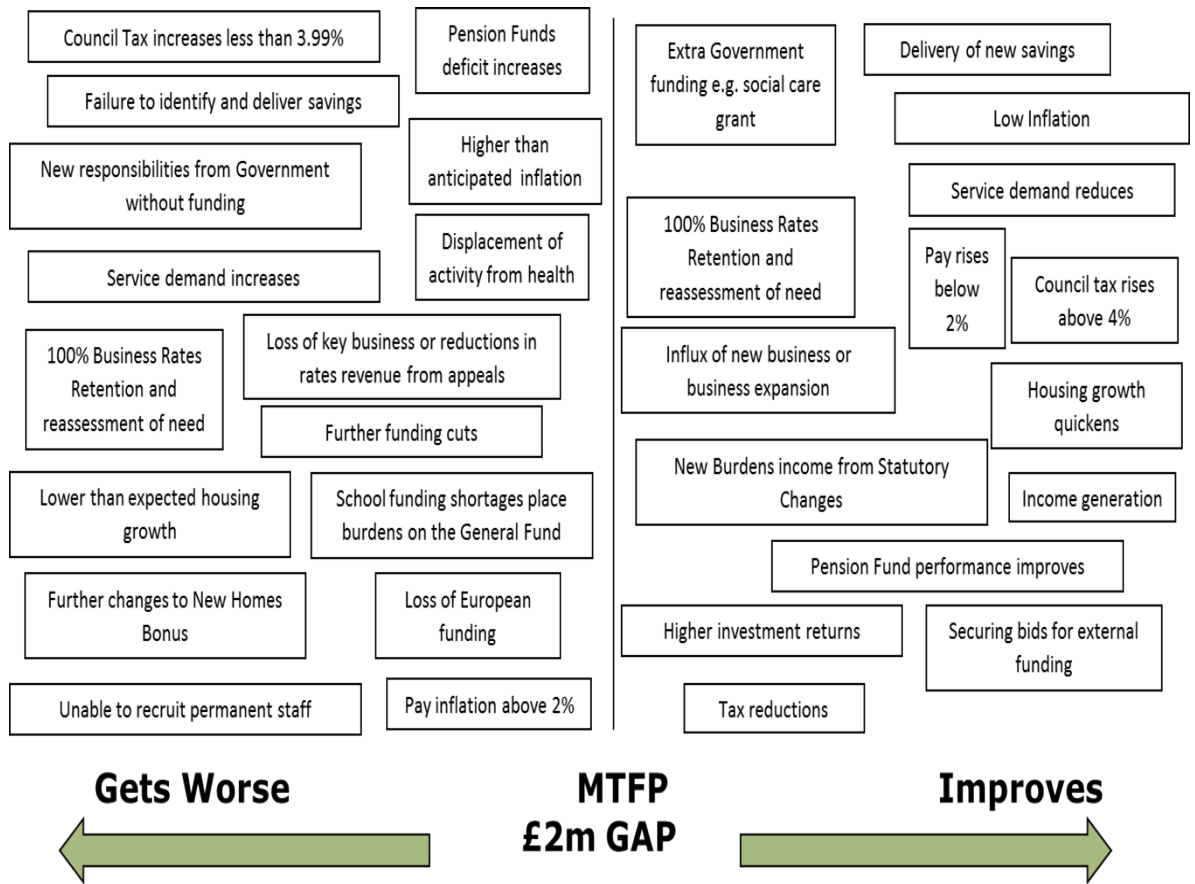
- price pressures (as seen with recycling costs in 16/17);
- uncontrollable demand for services outside of social care; and
- other one-off costs.

2.7.9 As officers submit pressures for consideration in the annual budget one of the key considerations when a pressure is accepted is whether it will be a

one off pressure or whether it is likely to be recurring. Where pressures are clearly recurring then it is prudent to include them in the budget in full. In other cases, there may be uncertainty and one option is to not include the pressure in the MTFP or not include it in full, but to set some funds aside in an earmarked reserve. In the event that the pressure materialises the contribution is made from the earmarked reserve thereby reducing the impact on the general fund.

2.8 The financial outlook – what is the overall position?

- 2.8.1 The medium term outlook remains largely unchanged in that Government funding will reduce over the next few years with Elected Members expected to raise revenue locally through council tax and the social care precept to make up the shortfall at the same time as having to oversee reductions in net spending against a backdrop of increased demand for services (adoption and fostering, children’s and adults social care) and cost pressures (e.g. waste management).
- 2.8.2 The reduced 17/18 budget deficit has had the impact of slowing down the attrition of General Fund balances. Whilst the position is still not tenable in the medium term, the Council has more time to tackle the challenge.
- 2.8.3 For the next few years therefore the Council’s remit remains the same: to work towards reducing its deficit position so that it can live within its means. This work will take place against a backdrop of funding reforms – changes to the business rates system, system for funding allocation and education reform.
- 2.8.4 The MTFP presents a position based on various assumptions and estimates about variables that are predominantly outside the control of the Council. The Council’s experience is that these can change over time and sometimes quite significantly. The picture below shows how the funding gap might “get worse” or “improve” according to events that could materialise.



3 REVENUE BUDGET PROPOSALS

3.1 Overview – what is the overall revenue budget?

3.1.1 The MTFP always includes provisional budgets for future years. The annual detailed budget work (explained in 3.2) updates that budget with latest information as shown in the table below:

		Draft budget 2017/18 £000
3.1.2	People	16,135
3.1.2	Places	12,299
3.1.2	Resources	5,404
A	Sub-Total Directorate budgets	33,838
3.1.3	Headcount Saving	(121)
3.1.4	Pay Inflation contingency	45
3.1.5	Social care contingency	200
B	Sub-Total Contingencies & Corporate Savings	124
	Net cost of services	33,962
3.1.6	Revenue contribution to capital	0
3.1.7	Appropriations	(1,897)
3.1.8	Capital financing costs	1,905
3.1.9	Interest income	(180)
	<i>Sub-Total Capital</i>	(172)
	Total Net Spending	33,790
	Funding	(33,615)
	Use of earmarked reserves	(108)
	Use of General Fund reserves	67

3.1.2 The **Directorate budgets** are detailed by functional areas in Appendices 3 to 5. The budgets include savings and pressures and more detail is given in 3.4 and 3.5 and Appendices 6 and 7. In reviewing the Directorate Budgets, readers can also refer to the functional budget monitoring workbooks available on the website that are available as part of budget monitoring for background information about services. These can be found on the following link:

http://www.rutland.gov.uk/council_and_democracy/council_budgets_and_spending/2016-17_budget_min.aspx

3.1.3 During 2017/18 a number of structure reviews including a review of the business support will be undertaken and it is anticipated that this could lead to savings. At this stage it is unclear as to which Directorate these savings will fall and therefore a **headcount** savings target of £121k has been included.

3.1.4 The budget includes a small contingency for **pay changes** (adjustment, re-grades, staff opting in to pension fund etc). The pay contingency usually includes a 2% contingency for pay awards but this is not the case for 17/18 as Directorate budgets already include a 1% pay award as negotiated last year.

- 3.1.5 The budget includes a contingency for £200k for **social care**. This is the same approach as per the prior year.
- 3.1.6 The **appropriations** figure represents adjustments the Council is required to make to its revenue position that are specified by statutory provisions and any other minor adjustments. It includes the reversal of the annual charge for depreciation on the Council's assets which is shown in Directorate budgets.
- 3.1.7 **Capital financing** costs of £1.905m comprise interest costs on loans of £1.033m and Minimum Revenue Provision (MRP) costs of £872k. MRP is a statutory charge to the revenue account which covers the repayment of debt (see 5.2).
- 3.1.8 **Interest income** reflects interest earned on investments. This is expected to reduce slightly compared to prior years because of the fall in interest rates.

3.2 Budget comparison – how does the budget compare to prior year and MTFP expectations?

- 3.2.1 There are two questions that often arise in relation to the budget:
- How does the budget compare to the prior year?
 - How does the budget compare to the expected MTFP budget for 17/18?
- 3.2.2 For the purposes of this analysis capital costs are excluded. The **budget** for 17/18 budget at Net Cost of Services level is less than 16/17. The key movements are:

Area	Amount £000	Detail
Net cost of services 16/17	33,993	Reported in the 2016/17 Budget Report (19/2016)
Less one off items in 16/17	(641)	Budgets funded from earmarked reserves £285k, removal of budgets approved for a fixed term £281k and removal of Fire contribution £75k
In year permanent adjustments	71	HR and Employment policies budget of £50k agreed for Chief Executive, further £21k adjustments following increase in BCF/other funding
Savings	(1,268)	People First savings £497k; Savings identified by Directorates £732k; expected increased in OEP income £39k
Pressures	1111	New pressures identified by Directorates of £807k, pressures funded from earmarked reserves of £119k and pressures already in MTFP £185k

Area	Amount £000	Detail
Pay and other inflation	771	1% pay award; 1% increase on superannuation; pay regrades, increments and pension auto enrolments and non pay inflation - typically 2%, less contract inflation contingency of £150k
Transfer of spending to DSG	(75)	As the Education Services Grant (ESG) is being transferred to the DSG, a recharge of costs supported by the grant is required.
Net cost of services 17/18	33,962	A decrease of 0.1% or an increase of 1.83% if 16/17 one off items removed.

3.2.3 The **expected 17/18 Net cost of services** budget was £34.407m. This compares to the draft 17/18 budget of £33.962m - a net reduction of £445k or 1.29%.

Area	Amount £000	Detail
17/18 Net Cost of Services expected	34,407	Net cost of Services reported at Q1 including Inflation contingencies and People First Savings
Corporate Savings	(732)	New savings put forward by Directorates (see 3.4)
Inflation savings and release of contingencies	(671)	<p>Savings on Inflation included within MTFP of £84k</p> <p>The pay inflation contingency included 2% for pay increases and an amount for regrades, additional pension costs etc. As the pay award was only 1%, £263k is no longer required.</p> <p>The three year actuarial review of the Pension Fund has taken place and the pension rate will increase by 1% per year for the next 3 years. The MTFP had included a 2% increase for 2017/18 and therefore £95k is no longer required.</p> <p>The pressures identified by the Directorates include inflation on contracts therefore the £153k contingency for contracts and £75k for the Living Wage are no longer required for 2017/18.</p>
Pressures	926	Service pressures put forward by Directorates of £807k and one-off pressures funded from earmarked reserves of £119k

Area	Amount £000	Detail
Adjustments	107	Adjustments for Pay regrades and auto enrolment (£64k). The People First budget savings have been overachieved, however the forecast savings achievable for 2017/18 have reduced by £43k.
Recharge to Dedicated Schools Grant (DSG)	(75)	As the Education Services Grant (ESG) is being transferred to the DSG, a recharge of costs supported by the grant is required.
2017/18 budget	33,962	

3.3 The budget process – how has the revenue budget been developed?

3.3.1 The starting point is the Q1 approved Budget 2016/17 which is updated for any approved changes and adjustments as reported at Q1 financial monitoring. Minor adjustments are made to individual budgets as part of the normal annual budget process. These include changes to:

- employee costs to upgrade for increments or to align budgets to known pay rates of staff in post and corresponding employer National Insurance and Superannuation contributions;
- external funding streams resulting in adjustments to service spending levels;
- reflect use of reserves and external contributions which have been set aside for specific services;
- remove one-off budgets from 2016/17 and to reflect decisions made since the last budget setting relating to virements and budget additions;
- provide for inflation (the percentage applied depends on the type of budget);
- encompass agreed savings – details are provided in Appendix 6;
- meet service specific pressures – details are provided in Appendix 7;
- rebase budgets i.e. transfer costs between budgets without changing the overall budget.

3.4 Savings – what savings are included in the budget?

3.4.1 The 2017/18 budget includes:

- PeopleFirst savings of £732k. These were already included in the budget as a corporate contingency but have now been converted to achieved savings and are included in Directorate budgets;

- New savings put forward totalling £732k (Appendix 6);
- Other savings built into service budgets pre 17/18 budget process giving further savings of £39k;
- Notional savings of £670k – additional amounts built into the 17/18 budget but now not required because of changes in circumstances or other action take to control costs (see 3.4.3).

3.4.2 None of the savings put forward are deemed to have a significant front line impact – most relate to reductions in headcount, efficiencies or income generation. In respect of headcount, the Council has managed to deliver reductions largely through natural wastage avoiding redundancy costs.

3.4.3 The budget also includes savings on inflation, pay inflation (see 3.1.4) and superannuation costs compared to the expected MTFP 17/18 budget. The Pension Fund has been subject to its triennial review. This has resulted in revised employer contribution rates being set for the next 3 years. The revised rate for 2017/18 is 21.7% compared to a rate of 22.7% built into the MTFP. The Council has therefore made notional savings of £95k in 17/18 and has used the savings beyond 18/19 to amend inflation assumptions.

3.5 Pressures – what service issues or factors are causing pressures?

3.5.1 **Service pressures** may arise from increased demand from service users, legislative changes that place additional duties or responsibilities on the Council or from withdrawn funding which means the General Fund has to pay for services previously funded through other income e.g. grant. The Council aims to contain service pressures within existing budgets where possible. In section 2, some of the areas where there are risks were discussed. Budgets have not been increased for 2017/18 for these pressures.

3.5.2 Budget pressures include:

- Pressures of £185k already built into service budgets pre 17/18;
- New services pressures of £807k
- One off pressures for which funds have been set aside in earmarked reserves (totalling £119k) – whilst these costs are shown as an increase in Directorate budgets, they do not increase the Council's overall budget.

3.5.3 The budget also includes two contingencies – one to meet any increase in social care costs of £200k and a small pay contingency of £45k to meet any pay regrades in year.

3.6 Corporate Plan priorities and targets

3.6.1 The Corporate Plan includes an objective to “*ensure that our medium term*

financial plan is in balance and is based on delivering the best possible value for the Rutland pound”.

3.6.2 The financial targets related to the corporate plan financial objectives are covered below with an update to show how this budget contributes to the overall position.

Corporate plan target	Current position
Agree a savings target programme of between £1.5m and £2m by 31 March 2017 that delivers a reduced financial gap by 2019/20.	The 19/20 financial gap in the corporate plan was £2.5m, but has been reduced to £1.9m with the 17/18 savings programme. Further savings programme to be included in 18/19 budget.
Deliver the annual savings programme, to be reported at the end of each financial year.	The Corporate Plan included a PeopleFirst savings forecast of £774k. The actual amount delivered was £732k which is in excess of the original savings target of £684k.
Maintain reserve balances above minimum recommended level of £2m across the life of the MTFP	Balances remain above £2m over life of MTFP. Importantly projected balances by 20/21 are greater than those envisaged in the corporate plan MTFP.

3.7 **Earmarked Reserves – how will they be used?**

3.7.1 Earmarked reserves are used as a means of building up funds to meet known or predicted liabilities. Their establishment and use is subject to Council approval and movements are reported as part of the quarterly financial monitoring reports.

3.7.2 The balances held in Earmarked Reserves at 1st April 2016 and estimated balances as at March 2017 are shown at Appendix 9. The MTFP currently shows net transfers from reserves of £108k for 2017/18 which consist of:

- £14k for Tourism;
- £20k from Highways to fund expenditure with s38;
- £36k from Commuted Sum reserves to fund grass cutting;
- £36k from Adult Social Care reserve to fund a temporary contracts and procurement post;
- £67k to fund public health expenditure;

- £9k from Adult Social Care reserve to fund web based system maintenance;
- £15k from Invest to Save for library expenditure – which will release a revenue savings;
- £136k transfer of the Adult Social Care Support Grant to the Social Care reserve;
- £47k to fund the Digital Rutland manager post.

3.8 Reserves and Estimates – how robust are they?

- 3.8.1 Best practice requires me to identify any risks associated with the budget, and section 25 of the Local Government Act 2003 requires me to report on the adequacy of reserves and the robustness of estimates.
- 3.8.2 In the current climate, it is inevitable that the budget carries significant risk. In my view, although very difficult, the budget for 2017/18 is achievable subject to the risks and issues described below.
- 3.8.3 The most substantial risks are in demand led budgets and in particular social care, specifically the risks of further growth in the cost of care packages, and inability to contain the costs of looked after children. These risks are the ones which will require the most focussed management attention in 2017/18.
- 3.8.4 In the longer term, the risks to the budget strategy arise from:
- non-identification and delivery of savings;
 - unidentified and uncontrollable pressures; and
 - loss of future resources, particularly in the transition to 100% business rates retention.
- 3.8.5 A further risk is economic downturn, nationally or locally. This could result in changes to the 4-year offer, falling business rate income, and increased cost of council tax reductions for tax payers on low incomes. It could also lead to a growing need for Council services and an increase in bad debts.
- 3.8.6 The budget seeks to manage these risks as follows:
- a £200k contingency has been included in the 2017/18 budget. In addition to managing risk, this provides resource to be diverted should the need arise;
 - should the contingency prove insufficient, the Council can call on earmarked reserves;
 - a minimum balance of £2m reserves will be maintained.
- 3.8.7 Subject to the above comments, I believe the Council's general and

earmarked reserves to be adequate. I also believe estimates made in preparing the budget are robust based on information available.

3.9 Equalities – does the budget impact adversely on any particular groups?

3.9.1 In the exercise of its functions, the Council must have due regard to the Council’s duty to eliminate discrimination, to advance equality of opportunity for protected groups and to foster good relations between protected groups and others.

3.9.2 The Council has completed EIA screening for all savings proposals and for the proposed tax increase. There are no proposals for decision on specific courses of action that could have an impact on different groups of people and therefore full EIAs are not required.

3.9.3 Some of the analysis relating to the Council tax increase is shown below:

Proposal
A Band D Council Tax increase of 3.99%, including Social Care Precept of 2% taking Band D Council Tax from £1,487.59 to £1,546.95 (Rutland County Council only). This proposal is linked to one aspect of local government funding where the Council has some discretion to raise additional funds by increases to Council Tax. However there are Council Tax rules in place that limit the extent of any Council Tax increases before a referendum is required, the limit for 2017/18 is 4.99%.
Initial impact
<p>This increase will be applied to all bands of council tax. This will impact on all residents who are eligible to pay Council Tax. The average cost per week on a Band D property is £29.74.</p> <p>Since Council Tax is applicable to all properties it is not considered that the increase targets any one particular group; rather it is an increase that is applied across the board. At the same time because the increase is applied to all properties it is not possible to exempt any particular groups. By increasing Council tax, the Council is able to prevent further reductions in services to local residents and in so doing continue can mitigate adverse impacts facing individual households.</p> <p>The Council tax increase needs to be considered in the Rutland context:</p> <ul style="list-style-type: none"> • Average house prices are high in Rutland (Nov 2015): £228,858 (compared to national £186,325); • Affordability: an average house in Rutland costs almost 11 times annual salary. • Cost of renting is also higher in Rutland (£625pm) than comparators (£600 national) • Transport cost are higher in rural areas: people need to travel longer

distances to access basic needs – such as employment, education and health care - and pay more for fuel.

Whilst cost of living can be higher, out of 152 upper tier Local Authorities in England, Rutland ranks 148 in terms of Indices of Multiple Deprivation (1 is most deprived). Notwithstanding this comment, the Council recognises that there is potential for low income households to be affected and mitigation strategies have been put in place.

Actions take to mitigate impact

The risk is mitigated through various support offered: Local Council Tax Support, a Discretionary Fund and Advice.

The Council operates a local council tax support scheme which offers up to 75% discount for those on low incomes – those that are eligible for the full discount will see an increase of just 14p per week.

On top of the 75% discount, the Council continues to offer further support to those who can demonstrate financial hardship. It has funds of £25k set aside and is prepared to increase this amount should the need arise.

The Council also provides some budgeting and financial advice and has a contract with Citizens Advice Rutland to provide more specialist support if needed.

The Council will be seeking views on the Council tax increase proposal as part of its budget and is also undertaking a review of poverty in Rutland which could lead to some further policy changes.

4 CAPITAL PROGRAMME

4.1 Overall Programme – what does the overall programme look like?

4.1.1 The Capital Programme is developed around specific projects. The programme comprises three strands:

- Approved projects: capital projects already approved that will span across more than one financial year (any projects already approved which are not yet completed will continue into 17/18) and projects being delivered using ring fenced funding (e.g. disabled facilities grants);
- Approval required: New projects to be approved in the budget or in-year; and
- Funding available but not yet allocated.

4.1.2 The table below is an overview of the position for 17/18. Projects that make up the total £6.250m are listed in Appendix 10.

Portfolio	Spend to Date £000	Budget 2016/17 £000	Budget 2017/18 £000
Approved Projects			
People	388	554	3,708
Places	2,677	7,265	400
Resources	0	45	0
Total Approved	3,066	7,865	4,108
Approval Required			
People			0
Places			1,992
Resources			150
Total Approval Required			2,142
Total	3,066	7,865	6,250

	Budget 2016/17 £000	Budget 2017/18 £000
Financed By		
Grant Funding	5,115	5,673
Prudential Borrowing	1,130	400
Capital Receipts	779	177
Section 106	398	0
Oakham North Agreement	257	0
RCCO	186	0
Total Financing	7,865	6,250

4.2 Approved projects – what approved projects continue into 2017/18?

- 4.2.1 Some of the capital projects will span across more than one financial year. Any projects already approved which are not yet completed will continue into 2017/18. The estimated spend in 2017/18 will depend primarily on the outturn (the amount spent) for 2016/17. The following capital projects are expected to request a carry forward budget to 2017/18.
- 4.2.2 Adult Social Care System Replacement – The replacement of the social care case management system for adults was largely completed early in 2016/17. The system is currently being supported by hardware on loan to allow for testing. It is expected that the purchase of the new hardware will be completed next financial year.
- 4.2.3 Digital Rutland – This project delivers superfast fibre broadband throughout the county to support economic growth and provide more affordable high quality broadband for all. The programme started in 2013/14 and has already achieved circa 95% coverage throughout Rutland. The project board is currently reviewing options to extend coverage further, one of which could be through a new procurement and subject to formal approvals. If this option is taken up the timescales for the required open market review, public consultation and procurement process is such that any Phase 3 deployment could not commence until summer 2017 at the earliest. The target for Phase 3 coverage throughout Rutland is circa 97%.
- 4.2.4 Schools Maintenance – Report numbers 82/2015 and 81/2015 have been approved by Cabinet covering a number of schemes on schools within the County. Project details were given in para 2.3.5 (Q2 Finance Report).
- 4.2.5 Oakham Castle Restoration – The restoration of Oakham Castle was completed in October 2016. This was predominantly funded by Heritage Lottery with the remainder funded by revenue contributions and Section 106. The programme will continue over the next couple of year to manage and support the development of the Castle.
- 4.2.6 OEP Phase 2 – £500k was approved in Report 100/2016 to develop the central area of the OEP site to maximise future business opportunities. The work has gone out to tender and is expected to be completed in 2017/18.
- 4.2.7 Oakham Library and the relocation of Visions Community and Children’s Centre – The combined capital programme is for essential works to Oakham Library and the relocation of the Children’s’ Centre. Work is expected to be completed by summer 2017.

4.3 Approved projects – what projects will be delivered with ring fenced funding?

- 4.3.1 The Council receives Devolved Formula Capital funds which is pass-ported to maintained schools to help them support the capital needs of their assets. Schools will decide what projects to fund.

4.3.2 The Council receives Disabled Facilities grant which is part of the Better Care Fund. The full allocation is used to help residents remain in their home and be independent.

4.3.3 On 20th December 2016 Cabinet approved total projects for school places of £3.463m. All of the projects are included in the 17/18 budget but as the timing of some is not yet confirmed, some are likely to be delivered in 18/19 and possibly beyond.

4.4 New projects – what future projects will need to be approved?

4.4.1 The capital programme includes funding set aside pending further reports to Cabinet/Council to get formal approval for the use of these funds.

4.4.2 Future developments to the Councils IT infrastructure – the Council has traditionally funded IT projects from Revenue but recognising the replacement costs associated with IT systems, provision has been set aside in the capital programme. It is requested that delegated authority be given to the Director of Resources in consultation with the appropriate Portfolio Holder(s) to allocate £150k and approve individual projects.

4.4.3 Highways – A cabinet paper will be presented in January highlighting the proposed capital programme for 2017/18, including a short term plan for future years. Included within the report will be the new 2017/18 Pothole Action Funding (£153k) announced in the Autumn Statement.

4.5 Unallocated Funding – What funding do we have available?

Portfolio	Unallocated Funding	Estimated Closing Balance 31/03/17	Grant Awarded 2017/18	Possible Funding for 2017/18 Budget	Estimated Closing Balance 31/03/18
		£000	£000	£000	£000
People	Devolved Formula	0	32	(32)	0
People	Better Care	0	186	(186)	0
People	Basic Needs	2,079	1,134	(3,213)	0
Places	Highways	927	2,450	(1,992)	1,385
Places	Schools	809	196	(70)	935
Other	Misc Grant	442	0	(180)	262
Other	Section 106	2,457	254	0	2,711
Other	CIL	131	549	0	680
Other	Oakhham North	1,735	551	0	2,286
Other	Capital Receipts	882	204	(177)	910
Estimated Unallocated		9,462	5,556	(5,850)	9,168

4.5.1 Highway Grants – Unallocated funding (£1.385m). This grant is being held to fund future highways projects which is not ring-fenced however future

allocations would be affected if the funding was not spent improving transport infrastructure within the County. The majority of the unallocated highways funding (£1.289m) relates to the integrated transport block which is given to local authorities for small transport improvement schemes.

- 4.5.2 LA Capital Maintenance – Unallocated funding (£935k) is ring-fenced and should be allocated to schools and children's centres based on the provision of sufficient numbers of school places and surplus place removal, also the repair, improvement and replacement of existing school buildings.
- 4.5.3 Misc Grant Funding – Unallocated funding (£262k) representing various balances from historic funding that the council no longer receives. This funding is not ring fenced.
- 4.5.4 Section 106 – Unallocated funding (£2.711m) representing the expected holding balance. Projects will be developed to deal with infrastructure demands from new/existing developments. Expenditure must be spent on the specific details within the individual agreements.
- 4.5.5 CIL - Unallocated funding (£680k) represents the expected Community Infrastructure Levy from developers; this will be replacing section 106, with the exception of the Affordable Housing element. This funding must be spent on items contained within the CIL123 infrastructure list.
- 4.5.6 Oakham North Agreement – Unallocated funding (£2.286m) representing the expected holding balance. £551k is due to be received for the next 2 years. The Council has flexibility on how this funding is used.
- 4.5.7 Capital Receipts – Unallocated funding (£910k) represents the balance of capital receipts held. E.g. Barleythorpe, centre buses sale and the annual payment received from Spires Homes.

4.6 Emerging projects – what projects might come forward?

- 4.6.1 Within the Councils long term financial plans, a number of capital projects may come forward. These are:
 - Refurbishment/development of Barleythorpe – It is expected that the former Rutland County College will be vacant from August 2017. An options paper will be presented to Cabinet.
 - Investment Opportunities – The Council is identifying a number of possible investment opportunities as a means of using capital resources to generate ongoing revenue income;
 - Integrated Transport Block – This funding provides support for transport capital improvement schemes. A number of schemes have already been identified, a Cabinet paper will be submitted once the capital schemes have been finalised.

5 TREASURY MANAGEMENT

5.1 Prudential indicators – what prudential indicators will we adhere to?

5.1.1 Local authority capital expenditure is based on a system of self-regulation, based upon a code of practice (the “prudential code”).

5.1.2 Council complies with the code of practice, which requires us to agree a set of indicators to demonstrate that any borrowing is affordable, sustainable and prudent. To comply with the code, the Council must approve the indicators at the same time as it agrees the budget. The indicators including the limit on total borrowing (currently set at £28m) are approved through the Treasury Management Strategy, taken separately to this report.

5.2 MRP – How will we calculate the Minimum Revenue Provision?

5.2.1 By law, the Council is required to charge to its budget each year an amount for the repayment of debt. This is known as “minimum revenue provision” (MRP).

5.2.2 CLG Guidance issued requires full Council to approve an MRP Statement in advance of each year. Council will be asked to approve the MRP Statement as part of the Treasury Management Strategy.

6 SCHOOL FUNDING

6.1 Overview – How are schools funded?

- 6.1.1 Schools are funded from ring fenced grants, the most notable of which is the Dedicated Schools Grant (DSG). This funding cannot be used for any other Council function, and essentially schools operate within their own fund with any under or over expenditure being taken forward into future years. The DSG for 2017/18 is divided into three blocks of funding:
- Schools block - approximately £22.0m for Rutland County Council which essentially funds schools' budgets. This includes approximately £18.8m for academies which is determined by the local Schools Forum and Council but paid to the Education Funding Agency (EFA).
 - High Needs block - approximately £3.8m which primarily supports Special Educational Needs expenditure including maintained special schools.
 - Early Years block - The new formula for Early Years will be implemented from 2017/18 and will result in a reduction in funding received by the Council to fund provision for 3 & 4 year olds. However, funding for the provision of 2 year old placements is set to increase.
- 6.1.2 Locally, the Schools Forum can make recommendations to the Council to transfer funding between the blocks, however, due to the changes being proposed in the future and the fact that the funding blocks were rebased to take into account the actual spending plans for 2016/17, it is not recommended to do so for 2017/18. Schools Forum can also recommend to the Council the funding formula that should be used to distribute monies to individual schools and Early Years Settings.
- 6.1.3 Schools are protected by a nationally set Minimum Funding Guarantee (MFG). This is set at -1.5% per pupil for 2017/18. This means that a school's budget cannot fall by more than 1.5% per pupil from the previous year, regardless of any formula changes that are made.
- 6.1.4 Schools have reserves they can call on, and the Council will work closely with any maintained school that is experiencing financial difficulty to draw up a recovery plan.

6.2 Allocations – What funding is received and how is it allocated?

DSG

- 6.2.1 The Schools Block allocation is still awaited from the Department of Education (DfE). The per pupil unit of funding has already been calculated based on funds allocated to schools in 2016/17 plus the retained duties element of the Education Services Grant (ESG). This will be multiplied by the number of pupils as recorded on the October 2016 census to give an overall allocation. This will then be allocated to schools via the updated funding formula.
- 6.2.2 The High Needs block funding has been set such that no local authority will see a reduction (in cash terms) from its 2016/17 funding for high needs as set out in the spending baseline review carried out in March 2016. Rutland will receive £3.8m which will then be allocated to schools and specialist settings based on pupil

needs using a Place-Plus approach.

6.2.3 For Early Years, the new national funding formula for the allocation of funding for 3&4 year olds has been implemented for 2017/18. As well as changing the way that local authorities are funded, the DfE has imposed a limit on the amount of funding that can be retained centrally to support the Early Years Providers. For Rutland, this means that there has been a reduction in the centrally retained funding of £20k which has been funded from the General Fund. For the Early Years providers the hourly rate paid for the provision of free entitlement for 3&4 year olds has reduced from £4.60 per hour to £4.40 per hour.

6.2.4 The funding available for 2 year olds was already based on a national funding formula and therefore this funding formula has continued for 2017/18. The Government has given a commitment to increase the hourly rate paid to providers and as a result, Rutland will be able to offer providers £5.20 per hour in 2017/18 instead of the £4.85 per hour for 2016/17.

Pupil Premium Grant (PPG)

6.2.5 The DfE have yet to announce the level of Pupil Premiums for 2017/18 but they are likely to remain the same as for 2016/17, as follows:

- Primary disadvantaged pupil Premium is £1,320 per pupil;
- Secondary disadvantaged pupil premium is £935 per pupil;
- Looked after children pupil premium is £1,900 per pupil;
- Children no longer looked after due to adoption, special guardianship order etc is £1,900 per pupil; and
- Service children pupil premium is £300 per pupil.

Universal Infant Free School Meals (UIFSM)

6.2.6 From September 2014 every infant (key stage1) pupil is entitled to a free school meal. This is funded by an additional specific grant amounting to £2.30 per pupil. The funding for 2017/18 is yet to be announced.

New School Improvement Grant

6.2.7 The Government is continuing to review the statutory responsibilities of local authorities as part of its next step of ending local authorities' role in running schools. To this end, the General Rate of the Education Services grant is being withdrawn from September 2017. However, local authorities will receive a new separate grant covering services such as monitoring and commissioning of school improvement support. This grant will allow authorities to play a transitional role as the school-led system continues to mature.

6.3 Future of Education funding – What is changing and what are the issues?

6.3.1 The Department for Education is proposing to change the way local authorities are funded in future and have been consulting stakeholders on the best way forward for delivering a fair and transparent funding system where the amount of funding children attract for their schools is based on need and is consistent across the country.

6.3.2 More information about the potential consequences can be found in Appendix 8 but issues include:

- The Council may not receive sufficient funding to deliver its responsibilities;
- There will no longer be the ability to flex funding as there is now;
- The overall amount of schools funding is likely to reduce (see para 6.3.3) but individual allocations to some schools may increase;
- Funding for high needs placements is likely to reduce over time and a system wide solution will need to be found if there is insufficient funding; and
- Early years providers will receive less per hour than they do today potentially putting at risk existing provision.

6.3.3 For the Schools and the High Needs Blocks, the second stage of consultation on proposed changes commenced on 14th December 2016 and closes on the 22nd March 2017. The consultation documentation includes illustrative allocations for comparison purposes (based on the 2016/17 funding proformas) and the table below shows the impact for Rutland and some neighbouring authorities:

	Baseline				New Funding - Fully Implemented				change
	Schools £m	High Needs £m	Central Schools £m	Total £m	Schools £m	High Needs £m	Central Schools £m	Total £m	%
ENGLAND	31,771.88	5,602.20	233.01	37,607.09	31,955.90	5,667.95	233.01	37,856.86	1.40%
Rutland	22.55	3.64	0.18	26.37	22.32	3.64	0.16	26.12	-1.00%
Leicester	218.16	46.69	1.65	266.50	226.86	46.69	1.58	275.12	3.20%
Leicestershire	359.62	60.90	2.24	422.76	368.80	60.90	2.67	432.37	2.30%
Peterborough	142.12	26.57	1.11	169.80	145.91	26.57	1.06	173.54	2.20%

6.3.4 Whilst the above table gives illustrative allocations, it is clear that compared to our neighbours, Rutland is likely to see a reduction in funding. However, a much clearer understanding of the detail behind the figures is required to provide a full assessment of the impact on Rutland and its' schools. For example, the baseline figure submitted for Schools (£22.37m) and High Needs (£3.8m) have been adjusted by the DfE to reflect changes in treatment of pupils attending SEN units attached to schools.

7 CONSULTATION

7.1 Consultation – how will we consult and when?

7.1.1 The Council has a statutory duty to consult on its budget proposals with representatives of non-domestic ratepayers and local persons.

7.1.2 It is proposed that consultation for 17/18 includes:

- consideration by each of the Scrutiny Panels at special meetings in January 2017;
- a meeting with representatives of the local business community on 9th February 2017;
- a presentation of the budget to the Parish Council Forum on 30th January 2017; and
- consultation online, static displays at libraries and publicity through the local print and broadcast media.

7.1.3 The outcome of the consultation will be reported to Cabinet on 14th February 2017 or Council on 20th February depending on the timing of events to enable the Council to consider the views expressed when making its recommendation to Council on the budget.

7.2 Consultation – what key questions will we ask?

7.2.1 In order to encourage a high level of response to the consultation it is suggested that one open question below is asked giving the opportunity for respondents to add their own views on any issues of particular interest to them.

Have you any comments or suggestions about the Council's draft budget proposals?
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8 STATUTORY AND CONSTITUTIONAL REQUIREMENTS

8.1 Constitutional and statutory requirements – will we meet them?

8.1.1 In setting a budget and level of council tax, the Council has to meet a number of statutory requirements and also ensure compliance with its constitution. The table below sets out how the Council intends to meet those requirements.

Requirement	Status
<i>Statutory requirements under Local Government Finance Act</i>	
To levy and collect council tax	To be reported to Council 20/02/2017
To calculate budget requirements and levels of council tax	To be reported to Council 20/02/2017
To consult representatives of persons subject to non-domestic rates about proposals for	Discussed in Section 7 of this paper.
To approve the budget and set Council Tax by 11th March in each year	To be approved at Council 20/02/2017
<i>Statutory requirements under</i>	
Under section 25 of the Local Government Act 2003 the Section 151 Officer is required to report to the Council on the robustness of the estimates made for the purpose of setting the Council Tax and the adequacy of the proposed financial reserves.	Within this report, 3.7
<i>Statutory requirements under Local Government Act 1999:</i>	
To consider, as a matter of course, the possibilities for provision of information to, consultation with and involvement of representatives of local persons across all authority functions.	Discussed in Section 7 of this paper
<i>Requirements under constitution:</i>	
Cabinet to recommend the budget to the Council	Draft to Cabinet will be presented 14/02/2017

Requirement	Status
Council to approve the budget and set Council Tax	To be approved at Council 20/02/2017
The Chief Finance Officer shall report to Cabinet for consideration not later than 31st December in each year on draft budgets for the following financial year to be subject to consultation	The draft budget has been pushed back to January 2017 with the agreement of Cabinet as the local government settlement was not received until 17 th December leaving no time for that to be processed and the draft budget produced and presented pre the end of December.
After the completion of the consultation period the Chief Finance Officer shall report for consideration by Cabinet not later than 28th February in each year on draft budgets for approval by	To be approved at Council 20/02/2017

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Appendix 2

Ref		2016/17 Original £	2016/17 Q1 Budget £	2016/17 Q2 Forecast £	2017/18 Proposed £	2018/19 Proposed £	2019/20 Proposed £	2020/21 Proposed £	2021/22 Proposed £
1	People	15,907,100	16,424,400	16,896,400	16,135,200	16,551,700	16,713,700	17,018,200	17,431,500
1	Places	12,318,200	12,524,800	12,594,000	12,298,800	12,440,300	12,723,900	13,002,800	13,307,800
1	Resources	5,246,700	5,742,700	5,665,800	5,404,600	5,524,200	5,631,700	5,731,600	5,834,600
2	Pay Inflation Contingency	330,700	0	0	45,000	716,300	1,146,500	1,588,600	1,870,700
3	Contract Inflation	150,000	150,000	0	0	0	0	0	0
	Fire Authority Contribution	75,000							
4	Adult Social Care Contingency	200,000	200,000	0	200,000	200,000	200,000	200,000	200,000
5	Corporate Headcount Saving				(121,000)	(121,000)	(121,000)	(121,000)	(121,000)
6	People First Savings	(234,800)	(234,800)	0	0	0	0	0	0
	Net Cost of Services	33,992,900	34,807,100	35,156,200	33,962,600	35,311,500	36,294,800	37,420,200	38,523,600
7	Capital met from Direct Revenue	180,000	186,000	186,000	0	0	0	0	0
8	Appropriations	(1,897,000)	(1,897,000)	(1,897,000)	(1,897,000)	(1,897,000)	(1,897,000)	(1,897,000)	(1,897,000)
9	Capital Financing	1,930,601	1,930,601	1,930,601	1,904,945	1,881,825	1,858,890	1,836,103	1,836,103
10	Interest Receivable	(220,000)	(220,000)	(235,000)	(180,000)	(210,000)	(170,000)	(155,000)	(155,000)
	Net spending	33,986,501	34,806,701	35,140,801	33,790,545	35,086,325	36,086,690	37,204,303	38,307,703
	Resources								
11	Other Income	(239,500)	(272,500)	(296,513)	(46,000)	0	0	0	0
12	New Homes Bonus	(1,230,055)	(1,230,055)	(1,230,024)	(1,214,332)	(1,285,507)	(1,303,678)	(1,064,517)	(968,701)
13	Better Care Fund	(2,046,000)	(2,061,200)	(2,061,200)	(2,061,200)	(2,061,200)	(2,061,200)	(2,061,200)	(2,061,200)
14	Social Care In Prisons	(70,138)	(70,138)	(54,128)	(54,128)	(54,128)	(54,128)	(54,128)	(54,128)
15	Rural Delivey Grant	(843,258)	(843,258)	(843,258)	(680,891)	(523,763)	(680,891)	(680,891)	(680,891)
16	Transition Grant	(339,932)	(339,932)	(339,932)	(336,573)	0	0	0	0
17	Adult Social Care Support Grant	0	0	0	(136,300)	0	0	0	0
18	Revenue Support Grant	(2,353,919)	(2,353,919)	(2,353,919)	(888,716)	0	958,318	958,318	958,318
19	Retained Business Rates Funding	(4,770,200)	(4,770,200)	(4,770,200)	(4,785,764)	(4,917,954)	(5,115,963)	(5,306,364)	(5,499,412)
20	Council Tax/Social care precept	(21,924,400)	(21,924,300)	(21,924,400)	(23,241,300)	(24,513,100)	(25,745,200)	(27,005,200)	(28,324,800)
21	Collection fund surplus	(248,000)	(248,000)	(248,000)	(170,000)	0	0	0	0
	Total available Resources	(34,065,402)	(34,113,502)	(34,121,574)	(33,615,204)	(33,355,652)	(34,002,742)	(35,213,981)	(36,630,814)
22	Use of Earmarked Reserves	(553,200)	(1,468,200)	(1,446,000)	(107,900)	(279,200)	(163,800)	(64,600)	(64,600)
23	Use of General Fund Balances	(632,101)	(775,001)	(426,773)	67,441)	1,451,473)	1,920,148)	1,925,722)	1,612,289)
	Balance brought forward	(10,089,084)	(10,143,751)	(10,143,751)	(10,570,524)	(10,503,083)	(9,051,610)	(7,131,462)	(5,205,740)
	Balance carried forward	(10,721,185)	(10,918,752)	(10,570,524)	(10,503,083)	(9,051,610)	(7,131,462)	(5,205,740)	(3,593,451)

The MTFP assumptions

The MTFP shows spending plans and funding position for the next 4 years.

Ref	Expenditure /Funding	Assumptions/Commentary
1	Directorate Costs	<p>Directorate costs for 2018/19 onwards assume 2017/18 as a starting point and build in inflation and any changes to National Insurance contributions.</p> <p>Inflation is built into the MTFP to cover potential cost increases. The level of inflation ranges from 8% for fuel (gas, electric etc.) to 2% for general inflation (supplies and services).</p> <p>The Council's contribution rate to the Local Government Pension Scheme (LGPS) is included at the following rates: 17/18 - 21.7%, 18/19 – 22.7%, 19/20 – 23.7%, 20/21 – 24.7%</p>
2	Pay Inflation Contingency	Council assumes pay inflation will be 2% pa.
3	Contract inflation	This was an amount set aside to cover above inflation rises should they materialise on key contract, pay, supplies etc. This has been distributed to Directorate costs in 17/18.
4	Adult Social Care pressures	This is set aside to cover demographic and demand pressures on Adult and Social Care. Rather than increase individual budgets the Council will hold a contingency and allocate it when it knows where the demand pressure is e.g. home care, residential care etc
5	Corporate Headcount saving	Notional savings targets to be achieved through vacancy control, service reviews etc
6	People First savings	Savings originating from the PeopleFirst project. All savings are now built into Directorate budgets.
7	Capital met from Direct Revenue	This represents the amount of revenue expenditure that is funding capital projects.
8	Appropriations	Directorate budgets include the costs of depreciation to show the full cost of services. This depreciation is removed for the purposes of setting council tax.
9	Capital financing	<p>The capital financing charges are made up of 2 amounts;</p> <ul style="list-style-type: none"> • Interest Payable – this is fixed over the life of the MTFP at c£1m per annum. This is all payable to the Public Works Loan Board (PWLB) • Minimum Revenue Provision (MRP) - An annual provision

Ref	Expenditure /Funding	Assumptions/Commentary
		that the Council is statutorily required to set aside and charge to the Revenue Account for the repayment of debt associated with expenditure incurred on capital assets.
10	Interest receivable	This represents the amount the Council expects to earn from investing cash balances held.
11	Other income	Misc grants including a grant to fund school improvement.
12	New Homes Bonus	The MTFP uses projections from Planning on new homes and damping of 10%. The NHB scheme is under review. The MTFP assumes NHB payments will be received for 4 years starting from 2017/18.
13	Better Care Fund	The Better Care Fund (BCF) allocations are built in at 2017/18 levels. Settlement indicated Rutland would receive no top up allocation.
14	Social Care in prisons	The only Care Act funding not part of RSG is the funding for social care in prisons which is funded by a Department of Health grant.
15	Rural Delivery Grant	The MTFP builds in grant for additional cost of rural service delivery as per the Government 4-year offer.
16	Transition Grant	Additional funding in the form of transitional grant has been given in both 2016/17 and 2017/18 for the councils adversely affected by the change in distribution of central funding.
17	Adult Social care grant	Grant for adult social care funded from reductions in New Homes Bonus.
18	RSG	RSG included as per the 4-year settlement 'offer' figures. The MTFP assumes that RSG reduces to £0 by 2019/20 and a tariff is paid.
19	Business rates	The amount to be retained under "Business Rates Retention" (BRR) scheme has been updated in line with the current year forecast, a view about growth for 17/18 and the baseline and tariff figures given by Government. The Council has seen little growth this year and it is not envisaged that this will have a material change on NNDR yield given likelihood of appeals and increased level of reliefs. The Council's NNDR1 return will not be completed until late January (when the form is issued) so all NNDR figures are provisional. A 5% increase in growth would yield approx. £300k for the

Ref	Expenditure /Funding	Assumptions/Commentary
		Council. Conversely, the Council could lose up to £350k before the Government provides safety net funding. The potential loss of income through appeals remains a risk and could have a significant impact on business rates revenue.
20	Council tax (inc social care precept)	<p>Tax rises built in at 3.99%. The tax base continues to increase with housing growth.</p> <p>An increase in local council tax support claims could dampen this growth but in 17/18 the number of claimants has reduced.</p> <p>The MTFP contains an additional social care precept on council tax built in at 2% to deal with the rising costs of social care.</p>
21	Collection Fund Surplus	If a surplus or deficit remains in the Collection Fund at the year-end it is subsequently distributed to, or borne by the billing authority (in this situation the Council) and the preceptors (Police and Fire Authorities). Billing authorities are required to estimate the expected Collection Fund balance for the year to 31 March in order that the sum can be taken into account by billing authorities and preceptors in calculating the amounts of Council Tax for the coming year. The difference between the estimate at 15 January, and actual position at 31 March will be taken into account in the following financial year.
22	Earmarked Reserves	The Council earmarked reserves set aside for specific purposes. Where these are planned to be used the spending has been included within the relevant Directorate costs and the total funding used is shown as a Transfer from earmarked reserves in the MTFP.
23	General Fund	If the Council is spending more than the resources available, the balance is funded from General Fund balances. These balances have a recommended minimum level of £2m.

Appendix 3.1: Peoples Directorate Budget 2017/18

This Appendix gives the detailed movement in cost centre budgets from the Approved 2016/17 Budget at Q1 to the proposed budget for 2017/18.

The reversal of one off entries column represents the removal of budgets such as one off transfers from earmarked reserves and budget carry forwards approved for 2016/17 but not required within the 2017/18 budget.

The Transfer column shows where functions have moved from one directorate to another since Q1 such as the Floating Support service and also includes the realignment of budgets between functions within the Directorate

The Adjustments column shows other minor movements in budgets such as an adjustment to a recharge to the Dedicated Schools Grant (DSG)

The Savings and Pressures columns agree to the relevant columns within the Savings and Pressures summary (see appendix 6 & 7)

Cost Centre	Cost Centre Description	2016/17 Q1 Budget £	Reversal of One off entries £	Transfers £	Adjustments £	PeopleFirst Savings £	Savings £	Pressures £	Inflation £	2017/18 Budget £	Increase / (Decrease) £
	Directorate Management Costs										
5324	Directorate	784,700	(36,400)	(78,000)	(2,000)	0	0	0	21,700	690,000	(94,700)
5424	Operational Team Managers	743,000	(82,900)	69,800	400	0	0	0	16,900	747,200	4,200
5403	Business Intelligence	94,700	0	28,100	2,700	0	0	0	1,200	126,700	32,000
	Directorate Management Costs	1,622,400	(119,300)	19,900	1,100	0	0	0	39,800	1,563,900	(58,500)
	Public Health										
4570	Public Health Department	(1,232,000)	0	64,300	0	0	0	0	0	(1,167,700)	64,300
4571	Sexual Health	207,100	0	11,100	0	0	0	0	0	218,200	11,100
4572	Health Check Programme	52,000	0	(14,000)	0	0	0	0	0	38,000	(14,000)
4574	Obesity Programme	4,500	0	1,100	0	0	0	0	0	5,600	1,100
4575	Physical Activity	62,800	0	45,300	0	0	0	29,700	0	137,800	75,000
4576	Substance Misuse	210,000	0	(92,700)	0	0	0	0	0	117,300	(92,700)
4577	Smoking & Tobacco	81,000	0	(31,000)	0	0	0	0	0	50,000	(31,000)
4578	Childrens Public Health 5-20	166,100	0	(6,100)	0	0	0	0	0	160,000	(6,100)
4579	Other Public Health Services	268,500	(200,000)	22,000	0	0	0	27,700	0	118,200	(150,300)
4580	Public Health Commissioning 0-5	390,000	0	0	0	0	0	0	0	390,000	0
	Public Health	210,000	(200,000)	0	0	0	0	57,400	0	67,400	(142,600)
	BCF Programme Support										
4504	BCF Programme Support	85,200	(15,000)	1,800	0	0	0	0	800	72,800	(12,400)
	BCF Programme Support	85,200	(15,000)	1,800	0	0	0	0	800	72,800	(12,400)
	BCF: Coordination and Communication										
4514	BCF: Coordination and Communication	30,000	(30,000)	0	0	0	0	0	0	0	0
	BCF: Coordination and Communication	30,000	(30,000)	0	0	0	0	0	0	0	0
	BCF Community Prevention										
4502	BCF: Community Prevention	187,000	0	(1,800)	0	0	0	0	0	185,200	(1,800)
	BCF Community Prevention	187,000	0	(1,800)	0	0	0	0	0	185,200	(1,800)
	BCF Supporting Independence										
4489	BCF: Life Planning	125,000	0	0	0	0	0	0	0	125,000	0

Cost Centre	Cost Centre Description	2016/17 Q1 Budget £	Reversal of One off entries £	Transfers £	Adjustments £	PeopleFirst Savings £	Savings £	Pressures £	Inflation £	2017/18 Budget £	Increase / (Decrease) £
4494	BCF: Integrated Urgent Response	240,000	0	0	0	0	0	0	0	240,000	0
4510	BCF: Integrated Community Care	518,000	0	0	0	0	0	0	0	518,000	0
4505	BCF: Hospital Transfer & Reablement	696,000	0	0	0	0	0	0	0	696,000	0
4515	BCF: Innovation Fund	55,000	(55,000)	0	0	0	0	0	0	0	(55,000)
	BCF Supporting Independence	1,634,000	(55,000)	0	0	0	0	0	0	1,579,000	(55,000)
	BCF Adult Social Care										
4511	BCF: Care Act Enablers	85,000	0	0	0	0	0	0	0	85,000	0
4498	BCF: Dementia Services	100,000	0	0	0	0	0	0	0	100,000	0
5609	BCF: Integrated Case Management	140,000	(100,000)	0	0	0	0	0	0	40,000	(100,000)
	BCF Adult Social Care	325,000	(100,000)	0	0	0	0	0	0	225,000	(100,000)
	Non BCF Contract & Procurement										
4119	Healthwatch and NHS Advocacy	71,000	0	0	0	0	0	0	1,400	72,400	1,400
4503	Better Care Together Programme	14,000	0	0	0	0	0	0	0	14,000	0
4513	Liquid Logic Implementation	20,000	(20,000)	0	0	0	0	0	0	0	(20,000)
4703	Contracts and Procurement	274,000	(60,000)	0	0	(150,000)	0	0	1,600	65,600	(208,400)
4670	Community Prevention and Wellness Services	263,600	(20,000)	171,300	0	(150,000)	0	0	5,300	270,200	6,600
	Non BCF Contract & Procurement	642,600	(100,000)	171,300	0	(300,000)	0	0	8,300	422,200	(220,400)
	ASC - Community Inclusion										
4442	ASC Community Inclusion - Community Support Service	387,800	0	24,300	0	0	0	0	10,000	422,100	34,300
4460	ASC Community Inclusion - Day Opportunities Services	262,200	0	28,900	0	0	0	19,900	14,600	325,600	63,400
4480	Advocacy Contract	8,600	0	2,000	0	0	0	0	200	10,800	2,200
	ASC - Community Inclusion	658,600	0	55,200	0	0	0	19,900	24,800	758,500	99,900
	ASC Prevention and Safeguarding										
4108	Direct Payments - Carer Support	100,500	85,000	(24,900)	0	(85,000)	0	0	4,400	80,000	(20,500)
4130	Homecare - Carers Support	18,800	0	(18,800)	0	0	0	0	0	0	(18,800)
4135	Carers Support Income	(20,500)	0	0	0	0	0	0	0	(20,500)	0
4136	Respite - Mental Health	10,700	0	(4,700)	0	0	0	0	0	6,000	(4,700)
4137	Respite - Older People	25,200	0	7,800	0	0	0	0	0	33,000	7,800
4138	Respite - Physical Disabilities	0	0	4,000	0	0	0	0	0	4,000	4,000
4139	Respite - Learning Disabilities	0	0	21,300	0	0	0	0	0	21,300	21,300
4140	Other - Mental Health	8,500	0	(3,500)	0	0	0	0	0	5,000	(3,500)
4371	Prison Assessments	31,400	0	0	0	(20,000)	0	0	800	12,200	(19,200)
	ASC Prevention and Safeguarding	174,600	85,000	(18,800)	0	(105,000)	0	0	5,200	141,000	(33,600)
	ASC Prevention and Safeguarding - Staffing										
5857	ASC Prevention and Safeguarding - Staffing	476,400	0	(44,800)	0	0	0	16,600	13,200	461,400	(15,000)
	ASC Prevention and Safeguarding - Staffing	476,400	0	(44,800)	0	0	0	16,600	13,200	461,400	(15,000)
	ASC Support and Review - Daycare										
4282	Daycare - Older People	103,900	0	(23,000)	0	0	0	0	2,400	83,300	(20,600)
4283	Daycare - Physical Disabilities	18,300	0	(15,800)	0	0	0	0	500	3,000	(15,300)
4284	Daycare - Learning Disabilities	81,100	0	0	0	0	0	0	2,400	83,500	2,400
	ASC Support and Review - Daycare	203,300	0	(38,800)	0	0	0	0	5,300	169,800	(33,500)
	ASC Support and Review - Direct Payments										
4295	Direct Payments - Mental Health	22,600	0	(4,100)	0	0	0	0	500	19,000	(3,600)

Cost Centre	Cost Centre Description	2016/17 Q1 Budget £	Reversal of One off entries £	Transfers £	Adjustments £	PeopleFirst Savings £	Savings £	Pressures £	Inflation £	2017/18 Budget £	Increase / (Decrease) £
5719	Duty Desk for Childrens Referrals	224,000	0	18,400	0	0	0	0	5,200	247,600	23,600
5851	Duty S17	7,300	0		0	0	0	0	100	7,400	100
	Childrens Duty Social Care	231,300	0	18,400	0	0	0	0	5,300	255,000	23,700
	Long Term Childrens Social Care										
4220	Family Support Services	15,000	0	10,000	0	0	0	0	200	25,200	10,200
4201	Care Leavers (S24)	81,500	0	0	0	0	0	0	1,200	82,700	1,200
4210	Looked After Children	29,400	0	0	0	0	0	0	1,000	30,400	1,000
4215	Children's Social Care Staffing	518,600	0	(77,600)	0	0	0	0	12,700	453,700	(64,900)
5283	UASC Under 16	0	0	0	0	0	0	0	0	0	0
4252	UASC Over 16	0	0	0	0	0	0	0	0	0	0
	Long Term Childrens Social Care	644,500	0	(67,600)	0	0	0	0	15,100	592,000	(52,500)
	Early Intervention - Targeted Intervention										
4207	Disabled Childrens Services	188,800	0	0	0	0	0	221,700	3,800	414,300	225,500
4208	Aiming High	216,600	0	0	0	(20,000)	0	0	4,900	201,500	(15,100)
5240	Changing Lives	0	0	0	0	0	0	0	0	0	0
5371	Children's Centres - Revenue	306,400	0	0	0	0	0	0	7,600	314,000	7,600
5296	Intensive Family Support	190,900	0	(100)	0	0	0	0	4,300	195,100	4,200
	Early Intervention - Targeted Intervention	902,700	0	(100)	0	(20,000)	0	221,700	20,600	1,124,900	222,200
	Early Intervention - Universal and Partnership										
5291	Play for All	4,300	0	0	0	0	0	0	0	4,300	0
4713	Youth Housing	58,800	0	(62,900)	0	0	0	0	4,100	0	(58,800)
5268	Early Intervention Youth Services	337,900	0	92,000	0	(40,000)	0	0	9,200	399,100	61,200
5272	Short Term Projects	15,000	0	(15,200)	0	0	0	0	200	0	(15,000)
5280	Rutland Youth Council	6,100	0	0	0	0	0	0	0	6,100	0
5281	Youth Options	13,700	0	(13,900)	0	0	0	0	200	0	(13,700)
	Early Intervention - Universal and Partnership	435,800	0	0	0	(40,000)	0	0	13,700	409,500	(26,300)
	Fostering and Adoption										
4211	Placements	850,100	(52,000)	0	0	0	0	221,700	16,400	1,036,200	186,100
4213	Adoption	83,800	0	0	0	0	0	35,000	1,700	120,500	36,700
4225	Family Support Staffing	190,600	0	(15,900)	0	0	0	0	6,400	181,100	(9,500)
4202	CAMHS	10,600	0	0	0	0	0	0	0	10,600	0
	Fostering and Adoption	1,135,100	(52,000)	(15,900)	0	0	0	256,700	24,500	1,348,400	213,300
	Schools and Early Years										
5000	Primary Schools	189,300	0	0	0	0	0	0	0	189,300	0
5605	Learning and Skills Management	0	0	0	0	0	0	0	0	0	0
4265	SEN Staffing	261,700	(85,000)	0	0	0	0	(25,000)	10,600	162,300	(99,400)
5352	Early Senco (0-3yrs support)	12,900	0	0	0	0	0	0	300	13,200	300
5242	Personal Educational Allowance for LAC	15,700	0	0	0	0	0	0	300	16,000	300
5295	Secondary School Officer	34,400	0	0	0	0	0	0	500	34,900	500
5297	Rural Fund	45,200	0	0	0	0	0	0	0	45,200	0
5325	Governor Training	3,300	0	0	0	0	0	0	100	3,400	100
5336	Primary Officer	51,600	0	0	300	0	0	20,000	1,600	73,500	21,900
5360	School Improvement Consultancy	79,600	0	0	0	0	(25,000)	0	600	55,200	(24,400)
5395	Early Years Training	48,500	0	0	0	0	0	0	900	49,400	900

Cost Centre	Cost Centre Description	2016/17 Q1 Budget £	Reversal of One off entries £	Transfers £	Adjustments £	PeopleFirst Savings £	Savings £	Pressures £	Inflation £	2017/18 Budget £	Increase / (Decrease) £
	Schools and Early Years	742,200	(85,000)	0	300	0	(25,000)	(5,000)	14,900	642,400	(99,800)
	Rutland Adult Learning and Skills Service (RALSS)										
5129	Community Learning	60,300	0	(10,500)	0	0	0	0	0	49,800	(10,500)
5202	Post Oct 2014 Rutland Adult Skills Budget	(49,800)	0	0	0	0	0	0	0	(49,800)	0
	Rutland Adult Learning and Skills Service (RALSS)	10,500	0	(10,500)	0	0	0	0	0	0	(10,500)
		16,474,300	(791,400)	76,100	1,400	(682,000)	(25,000)	667,300	414,500	16,135,200	(309,100)

Appendix 3.2: Peoples Directorate Budget 2017/18

Cost Centre	Cost Centre Description	Employees		Premises	Transport	Supplies & Services	Third Party Payments	Transfer Payments	Recharges	Capital Financing	Total Expenditure	Other Income	Income form Gov't Grants	2017/18 Budget
		Employees Pay £	Other Expenses £											
	Directorate Management Costs													
5324	Directorate	769,500	21,300	0	4,100	9,700	5,700	0	(118,500)	0	691,800	(1,800)	0	690,000
5424	Operational Team Managers	744,300	300	0	2,600	0	0	0	0	0	747,200	0	0	747,200
5403	Business Intelligence	126,700	0	0	0	0	0	0	0	0	126,700	0	0	126,700
	Directorate Management Costs	1,640,500	21,600	0	6,700	9,700	5,700	0	(118,500)	0	1,565,700	(1,800)	0	1,563,900
	Public Health													
4570	Public Health Department	0	0	0	0	1,500	134,500	0	22,300	0	158,300	0	(1,326,000)	(1,167,700)
4571	Sexual Health	0	0	0	0	0	218,200	0	0	0	218,200	0	0	218,200
4572	Health Check Programme	0	0	0	0	0	38,000	0	0	0	38,000	0	0	38,000
4574	Obesity Programme	0	0	0	0	0	5,600	0	0	0	5,600	0	0	5,600
4575	Physical Activity	0	0	0	0	0	0	0	137,800	0	137,800	0	0	137,800
4576	Substance Misuse	0	0	0	0	0	117,300	0	0	0	117,300	0	0	117,300
4577	Smoking & Tobacco	0	0	0	0	0	0	0	50,000	0	50,000	0	0	50,000
4578	Childrens Public Health 5-20	0	0	0	0	0	140,000	0	20,000	0	160,000	0	0	160,000
4579	Other Public Health Services	0	0	0	0	0	20,000	0	98,200	0	118,200	0	0	118,200
4580	Public Health Commissioning 0-5	0	0	0	0	0	377,000	0	13,000	0	390,000	0	0	390,000
	Public Health	0	0	0	0	1,500	1,050,600	0	341,300	0	1,393,400	0	(1,326,000)	67,400
	BCF Programme Support													
4504	BCF Programme Support	72,200	600	0	0	0	0	0	0	0	72,800	0	0	72,800
	BCF Programme Support	72,200	600	0	0	0	0	0	0	0	72,800	0	0	72,800
	BCF: Coordination and Communication													
4514	BCF: Coordination and Communication	0	0	0	0	0	0	0	0	0	0	0	0	0
	BCF: Coordination and Communication	0	0	0	0	0	0	0	0	0	0	0	0	0
	BCF Community Agents													
4502	BCF: Community Prevention	0	0	0	0	0	38,200	0	147,000	0	185,200	0	0	185,200
	BCF Community Agents	0	0	0	0	0	38,200	0	147,000	0	185,200	0	0	185,200
	BCF Supporting Independence													
4489	BCF: Life Planning	51,500	0	0	0	27,000	46,500	0	0	0	125,000	0	0	125,000
4494	BCF: Integrated Urgent Response	147,100	0	0	0	0	100,000	0	(7,100)	0	240,000	0	0	240,000
4510	BCF: Integrated Community Care	53,200	0	0	0	59,800	405,000	0	0	0	518,000	0	0	518,000
4505	BCF: Hospital Transfer & Reablement	0	0	0	0	0	160,000	0	536,000	0	696,000	0	0	696,000
4515	BCF: Innovation Fund	0	0	0	0	0	0	0	0	0	0	0	0	0
	BCF Supporting Independence	251,800	0	0	0	86,800	711,500	0	528,900	0	1,579,000	0	0	1,579,000
	BCF Adult Social Care													
4511	BCF: Care Act Enablers	0	0	0	0	0	0	0	85,000	0	85,000	0	0	85,000
4498	BCF: Dementia Services	27,200	0	0	0	22,800	0	0	50,000	0	100,000	0	0	100,000
5609	BCF: Integrated Case Management	27,400	0	0	0	12,600	0	0	0	0	40,000	0	0	40,000
	BCF Adult Social Care	54,600	0	0	0	35,400	0	0	135,000	0	225,000	0	0	225,000
	Non BCF Contract & Procurement													
4119	Healthwatch and NHS Advocacy	0	0	0	0	0	72,400	0	0	0	72,400	0	0	72,400
4503	Better Care Together Programme	0	0	0	0	14,000	0	0	0	0	14,000	0	0	14,000
4513	Liquid Logic Implementation	0	0	0	0	0	0	0	0	0	0	0	0	0
4703	Contracts and Procurement	214,300	0	0	900	400	(150,000)	0	0	0	65,600	0	0	65,600
4670	Community Prevention and Wellness	0	0	0	0	0	517,200	0	(247,000)	0	270,200	0	0	270,200
	Non BCF Contract & Procurement	214,300	0	0	900	14,400	439,600	0	(247,000)	0	422,200	0	0	422,200

Cost Centre	Cost Centre Description	Employees		Premises	Transport	Supplies & Services	Third Party Payments	Transfer Payments	Recharges	Capital Financing	Total Expenditure	Other Income	Income form Gov't Grants	2017/18 Budget	
		Employees Pay £	Other Expenses £												£
	ASC - Community Inclusion														
4442	ASC Community Inclusion - Commu	421,500	1,700	0	2,300	1,000	0	0	0	0	426,500	(4,400)	0	422,100	
4460	ASC Community Inclusion - Day Opp	440,900	300	21,400	900	44,800	1,800	0	0	0	510,100	(184,500)	0	325,600	
4480	Advocacy Contract	0	0	0	0	0	10,800	0	0	0	10,800	0	0	10,800	
	ASC - Community Inclusion	862,400	2,000	21,400	3,200	45,800	12,600	0	0	0	947,400	(188,900)	0	758,500	
	ASC Prevention and Safeguarding														
4108	Direct Payments - Carer Support	0	0	0	0	0	0	165,000	(85,000)	0	80,000	0	0	80,000	
4130	Homecare - Carers Support	0	0	0	0	0	0	0	0	0	0	0	0	0	
4135	Carers Support Income	0	0	0	0	0	0	0	0	0	0	(20,500)	0	(20,500)	
4136	Respite - Mental Health	0	0	0	0	0	6,000	0	0	0	6,000	0	0	6,000	
4137	Respite - Older People	0	0	0	0	0	33,000	0	0	0	33,000	0	0	33,000	
4138	Respite - Physical Disabilities	0	0	0	0	0	4,000	0	0	0	4,000	0	0	4,000	
4139	Respite - Learning Disabilities	0	0	0	0	0	21,300	0	0	0	21,300	0	0	21,300	
4140	Other - Mental Health	0	0	0	0	5,000	0	0	0	0	5,000	0	0	5,000	
4371	Prison Assessments	0	0	0	0	0	12,200	0	0	0	12,200	0	0	12,200	
	ASC Prevention and Safeguarding	0	0	0	0	5,000	76,500	165,000	(85,000)	0	161,500	(20,500)	0	141,000	
	ASC Prevention and Safeguarding - Staffing														
5857	ASC Prevention and Safeguarding -	452,600	0	0	0	0	8,800	0	0	0	461,400	0	0	461,400	
	ASC Prevention and Safeguarding	452,600	0	0	0	0	8,800	0	0	0	461,400	0	0	461,400	
	ASC Support and Review - Daycare														
4282	Daycare - Older People	0	0	0	0	0	83,300	0	0	0	83,300	0	0	83,300	
4283	Daycare - Physical Disabilities	0	0	0	0	0	3,000	0	0	0	3,000	0	0	3,000	
4284	Daycare - Learning Disabilities	0	0	0	0	0	83,500	0	0	0	83,500	0	0	83,500	
	ASC Support and Review - Daycar	0	0	0	0	0	169,800	0	0	0	169,800	0	0	169,800	
	ASC Support and Review - Direct Payments														
4295	Direct Payments - Mental Health	0	0	0	0	0	0	19,000	0	0	19,000	0	0	19,000	
4296	Direct Payments - Older People	0	0	0	0	0	0	247,000	0	0	247,000	0	0	247,000	
4297	Direct Payments - Physical Disabilities	0	0	0	0	0	0	275,000	0	0	275,000	0	0	275,000	
4298	Direct Payments - Learning Disabilities	0	0	0	0	0	0	240,000	0	0	240,000	0	0	240,000	
4299	Direct Payments Income	0	0	0	0	0	0	0	0	0	0	(115,000)	0	(115,000)	
	ASC Support and Review - Direct	0	0	0	0	0	0	781,000	0	0	781,000	(115,000)	0	666,000	
	ASC Support and Review - Homecare														
4286	Homecare - Mental Health	0	0	0	0	0	20,000	0	0	0	20,000	0	0	20,000	
4287	Homecare - Older People	0	0	0	0	0	880,000	0	0	0	880,000	0	0	880,000	
4288	Homecare - Physical Disabilities	0	0	0	0	0	415,000	0	0	0	415,000	0	0	415,000	
4289	Homecare - Learning Disabilities	0	0	0	0	0	276,000	0	0	0	276,000	0	0	276,000	
4290	Homecare Income	0	0	0	0	0	0	0	0	0	0	(220,000)	0	(220,000)	
4553	Fairer Charging Income	0	0	0	0	0	0	0	0	0	0	(265,000)	0	(265,000)	
	ASC Support and Review - Homec	0	0	0	0	0	1,591,000	0	0	0	1,591,000	(485,000)	0	1,106,000	
	ASC Support and Review - Other														
4258	Adult Social Care Contracts	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Dilnot Contingency	0	0	0	0	0	0	0	0	0	0	0	0	0	
4262	Disabilities Contracts	0	0	0	0	0	17,000	0	0	0	17,000	0	0	17,000	
4495	Deprivation of Liberty Safeguards	0	0	0	0	0	241,200	0	0	0	241,200	0	0	241,200	
4107	Support and Review - Capital Charge	0	0	0	0	0	0	0	0	3,800	3,800	0	0	3,800	
5431	Transitions	0	0	0	0	5,000	0	0	0	0	5,000	0	0	5,000	
4506	HSC Protocol	0	0	0	0	17,500	0	0	0	0	17,500	0	0	17,500	
	ASC Support and Review - Other	0	0	0	0	22,500	258,200	0	0	3,800	284,500	0	0	284,500	

Cost Centre	Cost Centre Description	Employees		Premises	Transport	Supplies & Services	Third Party Payments	Transfer Payments	Recharges	Capital Financing	Total Expenditure	Other Income	Income form Gov't Grants	2017/18 Budget
		Employees Pay £	Other Expenses £											
4211	Placements	0	0	0	0	29,300	1,004,000	2,900	0	0	1,036,200	0	0	1,036,200
4213	Adoption	0	0	0	0	2,000	118,500	0	0	0	120,500	0	0	120,500
4225	Family Support Staffing	171,100	600	400	800	8,200	0	0	0	0	181,100	0	0	181,100
4202	CAMHS	0	0	0	0	0	10,600	0	0	0	10,600	0	0	10,600
	Fostering and Adoption	171,100	600	400	800	39,500	1,133,100	2,900	0	0	1,348,400	0	0	1,348,400
	Schools and Early Years													
5000	Primary Schools	0	0	0	0	0	0	0	0	189,300	189,300	0	0	189,300
4265	SEN Operations	289,300	100	0	400	2,800	66,900	0	(197,200)	0	162,300	0	0	162,300
5352	Early Senco (0-3yrs support)	0	0	0	0	0	13,200	0	0	0	13,200	0	0	13,200
5242	Personal Educational Allowance for L	0	0	0	0	5,100	10,900	0	0	0	16,000	0	0	16,000
5295	Secondary School Officer	34,900	0	0	0	0	0	0	0	0	34,900	0	0	34,900
5297	Rural Fund	0	0	0	0	0	0	0	0	45,200	45,200	0	0	45,200
5325	Governor Training	0	0	0	0	3,400	0	0	0	0	3,400	0	0	3,400
5336	Primary Officer	89,300	0	0	0	0	0	0	(15,800)	0	73,500	0	0	73,500
5360	School Improvement Consultancy	0	0	0	0	53,700	1,500	0	0	0	55,200	0	0	55,200
5395	Early Years Training	0	0	1,000	0	48,400	0	0	0	0	49,400	0	0	49,400
	Schools and Early Years	413,500	100	1,000	400	113,400	92,500	0	(213,000)	234,500	642,400	0	0	642,400
	Rutland Adult Learning and Skills Service (RALSS)													
5129	Community Learning	256,600	0	20,000	0	28,600	12,000	0	29,500	0	346,700	(33,000)	(263,900)	49,800
5202	Post Oct 2014 Rutland Adult Skills B	0	0	0	0	7,500	321,400	0	0	0	328,900	(8,000)	(370,700)	(49,800)
	Rutland Adult Learning and Skills	256,600	0	20,000	0	36,100	333,400	0	29,500	0	675,600	(41,000)	(634,600)	0
		7,434,700	27,700	106,100	70,200	840,700	10,946,800	1,053,800	(70,400)	252,100	20,661,700	(2,290,700)	(2,235,800)	16,135,200

Cost Centre	Cost Centre Description	2016/17 Q1 Budget	Reversal of One off entries	Transfers	Adjustments	PeopleFirst Savings	Savings	Pressures	Inflation	2017/18 Budget	Increase/ (Decrease)
		£	£	£		£		£	£	£	£
1812	Highways Capital Charges	1,332,300	0	0	0		0	0	0	1,332,300	0
	Total Highways Capital Charges	1,332,300	0	0	0	0	0	0	0	1,332,300	0
	Highways Management										
1515	Highways Management	253,800	0	0	0	0	(48,400)	0	10,700	216,100	(37,700)
1527	Highways S38 Income	(25,800)	0	0	0	0	0	0	0	(25,800)	0
	Total Highways Management	228,000	0	0	0	0	(48,400)	0	10,700	190,300	(37,700)
	Home to School Transport										
1520	Home to School Transport	600,200	0	0	0	(40,000)	0	0	12,100	572,300	(27,900)
1521	Post 16 Transport	112,200	0	0	0	0	0	0	2,200	114,400	2,200
1522	Education SEN Transport	0	0	0	0	0	0	0	0	0	0
4680	Transport Fleet	246,200	0	0	0	0	0	41,000	11,200	298,400	52,200
5377	SEN Transport	388,600	(25,000)	0	0	0	0	0	7,300	370,900	(17,700)
	Total Home to School Transport	1,347,200	(25,000)	0	0	(40,000)	0	41,000	32,800	1,356,000	8,800
	Lighting & Safety Barriers and Traffic Signals										
1506	Street Lighting	231,300	0	0	0	0	(149,800)	0	12,500	94,000	(137,300)
1507	Barriers	15,600	0	0	0	0	(300)	0	300	15,600	0
1536	Traffic Signal Maintenance	24,300	0	0	0	0	0	0	500	24,800	500
	Total Lighting & Safety Barriers and Traffic Signals	271,200	0	0	0	0	(150,100)	0	13,300	134,400	(136,800)
	Parking										
1600	Parking	(230,100)	0	5,000	0	0	(46,000)	0	6,100	(265,000)	(34,900)
	Total Parking	(230,100)	0	5,000	0	0	(46,000)	0	6,100	(265,000)	(34,900)
	Pool Cars and Car Hire										
1537	Pool Cars and Car Hire	94,400	0	0	0	0	0	0	2,800	97,200	2,800
	Total Pool Cars & Car Hire	94,400	0	0	0	0	0	0	2,800	97,200	2,800
	Public Protection										
1408	Warm Homes for Rutland	23,000	(23,000)	0	0	0	0	0	0	0	(23,000)
2003	Env & Trading Standards	426,700	0	0	0	0	(10,000)	0	8,500	425,200	(1,500)
2542	Environmental Protection Act	(2,400)	0	0	0	0	(600)	0	0	(3,000)	(600)
2590	Dog Warden & Pest Control Ser	29,100	0	0	0	0	(2,700)	0	1,000	27,400	(1,700)
2810	Licenses	(55,200)	0	0	0	0	(5,000)	0	(1,100)	(61,300)	(6,100)
	Total Public Protection	421,200	(23,000)	0	0	0	(18,300)	0	8,400	388,300	(32,900)
	Public Rights of Way										
1505	Public Rights of Way	108,000	0	0	0	0	(20,000)	0	2,400	90,400	(17,600)
	Total Public Rights of Way	108,000	0	0	0	0	(20,000)	0	2,400	90,400	(17,600)
	Public Transport										
1518	Public Transport	418,300	0	0	0	(10,000)	0	0	8,400	416,700	(1,600)
1519	Concessionary Travel	320,100	0	0	0	0	0	0	6,400	326,500	6,400
4103	Purchasing Transport Budget	61,600	0	21,100	0	0	0	24,300	1,200	108,200	46,600
5965	Community Vehicle	19,200	0	0	0	0	0	0	400	19,600	400
	Total Public Transport	819,200	0	21,100	0	(10,000)	0	24,300	16,400	871,000	51,800
	Road Maintenance										
1500	Surface Dressing	0	0	0	0	0	0	0	0	0	0
1501	Safety	100,000	0	0	0	0	(2,600)	0	2,600	100,000	0
1508	Carriageway Patching	354,200	0	(44,000)	0	0	(7,100)	0	7,100	310,200	(44,000)
1509	Footway Patching	40,200	0	0	0	0	(800)	0	800	40,200	0
1510	Minor Repairs	142,600	0	0	0	0	(2,900)	0	2,900	142,600	0

Cost Centre	Cost Centre Description	2016/17 Q1 Budget £	Reversal of One off entries £	Transfers £	Adjustments	PeopleFirst Savings £	Savings	Pressures £	Inflation £	2017/18 Budget £	Increase/ (Decrease) £
1511	Fixed Contract Costs	278,200	0	0	0	0	0	0	5,600	283,800	5,600
1532	Scanner Survey	12,300	0	0	0	0	0	0	200	12,500	200
	Total Road Maintenance	927,500	0	(44,000)	0	0	(13,400)	0	19,200	889,300	(38,200)
	Transport Management										
1516	Transport Strategy	219,500	0	0	0	0	0	0	4,300	223,800	4,300
1517	Transport Management	161,800	0	(21,100)	0	0	0	0	5,900	146,600	(15,200)
1535	Local Transport Plan	2,000	0	0	0	0	0	0	0	2,000	0
1538	Total Transport Fund	68,000	(68,000)	0	0	0	0	0	0	0	(68,000)
1540	Traffic Analysis & Data Collection	2,000	0	0	0	0	0	0	0	2,000	0
1541	Safety Partnership Arrangement	11,000	0	0	0	0	0	0	200	11,200	200
1542	Travel4Rutland	0	0	0	0	0	0	0	0	0	0
	Total Transport Management	464,300	(68,000)	(21,100)	0	0	0	0	10,400	385,600	(78,700)
	Waste Management										
2490	Refuse Collection	1,008,100	0	0	0	0	(20,500)	0	20,500	1,008,100	0
2500	Waste Management	1,116,800	0	0	2,300	0	(6,200)	223,000	25,600	1,361,500	244,700
	Total Waste Management	2,124,900	0	0	2,300	0	(26,700)	223,000	46,100	2,369,600	244,700
	Winter Maintenance										
1504	Winter Maintenance	267,500	0	0	0	0	(5,400)	0	5,400	267,500	0
	Total Winter Maintenance	267,500	0	0	0	0	(5,400)	0	5,400	267,500	0
	Total Crime Prevention										
4112	Crime And Disorder	70,900	0	(3,900)	0	0	0	0	1,500	68,500	(2,400)
4115	CCTV	8,400	0	3,900	1,700	0	0	0	200	14,200	5,800
4230	Youth Offending Service	72,800	0	0	0	0	0	0	1,500	74,300	1,500
	Total Crime Prevention	152,100	0	0	1,700	0	0	0	3,200	157,000	4,900
	Planning Policy										
1403	Planning Policy	235,900	0	16,400	0	0	0	0	4,700	257,000	21,100
1409	Neighbourhood Planning	24,000	(24,000)	0	0	0	0	0	0	0	(24,000)
	Total Planning Policy	259,900	(24,000)	16,400	0	0	0	0	4,700	257,000	(2,900)
	Housing										
4708	Housing Options Team	123,600	0	0	0	0	0	0	4,300	127,900	4,300
4709	Floating Support - Housing	108,100	0	(110,300)	0	0	0	0	2,200	0	(108,100)
4710	Homelessness	300	0	0	0	0	0	0	500	800	500
	Total Housing	232,000	0	(110,300)	0	0	0	0	7,000	128,700	(103,300)
	Tourism										
5846	Tourism (Anglian Water)	14,100	0	0	0	0	0	0	500	14,600	500
	Total Tourism	14,100	0	0	0	0	0	0	500	14,600	500
	Health & Safety										
2100	Health & Safety	37,400	0	0	0	0	0	0	700	38,100	700
	Total Health & Safety	37,400	0	0	0	0	0	0	700	38,100	700
	Property Services										
2600	Public Conveniences	17,000	0	0	0	0	0	0	300	17,300	300
2900	Admin Buildings	458,000	0	(5,600)	0	0	(80,000)	0	12,700	385,100	(72,900)
3500	Central Maintenance	168,800	0	0	0	0	0	0	3,400	172,200	3,400
3504	Barleythorpe Campus	0	0	0	0	0	0	40,000	0	40,000	40,000
3850	Property Services	295,600	0	0	0	0	(20,000)	0	24,700	300,300	4,700
3855	Central Furniture and Equipment	5,700	0	0	0	0	0	0	100	5,800	100

Cost Centre	Cost Centre Description	2016/17 Q1 Budget £	Reversal of One off entries £	Transfers £	Adjustments	PeopleFirst Savings £	Savings	Pressures £	Inflation £	2017/18 Budget £	Increase/ (Decrease) £
5823	Oakham Bus Station	18,000	0	0	0	0	0	0	400	18,400	400
	Total Property Services	963,100	0	(5,600)	0	0	(100,000)	40,000	41,600	939,100	(24,000)
	Building Control										
1402	Building Control	(47,100)	0	0	0	0	0	0	(1,000)	(48,100)	(1,000)
	Total Building Control	(47,100)	0	0	0	0	0	0	(1,000)	(48,100)	(1,000)
	Commercial & Industrial Properties										
5817	Oakham Enterprise Park	(170,400)	0	0	0	0	(39,000)	0	9,100	(200,300)	(29,900)
5820	Pit Lane	(38,500)	0	0	0	0	0	0	200	(38,300)	200
5821	Ashwell Road Business Units	1,900	0	600	0	0	0	0	700	3,200	1,300
5822	No 7 Church Passage	(5,000)	0	0	0	0	0	0	0	(5,000)	0
5824	Residential Garages	0	0	0	0	0	(20,000)	0	0	(20,000)	(20,000)
	Commercial & Industrial Properties	(212,000)	0	600	0	0	(59,000)	0	10,000	(260,400)	(48,400)
	Total Economic Development										
3702	Digital Rutland	48,000	(48,000)	0	0	0	0	47,000	(200)	46,800	(1,200)
5810	Economic Development	147,000	0	0	0	0	0	0	5,600	152,600	5,600
	Total Economic Development	195,000	(48,000)	0	0	0	0	47,000	5,400	199,400	4,400
	Culture & Registration Services										
3420	Registration Service	(18,800)	0	0	0	0	(10,000)	0	2,200	(26,600)	(7,800)
5710	Arts Development	9,800	0	0	0	0	0	0	200	10,000	200
5848	Culture and Leisure	94,500	0	0	0	0	0	0	5,800	100,300	5,800
	Total Culture & Registration Services	85,500	0	0	0	0	(10,000)	0	8,200	83,700	(1,800)
	Libraries										
5700	Libraries	404,100	0	12,300	0	0	(24,000)	15,000	14,400	421,800	17,700
5703	Mobile Library	43,200	0	0	0	0	0	0	800	44,000	800
5718	Prison Library Service - Stocken	700	0	0	0	0	(8,000)	0	700	(6,600)	(7,300)
	Total Libraries	448,000	0	12,300	0	0	(32,000)	15,000	15,900	459,200	11,200
	Museums Service										
5704	Museums Service	251,900	0	(14,500)	0	0	0	0	7,800	245,200	(6,700)
5705	Oakham Castle	50,400	0	(2,200)	0	0	0	0	0	48,200	(2,200)
5706	Records Office	51,100	0	0	0	0	0	0	1,000	52,100	1,000
5707	Museum Trading Account	(4,300)	0	0	0	0	0	0	0	(4,300)	0
5715	Learning And Outreach	11,400	0	0	0	0	0	0	0	11,400	0
5721	Heritage Grants	0	0	0	0	0	0	0	0	0	0
	Total Museum Services	360,500	0	(16,700)	0	0	0	0	8,800	352,600	(7,900)
	Sports & Leisure Services										
5711	Recreation and Leisure	32,700	0	13,000	0	0	(38,000)	0	(1,200)	6,500	(26,200)
5714	Local Sports Alliance	0	0	0	0	0	0	0	0	0	0
5722	Active Rutland Hub	600	0	(8,600)	0	0	0	0	2,100	(5,900)	(6,500)
5875	School Sports/Games	0	0	0	0	0	0	0	0	0	0
	Total Sports & Leisure Services	33,300	0	4,400	0	0	(38,000)	0	900	600	(32,700)
	Total Places	12,524,800	(208,000)	(110,300)	4,000	(50,000)	(586,300)	390,300	334,300	12,298,800	(226,000)

Appendix 4.2: Places Directorate Budget 2017/18

Cost Centre	Cost Centre Description	Employees		Premises £	Transport £	Supplies & Services £	Third Party Payments £	Transfer Payments £	Recharges £	Capital Financing £	Total Expenditure £	Other Income £	Income from Gov't Grants £	2017/18 Budget £
		Employees Pay £	Other Expenses £											
Directorate Management Costs														
3605	Director - Places (Development and Ed	98,500	0	0	700	200	0	0	0	0	99,400	0	0	99,400
3606	Director - Places (Environment, Planni	98,500	0	0	200	100	0	0	0	0	98,800	0	0	98,800
Directorate Management Costs		197,000	0	0	900	300	0	0	0	0	198,200	0	0	198,200
Development Control														
1400	Building & Development Control Suppo	134,300	0	0	0	1,300	0	0	0	0	135,600	(400)	0	135,200
1401	Development Control	312,100	1,500	0	400	34,600	37,400	0	0	0	386,000	(347,400)	0	38,600
3350	Land Charges	76,600	0	0	0	1,600	0	0	0	0	78,200	(67,300)	0	10,900
Development Control		523,000	1,500	0	400	37,500	37,400	0	0	0	599,800	(415,100)	0	184,700
Drainage & Structures														
1502	Drainage and Jetting	0	0	0	0	0	155,700	0	0	0	155,700	0	0	155,700
1503	Bridges and Culverts	0	0	0	0	0	20,500	0	0	0	20,500	0	0	20,500
1528	Sustainable Drainage	0	0	0	0	0	0	0	0	0	0	0	0	0
1530	Structural Services - Bridges	0	0	0	0	14,900	0	0	0	0	14,900	0	0	14,900
Drainage & Structures		0	0	0	0	14,900	176,200	0	0	0	191,100	0	0	191,100
Emergency Planning														
2985	Emergency Planning	0	0	0	0	0	29,700	0	0	0	29,700	0	0	29,700
Emergency Planning		0	0	0	0	0	29,700	0	0	0	29,700	0	0	29,700
Environmental Maintenance														
1524	Environmental Maintenance	0	0	188,800	0	0	0	0	0	0	188,800	0	0	188,800
2002	Waste And Amenities	258,900	0	0	1,800	300	0	0	0	0	261,000	0	0	261,000
2530	Street Cleaning	0	0	0	0	3,000	583,200	0	0	0	586,200	0	0	586,200
2615	Closed Churchyards	0	0	30,900	0	0	0	0	0	0	30,900	0	0	30,900
2690	Amenity Grass (Urban Grass & Public Open Spaces)	0	0	78,000	0	4,800	0	0	0	0	82,800	0	0	82,800
Environmental Maintenance		258,900	0	297,700	1,800	8,100	583,200	0	0	0	1,149,700	0	0	1,149,700
Forestry Maintenance														
1526	Forestry Maintenance	0	0	0	0	0	117,000	0	0	0	117,000	0	0	117,000
Forestry Maintenance		0	0	0	0	0	117,000	0	0	0	117,000	0	0	117,000
Highways Capital Charges														
1812	Highways Capital Charges	0	0	0	0	0	0	0	0	1,332,300	1,332,300	0	0	1,332,300
Highways Capital Charges		0	0	0	0	0	0	0	0	1,332,300	1,332,300	0	0	1,332,300
Highways Management														
1515	Highways Management	375,600	0	0	3,700	23,700	0	0	(113,800)	0	289,200	(73,100)	0	216,100
1527	Highways S38 Income	0	0	0	0	0	0	0	0	0	0	(25,800)	0	(25,800)
Highways Management		375,600	0	0	3,700	23,700	0	0	(113,800)	0	289,200	(98,900)	0	190,300
Home to School Transport														
1520	Home to School Transport	0	0	0	639,700	0	0	0	0	0	639,700	(67,400)	0	572,300
1521	Post 16 Transport	0	0	0	158,300	0	0	0	0	0	158,300	(43,900)	0	114,400
4680	Transport Fleet	215,500	0	0	82,800	100	0	0	0	0	298,400	0	0	298,400
5377	SEN Transport	0	0	0	370,900	0	0	0	0	0	370,900	0	0	370,900
Home to School Transport		215,500	0	0	1,251,700	100	0	0	0	0	1,467,300	(111,300)	0	1,356,000
Lighting & Safety Barriers and Traffic Signals														
1506	Street Lighting	0	0	60,000	0	0	58,000	0	0	0	118,000	(24,000)	0	94,000
1507	Barriers	0	0	0	0	0	15,600	0	0	0	15,600	0	0	15,600
1536	Traffic Signal Maintenance	0	0	0	0	24,800	0	0	0	0	24,800	0	0	24,800
Lighting & Safety Barriers and Traffic		0	0	60,000	0	24,800	73,600	0	0	0	158,400	(24,000)	0	134,400

Cost Centre	Cost Centre Description	Employees		Premises	Transport	Supplies & Services	Third Party Payments	Transfer Payments	Recharges	Capital Financing	Total Expenditure	Other Income	Income from Gov't Grants	2017/18 Budget
		Employees Pay	Other Expenses											
		£	£	£	£	£	£	£	£	£	£	£	£	£
	Parking													
1600	Parking	153,900	0	52,800	200	11,800	30,000	0	0	7,100	255,800	(520,800)	0	(265,000)
	Parking	153,900	0	52,800	200	11,800	30,000	0	0	7,100	255,800	(520,800)	0	(265,000)
	Pool Cars & Car Hire													
1537	Pool Cars and Car Hire	0	0	0	96,800	400	0	0	0	0	97,200	0	0	97,200
	Pool Cars & Car Hire	0	0	0	96,800	400	0	0	0	0	97,200	0	0	97,200
	Public Protection													
1408	Warm Homes for Rutland	0	0	0	0	0	0	0	0	0	0	0	0	0
2003	Env & Trading Standards	0	0	0	0	0	425,200	0	0	0	425,200	0	0	425,200
2542	Environmental Protection Act	0	0	0	0	0	0	0	0	0	0	(3,000)	0	(3,000)
2590	Dog Warden & Pest Control Ser	0	0	0	0	27,400	0	0	0	0	27,400	0	0	27,400
2810	Licenses	0	0	0	0	900	0	0	0	0	900	(62,200)	0	(61,300)
	Public Protection	0	0	0	0	28,300	425,200	0	0	0	453,500	(65,200)	0	388,300
	Public Rights of Way													
1505	Public Rights of Way	0	0	0	1,700	7,300	83,400	0	0	0	92,400	(2,000)	0	90,400
	Public Rights of Way	0	0	0	1,700	7,300	83,400	0	0	0	92,400	(2,000)	0	90,400
	Public Transport													
1518	Public Transport	0	0	0	0	8,400	408,300	0	0	0	416,700	0	0	416,700
1519	Concessionary Travel	0	0	0	0	1,900	0	324,600	0	0	326,500	0	0	326,500
4103	Purchasing Transport Budget	0	0	0	108,200	0	0	0	0	0	108,200	0	0	108,200
5965	Community Vehicle	0	0	0	0	19,600	0	0	0	0	19,600	0	0	19,600
	Public Transport	0	0	0	108,200	29,900	408,300	324,600	0	0	871,000	0	0	871,000
	Road Maintenance													
1501	Safety	0	0	10,500	0	0	89,500	0	0	0	100,000	0	0	100,000
1508	Carriageway Patching	0	0	0	0	0	310,200	0	0	0	310,200	0	0	310,200
1509	Footway Patching	0	0	0	0	0	40,200	0	0	0	40,200	0	0	40,200
1510	Minor Repairs	0	0	0	0	0	142,600	0	0	0	142,600	0	0	142,600
1511	Fixed Contract Costs	0	0	0	0	0	283,800	0	0	0	283,800	0	0	283,800
1532	Scanner Survey	0	0	0	0	12,500	0	0	0	0	12,500	0	0	12,500
	Road Maintenance	0	0	10,500	0	12,500	866,300	0	0	0	889,300	0	0	889,300
	Transport Management													
1516	Transport Strategy	256,200	0	0	800	2,100	0	0	(22,100)	0	237,000	0	(13,200)	223,800
1517	Transport Management	144,100	0	0	500	2,000	0	0	0	0	146,600	0	0	146,600
1535	Local Transport Plan	0	0	0	0	2,000	0	0	0	0	2,000	0	0	2,000
1538	Total Transport Fund	0	0	0	0	0	0	0	0	0	0	0	0	0
1540	Traffic Analysis & Data Collection	0	0	0	0	0	2,000	0	0	0	2,000	0	0	2,000
1541	Safety Partnership Arrangement	0	0	0	0	0	11,200	0	0	0	11,200	0	0	11,200
	Transport Management	400,300	0	0	1,300	6,100	13,200	0	(22,100)	0	398,800	0	(13,200)	385,600
	Waste Management													
2490	Refuse Collection	0	0	0	0	0	1,071,900	0	0	0	1,071,900	(63,800)	0	1,008,100
2500	Waste Management	0	0	37,200	0	19,200	1,355,600	0	0	0	1,412,000	(50,500)	0	1,361,500
	Waste Management	0	0	37,200	0	19,200	2,427,500	0	0	0	2,483,900	(114,300)	0	2,369,600
	Winter Maintenance													
1504	Winter Maintenance	0	0	0	0	0	267,500	0	0	0	267,500	0	0	267,500
	Winter Maintenance	0	0	0	0	0	267,500	0	0	0	267,500	0	0	267,500
	Crime Prevention													
4112	Crime And Disorder	0	0	0	0	8,500	60,000	0	0	0	68,500	0	0	68,500
4115	CCTV	0	0	1,200	0	10,800	4,000	0	0	4,600	20,600	(6,400)	0	14,200
4231	Youth Offending Service	0	0	0	0	0	74,300	0	0	0	74,300	0	0	74,300

Cost Centre	Cost Centre Description	Employees		Premises	Transport	Supplies & Services	Third Party Payments	Transfer Payments	Recharges	Capital Financing	Total Expenditure	Other Income	Income form Gov't Grants	2017/18 Budget
		Employees Pay	Other Expenses											
		£	£	£	£	£	£	£	£	£	£	£	£	£
	Crime Prevention	0	0	1,200	0	19,300	138,300	0	0	4,600	163,400	(6,400)	0	157,000
	Planning Policy													
1403	Planning Policy	268,800	300	0	1,200	14,100	24,000	0	(16,000)	0	292,400	(35,400)	0	257,000
1409	Neighbourhood Planning	0	0	0	0	66,000	0	0	9,000	0	75,000	0	(75,000)	0
	Planning Policy	268,800	300	0	1,200	80,100	24,000	0	(7,000)	0	367,400	(35,400)	(75,000)	257,000
	Housing													
4708	Housing Options Team	127,900	0	0	0	0	0	0	0	0	127,900	0	0	127,900
4709	Floating Support - Housing	0	0	0	0	0	0	0	0	0	0	0	0	0
4710	Homelessness	0	0	0	0	21,300	13,100	0	(30,800)	2,400	6,000	(5,200)	0	800
	Housing	127,900	0	0	0	21,300	13,100	0	(30,800)	2,400	133,900	(5,200)	0	128,700
	Tourism													
5846	Tourism (Anglian Water)	12,400	0	0	0	13,000	0	0	0	0	25,400	(10,800)	0	14,600
	Tourism	12,400	0	0	0	13,000	0	0	0	0	25,400	(10,800)	0	14,600
	Health & Safety													
2100	Health & Safety	36,400	0	0	0	1,700	0	0	0	0	38,100	0	0	38,100
	Health & Safety	36,400	0	0	0	1,700	0	0	0	0	38,100	0	0	38,100
	Property Services													
2600	Public Conveniences	0	0	13,400	0	0	0	0	0	3,900	17,300	0	0	17,300
2900	Admin Buildings	110,000	0	211,600	300	14,500	0	0	(8,600)	63,800	391,600	(3,800)	0	387,800
3500	Central Maintenance	0	0	172,200	0	0	0	0	0	0	172,200	0	0	172,200
3504	Barleythorpe Campus	0	0	40,000	0	0	0	0	0	0	40,000	0	0	40,000
3850	Property Services	378,500	0	0	1,200	900	0	0	(62,000)	0	318,600	(21,000)	0	297,600
3855	Central Furniture and Equipment	0	0	0	0	5,800	0	0	0	0	5,800	0	0	5,800
5823	Oakham Bus Station	0	0	18,400	0	0	0	0	0	0	18,400	0	0	18,400
	Property Services	488,500	0	455,600	1,500	21,200	0	0	(70,600)	67,700	963,900	(24,800)	0	939,100
	Building Control													
1402	Building Control	0	0	0	0	143,700	0	0	0	0	143,700	(191,800)	0	(48,100)
	Building Control	0	0	0	0	143,700	0	0	0	0	143,700	(191,800)	0	(48,100)
	Commercial & Industrial Properties													
5817	Oakham Enterprise Park	77,200	200	164,300	500	99,000	0	0	0	0	341,200	(541,500)	0	(200,300)
5820	Pit Lane	0	0	6,400	0	6,300	2,000	0	0	5,000	19,700	(58,000)	0	(38,300)
5821	Ashwell Road Business Units	0	0	24,200	0	3,200	0	0	0	0	27,400	(24,200)	0	3,200
5822	No 7 Church Passage	0	0	0	0	0	0	0	0	0	0	(5,000)	0	(5,000)
5824	Residential Garages	0	0	5,000	0	0	0	0	0	0	5,000	(25,000)	0	(20,000)
	Commercial & Industrial Properties	77,200	200	199,900	500	108,500	2,000	0	0	5,000	393,300	(653,700)	0	(260,400)
	Economic Development													
3702	Digital Rutland	26,400	0	0	0	20,400	0	0	0	0	46,800	0	0	46,800
5810	Economic Development	85,400	0	0	200	10,800	0	0	0	56,200	152,600	0	0	152,600
	Economic Development	111,800	0	0	200	31,200	0	0	0	56,200	199,400	0	0	199,400
	Culture & Registration Services													
3420	Registration Service	102,100	0	0	1,500	500	0	0	0	0	104,100	(130,700)	0	(26,600)
5710	Arts Development	0	0	0	0	7,100	2,900	0	0	0	10,000	0	0	10,000
5842	Culture and Leisure	99,900	0	0	200	200	0	0	0	0	100,300	0	0	100,300
	Culture & Registration Services	202,000	0	0	1,700	7,800	2,900	0	0	0	214,400	(130,700)	0	83,700
	Libraries													
5700	Libraries	243,500	300	56,300	4,600	107,200	4,400	0	(12,000)	41,600	445,900	(24,100)	0	421,800
5703	Mobile Library	23,200	0	0	8,800	500	0	0	0	11,500	44,000	0	0	44,000
5718	Prison Library Service - Stocken	56,100	100	0	500	18,400	0	0	7,000	0	82,100	(88,700)	0	(6,600)
	Libraries	322,800	400	56,300	13,900	126,100	4,400	0	(5,000)	53,100	572,000	(112,800)	0	459,200

Cost Centre	Cost Centre Description	Employees		Premises	Transport	Supplies & Services	Third Party Payments	Transfer Payments	Recharges	Capital Financing	Total Expenditure	Other Income	Income form Gov't Grants	2017/18 Budget
		Employees Pay £	Other Expenses £											
	Museum Services													
5704	Museums Service	151,100	0	53,300	1,300	11,200	0	0	(36,300)	68,800	249,400	(4,200)	0	245,200
5705	Oakham Castle	0	0	26,000	0	2,100	0	0	(36,300)	14,200	6,000	42,200	0	48,200
5706	Records Office	0	0	0	0	0	52,100	0	0	0	52,100	0	0	52,100
5707	Museum Trading Account	0	0	0	0	6,100	0	0	0	0	6,100	(10,400)	0	(4,300)
5715	Learning And Outreach	11,400	0	0	0	0	0	0	0	0	11,400	0	0	11,400
5721	Heritage Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
	Museum Services	162,500	0	79,300	1,300	19,400	52,100	0	(72,600)	83,000	325,000	27,600	0	352,600
	Sports & Leisure Services													
5711	Recreation and Leisure	84,000	100	0	2,000	6,200	9,800	0	(91,500)	17,900	28,500	(22,000)	0	6,500
5722	Active Rutland Hub	0	0	47,900	0	2,300	0	0	(12,600)	0	37,600	(43,500)	0	(5,900)
5875	School Sports/Games	43,200	0	0	500	100	0	0	0	0	43,800	(43,800)	0	0
	Sports & Leisure Services	127,200	100	47,900	2,500	8,600	9,800	0	(104,100)	17,900	109,900	(109,300)	0	600
		4,061,700	2,500	1,298,400	1,489,500	826,800	5,785,100	324,600	(426,000)	1,629,300	14,991,900	(2,604,900)	(88,200)	12,298,800

Appendix 5.1: Resources Directorate Budget 2017/18

This Appendix gives the detailed movement in cost centre budgets from the Approved 2016/17 Budget at Q1 to the proposed budget for 2017/18.

The reversal of one off entries column represents the removal of budgets such as one off transfers from earmarked reserves and budget carry forwards approved for 2016/17 but not required within the 2017/18 budget.

The Transfer column shows where functions have moved from one directorate to another since Q1 such as the Floating Support service and also includes the realignment of budgets between functions within the Directorate

The Adjustments column shows other minor movements in budgets such as an adjustment to a recharge to the Dedicated Schools Grant (DSG)

The Savings and Pressures columns agree to the relevant columns within the Savings and Pressures summary (see appendix 6 & 7)

Cost Centre	Cost Centre Description	2016/17 Q1 Budget £	Reversal of One off entries £	Transfers £	Adjustments	PeopleFirst Savings £	Savings	Pressures £	Inflation £	2017/18 Budget £	Increase/ (Decrease) £
	Chief Executives Office										
3700	Chief Executive	255,100	0	(40,800)	0	0	0	0	7,700	222,000	(33,100)
5845	Communication	77,300	(13,000)	0	0	0	0	0	2,300	66,600	(10,700)
	Total Chief Executives Office	332,400	(13,000)	(40,800)	0	0	0	0	10,000	288,600	(43,800)
	Directorate Management Costs										
3100	Assistant Director of Finance	95,200	0	0	0	0	0	0	5,800	101,000	5,800
3100	Corporate Projects	51,600	(51,600)	0	0	0	0	0	0	0	(51,600)
3603	Director of Resources	109,500	0	0	0	0	0	0	6,300	115,800	6,300
	Total Directorate Management Costs	256,300	(51,600)	0	0	0	0	0	12,100	216,800	(39,500)
	Total Corporate Costs										
3106	Coroner	37,700	0	0	0	0	0	0	800	38,500	800
3701	Welland Procurement	32,700	0	0	0	0	0	0	700	33,400	700
3714	Corporate Subscriptions	32,600	0	0	0	0	0	0	700	33,300	700
3719	Standards of Conduct	5,800	0	0	0	0	0	0	100	5,900	100
3721	External Levies	45,800	0	0	0	0	(14,000)	54,000	900	86,700	40,900
3722	Stationary	10,000	0	0	0	0	(2,000)	0	200	8,200	(1,800)
	Total Corporate Costs	164,600	0	0	0	0	(16,000)	54,000	3,400	206,000	41,400
	Pensions										
3455	Pension Costs	160,000	0	0	0	0	0	0	0	160,000	0
5322	Pensions	60,000	0	0	0	0	0	0	0	60,000	0
	Total Pensions	220,000	0	0	0	0	0	0	0	220,000	0
	Audit Services										
3713	Welland Internal Audit Consortium	1,700	0	(4,000)	0	0	0	0	2,300	0	(1,700)
3720	External Audit & Inspection	75,000	0	0	0	0	0	0	1,500	76,500	1,500
3730	Internal Audit RCC Share	85,000	0	4,000	0	0	0	0	0	89,000	4,000
	Total Audit Services	161,700	0	0	0	0	0	0	3,800	165,500	3,800
	Insurance										
3458	Corporate Insurance	210,300	0	0	0	0	0	0	4,900	215,200	4,900

Cost Centre	Cost Centre Description	2016/17 Q1 Budget £	Reversal of One off entries £	Transfers £	Adjustments	PeopleFirst Savings £	Savings	Pressures £	Inflation £	2017/18 Budget £	Increase/ (Decrease) £
3000	Revenues	145,900	0	0	1,100	0	(13,000)	0	5,300	139,300	(6,600)
3001	AllPay	12,300	0	0	0	0	0	0	200	12,500	200
3010	Counter Fraud Section	7,900	0	0	0	0	0	0	200	8,100	200
3015	Benefit Processing	112,800	(20,000)	0	0	0	0	0	4,500	97,300	(15,500)
3021	Housing Benefit Payments	46,300	0	0	0	0	0	0	0	46,300	0
3250	Community Care Finance	109,800	(23,000)	0	0	0	0	0	2,500	89,300	(20,500)
	Total Revenues and Benefits	435,000	(43,000)	0	1,100	0	(13,000)	0	12,700	392,800	(42,200)
	Financial Support										
3002	Financial Crisis Support	25,000	(25,000)	0	0	0	0	0	0	0	(25,000)
3025	Discretionary Hardship Fund	50,000	0	0	0	0	(25,000)	0	0	25,000	(25,000)
	Total Financial Support	75,000	(25,000)	0	0	0	(25,000)	0	0	25,000	(50,000)
	Total Resources	5,767,800	(390,600)	(40,800)	1,100	0	(114,000)	54,000	127,100	5,404,600	(363,200)

Appendix 5.2: Resources Directorate Budget 2017-18

Cost Centre	Cost Centre Description	Employees		Premises	Transport	Supplies & Services	Third Party Payments	Transfer Payments	Recharges	Capital Financing	Total Expenditure	Other Income	Income form Gov't Grants	2017-18 Budget
		Employees Pay	Other Expenses											
		£	£	£	£	£	£	£	£	£	£	£	£	£
	Chief Executives Office													
3700	Chief Executive	201,100	9,900	0	1,500	7,500	2,000	0	0	0	222,000	0	0	222,000
5845	Communication	49,000	0	0	0	17,600	0	0	0	0	66,600	0	0	66,600
	Chief Executives Office	250,100	9,900	0	1,500	25,100	2,000	0	0	0	288,600	0	0	288,600
	Directorate Management Costs													
3104	Assistant Director of Finance	100,300	0	0	400	300	0	0	0	0	101,000	0	0	101,000
3603	Director of Resources	113,900	0	0	300	1,600	0	0	0	0	115,800	0	0	115,800
	Directorate Management Costs	214,200	0	0	700	1,900	0	0	0	0	216,800	0	0	216,800
	Corporate Costs													
3106	Coroner	0	0	0	0	0	38,500	0	0	0	38,500	0	0	38,500
3701	Welland Procurement	0	0	0	0	0	33,400	0	0	0	33,400	0	0	33,400
3714	Corporate Subscriptions	0	0	0	0	33,300	0	0	0	0	33,300	0	0	33,300
3719	Standards of Conduct	0	0	0	0	5,900	0	0	0	0	5,900	0	0	5,900
3721	External Levies	0	0	0	0	0	86,700	0	0	0	86,700	0	0	86,700
3722	Stationery	0	0	0	0	8,200	0	0	0	0	8,200	0	0	8,200
	Corporate Costs	0	0	0	0	47,400	158,600	0	0	0	206,000	0	0	206,000
	Pensions													
3455	Pension Costs	0	160,000	0	0	0	0	0	0	0	160,000	0	0	160,000
5322	Pensions	0	60,000	0	0	0	0	0	0	0	60,000	0	0	60,000
	Pensions	0	220,000	0	0	0	0	0	0	0	220,000	0	0	220,000
	Audit Services													
3720	External Audit & Inspection	0	0	0	0	76,500	0	0	0	0	76,500	0	0	76,500
3730	Internal Audit RCC Share	0	0	0	0	89,000	0	0	0	0	89,000	0	0	89,000
	Audit Services	0	0	0	0	165,500	0	0	0	0	165,500	0	0	165,500
	Insurance													
3458	Corporate Insurance	0	26,400	112,300	21,300	92,100	0	0	(16,600)	0	235,500	(20,300)	0	215,200
	Insurance	0	26,400	112,300	21,300	92,100	0	0	(16,600)	0	235,500	(20,300)	0	215,200
	Accountancy & Finance													
3103	Finance	562,300	700	0	2,100	1,600	0	0	(9,000)	0	557,700	0	0	557,700
3813	Corporate Financial Expenses	0	0	0	0	64,600	0	0	0	0	64,600	(5,100)	0	59,500
	Accountancy & Finance	562,300	700	0	2,100	66,200	0	0	(9,000)	0	622,300	(5,100)	0	617,200
	Information Technology													
3102	Head of IT and Customer Services	74,800	1,000	0	500	100	0	0	0	0	76,400	0	0	76,400
3740	Information Technology Dept	237,000	0	0	500	500	0	0	0	0	238,000	0	0	238,000
3820	IT Operational Support	0	0	0	0	800,200	0	0	0	0	800,200	0	0	800,200
3821	Mobile Phones	0	0	0	0	29,600	0	0	(1,700)	0	27,900	0	0	27,900
3822	Telecommunications	0	0	0	0	56,000	0	0	0	15,600	71,600	0	0	71,600
	Information Technology	311,800	1,000	0	1,000	886,400	0	0	(1,700)	15,600	1,214,100	0	0	1,214,100
	Corporate Support Services													
3108	Corporate Support Services	324,800	0	0	300	1,700	0	0	0	0	326,800	0	(3,000)	323,800
3716	Reprographics & Post	0	0	0	0	147,400	0	0	0	0	147,400	0	0	147,400
4422	Blue Badge Scheme	31,100	0	0	0	0	0	0	0	0	31,100	(5,600)	0	25,500
5350	Performance & Application Support	76,200	0	0	200	300	0	0	0	0	76,700	0	0	76,700
	Corporate Support Services	432,100	0	0	500	149,400	0	0	0	0	582,000	(5,600)	(3,000)	573,400
	Members Services													
3107	Members Training	0	5,000	0	0	0	0	0	0	0	5,000	0	0	5,000
3710	Members Services	5,000	0	0	500	190,300	0	0	0	0	195,800	0	0	195,800

Cost Centre	Cost Centre Description	Employees		Premises	Transport	Supplies & Services	Third Party Payments	Transfer Payments	Recharges	Capital Financing	Total Expenditure	Other Income	Income form Gov't Grants	2017-18 Budget
		Employees Pay £	Other Expenses £											
3715	Civic Expenses	0	0	0	0	6,000	0	0	0	0	6,000	0	0	6,000
	Members Services	5,000	5,000	0	500	196,300	0	0	0	0	206,800	0	0	206,800
	Customer Services Team													
3450	Customer Services Team	188,900	200	0	0	500	1,000	0	0	0	190,600	0	0	190,600
4508	Information Administration	32,800	0	0	0	12,200	0	0	0	0	45,000	0	0	45,000
	Customer Services Team	221,700	200	0	0	12,700	1,000	0	0	0	235,600	0	0	235,600
	Elections													
3040	Elections - Administration	10,100	0	0	0	7,000	0	0	0	0	17,100	0	0	17,100
	Elections	10,100	0	0	0	7,000	0	0	0	0	17,100	0	0	17,100
	Legal & Governance													
3105	Head of Corporate Governance	76,900	0	0	200	0	0	0	0	0	77,100	0	0	77,100
3840	Legal Services	0	0	0	0	51,400	249,700	0	0	0	301,100	(17,900)	0	283,200
	Legal & Governance	76,900	0	0	200	51,400	249,700	0	0	0	378,200	(17,900)	0	360,300
	Human Resources													
3711	Human Resources	270,700	15,700	0	0	39,800	0	0	(3,700)	0	322,500	(4,600)	0	317,900
3718	Training, Confs & Seminars	0	10,200	0	0	122,100	0	0	0	0	132,300	0	0	132,300
	Human Resources	270,700	25,900	0	0	161,900	0	0	(3,700)	0	454,800	(4,600)	0	450,200
	Revenues and Benefits													
3000	Revenues	198,500	0	0	600	30,800	0	0	7,000	0	236,900	(97,600)	0	139,300
3001	AllPay	0	0	0	0	12,500	0	0	0	0	12,500	0	0	12,500
3010	Counter Fraud Section	0	0	0	0	10,200	0	0	0	0	10,200	(2,100)	0	8,100
3015	Benefit Processing	191,100	0	0	500	200	0	0	0	0	191,800	0	(94,500)	97,300
3021	Housing Benefit Payments	0	0	0	0	0	0	5,238,200	0	0	5,238,200	0	(5,191,900)	46,300
3250	Community Care Finance	92,000	0	0	100	1,300	0	0	0	0	93,400	(4,100)	0	89,300
	Revenues and Benefits	481,600	0	0	1,200	55,000	0	5,238,200	7,000	0	5,783,000	(103,800)	(5,286,400)	392,800
	Financial Support													
3002	Financial Crisis Support	0	0	0	0	0	0	0	0	0	0	0	0	0
3025	Discretionary Hardship Fund	0	0	0	0	0	0	25,000	0	0	25,000	0	0	25,000
	Financial Support	0	0	0	0	0	0	25,000	0	0	25,000	0	0	25,000
		2,836,500	289,100	112,300	29,000	1,918,300	411,300	5,263,200	(24,000)	15,600	10,851,300	(157,300)	(5,289,400)	5,404,600

Appendix 6: 2017/18 Savings Analysis

This Appendix gives a description of the new savings on Functional Budgets, and should be used to support the Directorate summaries (Appendices 3-5).

Savings themselves can be categorised as follows:

1. Those already included in the MTFP – these represent savings arising from decisions already made by Council or Cabinet;
2. New savings – New saving proposals submitted by Officers for review;

All savings have been subject to an Equality Impact Screening Assessment. This has indicated a full assessment is not required.

As well as the savings identified within this Appendix, the Council has also achieved £84k of savings on inflation included within the MTFP and £732k of savings as a result of the PeopleFirst Review. The PeopleFirst savings are shown in the Directorate summaries.

Cost Centre	Cost Centre Description	Savings Already in MTFP £	New Savings £	Total Savings £	Description of Saving
03 5360	People Directorate Schools and Early Years				Additional investment in school improvement services was made previously as one off funding but was then extended for a second year (total investment was actually £100k of which £50k was invested by schools through their funding). Improvements have been made as reflected in the latest educational attainment results and budget has been restored to its previous level.
	School Improvement	0	(25,000)	(25,000)	
	Schools and Early Years	0	(25,000)	(25,000)	
	Total People Directorate	0	(25,000)	(25,000)	
	Places Directorate Highways				A capital programme to upgrade all suitable lighting stock to LED is already underway and on target to be completed in 2016/17. The upgrades are forecast to cost around £800k and result in revenue savings of around £120k per year contributing £0.5m to MTFP gap over 5 years. In 2017/18 £150k of the £212k saving relates to lighting Highways Asset Management Plan (HAMP) and Highway Inspection Policy is currently under review, along with lifecycle planning for highway assets, which will give Members the opportunity to review service levels and
1502	Drainage and Jetting	0	(1,700)	(1,700)	
1503	Bridges and Culverts	0	(700)	(700)	
1531	Forestry Advice	0	(2,300)	(2,300)	
1501	Safety	0	(2,600)	(2,600)	
1508	Carriageway Patching	0	(7,100)	(7,100)	
1509	Footway Patching	0	(800)	(800)	
1510	Minor Repairs	0	(2,900)	(2,900)	
1515	Highways Management	0	(38,400)	(38,400)	
1506	Street Lighting	0	(149,800)	(149,800)	

Cost Centre	Cost Centre Description	Savings Already in MTFP £	New Savings £	Total Savings £	Description of Saving
1507	Barriers	0	(300)	(300)	expenditure
1504	Winter Maintenance	0	(5,400)	(5,400)	
	Total Highways	0	(212,000)	(212,000)	
	Environmental Maintenance				
2002	Environmental Services	0	(2,000)	(2,000)	Small reductions across various budgets identified as not required
2690	Amenity Grass	0	(300)	(300)	
	Total Environmental Maintenance	0	(2,300)	(2,300)	
	Forestry Maintenance				
1526	Forestry Maintenance	0	(3,000)	(3,000)	The skill set of the new Forestry Officer has reduced the need for external consultancy as well as the potential for selling of services. Over last 3 years an average of £9k pa has been spent on forestry advice. It is proposed that the Forestry Officer can also provide a tree risk assessment service including advice on statutory works to organisations such as schools, parish councils and housing associations.
1531	Forestry Advice	0	(9,000)	(9,000)	
	Total Forestry Maintenance	0	(12,000)	(12,000)	
	Highways Management				
94	Highways Management	0	(10,000)	(10,000)	Introduction of the road works permit scheme charging utility companies for road works to improve management of all works on our roads and reduce unnecessary disruption to road users.
	Total Highways Management	0	(10,000)	(10,000)	
	Parking				
1600	Parking	0	(46,000)	(46,000)	(i) £35k increase parking charges by around 8%. Charges were last increased in 2012, so this would be in line with inflation. Options for tariffs will be presented for discussion. (ii) £11k Headcount saving - Part time vacancy to be removed from establishment, maintaining the current level of 118 hours of enforcement per week as managed within the team over the last financial year.
	Total Parking	0	(46,000)	(46,000)	
	Public Rights of Way				
1505	Public Rights of Way	0	(20,000)	(20,000)	The cost of mowing/vegetation clearance on the public rights of way network over the past 5 years has reduced substantially from £46k in 13/14 and is estimated to cost £15k in 17/18, partly facilitated by using in house staff to carry out work.
	Total Public Rights of Way	0	(20,000)	(20,000)	

Cost Centre	Cost Centre Description	Savings Already in MTFP £	New Savings £	Total Savings £	Description of Saving
	Public Protection				
2003	Env & Trading Standards	0	(10,000)	(10,000)	
2542	Environmental Protection	0	(600)	(600)	Small reductions across various budgets identified as not required
2590	Dog Warden & Pest Control	0	(2,700)	(2,700)	
2810	Licences	0	(5,000)	(5,000)	Environmental Services business licences issued have been over achieving budget for a numebr of years and this is expected to continue. Licences net budget is £55k but licences have been exceeding £60k
	Total Public Protection	0	(18,300)	(18,300)	
	Waste Management				
2480	Refuse Collection	0	(20,500)	(20,500)	(i) £20k introduction of charge for additional green/garden waste bins (current free of charge) based on the assumptions of a fee of £40 for a collection service per year anda take up rate of 500 households. (ii) £500 small reduction in budget identified as not required
2500	Waste Management	0	(6,200)	(6,200)	Small reductions across various budgets identified as not required
	Total Waste Management	0	(26,700)	(26,700)	
	Property Services				
2900	Admin Buildings	0	(80,000)	(80,000)	(i) £55k Removal of repairs and maintenance budget in Property Services as property repairs covered by Central Maintenance repairs and maintenance budgets. Charging external organisations for Property Services expertise and improving in house capitalisation of project management costs.
3850	Property Services	0	(20,000)	(20,000)	(ii) £45k Headcount saving - with a budgeted FTE for cleanign staff of 8.79 but only 1.34 in post much of the cleaning is covered by contract cleaners. The proposal is to outsource the remaining cleaning staff, as well as the premises officers, call desk and 'out of hours' response team.
	Total Property Services	0	(100,000)	(100,000)	
	Commercial & Industrial Properties				
5817	Oakham Enterprise Park	(39,000)	0	(39,000)	

Cost Centre	Cost Centre Description	Savings Already in MTFP £	New Savings £	Total Savings £	Description of Saving
5824	Residential Garages	0	(20,000)	(20,000)	Income from garages transferred from Spire Homes. Last year, the budget was set at £0 reflecting the fact that work was required to undertake works at the garages and the income raised was set aside to cover these costs. This has been completed and c£20k surplus on the budget is expected in 17/18.
	Commercial & Industrial Properties	(39,000)	(20,000)	(59,000)	
	Culture & Registration Services				
3420	Registration Service	0	(10,000)	(10,000)	last couple of financial years net surplus c£10k. At Q2 2016/17 Weddings 288 (270 2015/16), deaths 121 (114 2015/16), births 157 (131 2015/16)
	Total Culture & Registration Services	0	(10,000)	(10,000)	
	Libraries				
5700	Libraries	0	(24,000)	(24,000)	(i) £19k Headcount - reconfiguration of staffing structure, amendments to duties and changes in hours reducing reliance on need for overtime. Future savings will also be facilitated by the installation of new self-access technology enabling unstaffed provision. This has been successfully achieved in other authorities. (ii) £5k - 7% reduction of book fund as resources are directed to providing e-books and online resources available 24/7, reflecting changes in demand
5718	Prison Library Service	0	(8,000)	(8,000)	Increased grant income by £8k (from £81k to £88k) from Prison Library Service due to increase in inmate numbers but no impact on library costs
	Total Libraries	0	(32,000)	(32,000)	
	Sports & Leisure Services				
5711	Recreation and Leisure	0	(38,000)	(38,000)	(i) £34k Headcount saving - Sports Development Manager post is currently vacant, and can therefore be removed from the establishment. The role oversees Active Recreation which will now be covered by the Head of Culture and Registration. As the Head of Culture and Registration also oversees Libraries, Museums as well as all things culture this will result in a reduction in service capacity to undertake sport and physical activity projects such as public health intervention schemes, major funding bids and developing partnerships. (ii) £4k Reduction in Active Recreation promotional budget in line with activity due to a reduction in service capacity.

Cost Centre	Cost Centre Description	Savings Already in MTFP £	New Savings £	Total Savings £	Description of Saving
	Total Sports & Leisure Services	0	(38,000)	(38,000)	
	Total Places	(39,000)	(547,300)	(586,300)	
	Resources Directorate Corporate Costs				
3722	Stationery	0	(2,000)	(2,000)	Small reductions across various budgets inc technical reduction on the cost of apprenticeship levy
3721	External Levies	0	(14,000)	(14,000)	
	Total Corporate Costs	0	(16,000)	(16,000)	
	Accountancy & Finance				
3103	Finance	0	(30,000)	(30,000)	Headcount saving - Review of team structure with implementation of new Agresso easing the burden on transaction processing. Vacancies within the team held rather than loss of staff.
	Total Accountancy & Finance	0	(30,000)	(30,000)	
97	Corporate Support Services				
3108	Corporate Support Services	0	(30,000)	(30,000)	Headcount saving - There are a number of vacancies in the team at the moment, being covered by temporary arrangements and the Head of Corporate Governance post is vacant. A review of the structure is being undertaken, linked to but separate to the Admin Review. Saving expected to be c£30k
	Total Corporate Support Services	0	(30,000)	(30,000)	
	Revenues and Benefits				
3000	Revenues	0	(13,000)	(13,000)	Headcount saving - Service review completed. New posts created in respect of debt recovery (an area that needs to be strengthened in this climate) and deputyships (Council has a growing caseload). Savings are being made in relation to some admin posts as changes being made to business processes.
	Total Revenues and Benefits	0	(13,000)	(13,000)	
	Financial Support				
3025	Discretionary Hardship Fund	0	(25,000)	(25,000)	Demand has traditionally been c£25k against a budget of £50k. The budget is simply being reduced to £25k but can be topped up through a specific earmarked welfare reserve. There is no change in policy so residents in need will continue to be supported.
	Total Financial Support	0	(25,000)	(25,000)	

Cost Centre	Cost Centre Description	Savings Already in MTFP £	New Savings £	Total Savings £	Description of Saving
	Total Resources	0	(114,000)	(114,000)	
	Total Directorate Savings	(39,000)	(686,300)	(725,300)	
	Corporate Savings				
	Headcount Reduction Target	(75,000)	(46,000)	(121,000)	The headcount includes a further target of £121k (of which £75k was already in 16/17) to be saved in 17/18. The Council is doing an admin review which will contribute significantly to this saving.
	Total Corporate Savings	(75,000)	(46,000)	(121,000)	
	Total Savings	(114,000)	(732,300)	(846,300)	

Appendix 7: 2017/18 Pressure Analysis

This Appendix gives a description of the net pressures on Functional Budgets, and should be used to support the Directorate summaries (Appendices 3-5).

Pressures themselves have been categorised as follows.

1. Those already included within MTFP - these represent additional pressures arising from Decisions already made by Council or Cabinet;
2. Reversal of Pressures - Reversing pressures already within the MTFP (as no longer required);
3. Pressures funded from earmarked reserves - these represent spending where specific reserves exist to support the expenditure; and

Cost Centre	Cost Centre Description	Pressures Already within MTFP £	Reversal of Pressure / Saving in MTFP £	New Pressures £	Pressures Funded by Earmarked Reserves £	Total Pressures 2017/18 £	Description of Pressure
	People Directorate						
	Public Health						
66	Public Health				57,400	57,400	Public Health Grant funding has been reduced by £65k. In order to give the Director of Public Health the time required to reduce existing costs via renegotiated contracts, the Earmarked reserve will be used to fund shortfall. Therefore there will be no impact on the General Fund.
	Public Health	0	0	0	57,400	57,400	
	Community Inclusion						
4460	Day Opportunities	0	19,900	0	0	19,900	Reversal of external funding received for 15/16 and 16/17 only
	Community Inclusion	0	19,900	0	0	19,900	
	ASC Prevention & Safeguarding - Staffing						
5857	ASC Prevention & Safeguarding - Staffin	0	16,600	0		16,600	Reversal of external funding received for 15/16 and 16/17 only
	Dilnot Contingency	100,000				100,000	Cost implications of the Dilnot Commission proposals for the future funding of adult social care.
	ASC Prevention & Safeguarding - Staff	100,000	16,600	0	0	116,600	
	Early Intervention - Targeted Intervention						
4207	Disabled Children			221,700		221,700	The Children With Disabilities (CWD) service has additional pressures with the need to meet the costs of further specialist placement provision for children with disabilities. Two new children assessed as needing social care support and requiring specialist high cost placements
	Early Intervention - Targeted Intervent	0	0	221,700	0	221,700	

Cost Centre	Cost Centre Description	Pressures Already within MTFP £	Reversal of Pressure / Saving in MTFP £	New Pressures £	Pressures Funded by Earmarked Reserves £	Total Pressures 2017/18 £	Description of Pressure
	Fostering and Adoption						
4211	Placements	20,000		201,700		221,700	On average, there has been 35 Looked After Children (LAC) over the last 18 months. However, this has ranged from between 30 and 40 at any one time leading to an increase in demand for short term placements. Also, there have been additional costs associated with specialist long term placements.
4213	Adoption			35,000		35,000	A number of LAC currently in foster care are in the process of being adopted which should see overall costs between the two services reduce.
	Fostering and Adoption	20,000	0	236,700	0	256,700	
	Schools and Early Years						
4265	SEN Operations		(25,000)			(25,000)	Reversal of funding for fixed term contract for SEND reform officer post that was funded from the SEND grant reserve
100							The Early Years funding within the Dedicated Schools Grant (DSG) is changing from 1st April 2017 and the amount that the Council will be able to retain centrally to fund staffing will reduce.
5336	Primary Officer			20,000		20,000	In order to maintain the support currently provided to Early Years Providers and Primary Schools, costs previously funded through the DSG will need to be met from the General Fund.
	Schools and Early Years	0	(25,000)	20,000	0	(5,000)	
	Total People Directorate	120,000	11,500	478,400	57,400	667,300	

Cost Centre	Cost Centre Description	Pressures Already within MTFP £	Reversal of Pressure / Saving in MTFP £	New Pressures £	Pressures Funded by Earmarked Reserves £	Total Pressures 2017/18 £	Description of Pressure
	Places Directorate Property Services						
3504	Barleythorpe Campus			40,000		40,000	In July next year (2017) Rutland County College is surrendering their lease and returning the asset to us. This bid assumes that a decision on the future has not been made and that we will be picking up 100% of holding costs from day 1. The initial high cost is to cover the installation of security features such as boarding, CCTV and also the decommissioning of the building. This is a cost for 1 year only as the Council is seeking to secure a new tenant as soon as possible. If possible the Council will try to have a tenant in place to mitigate this cost in 17/18.
	Total Property Services	0	0	40,000	0	40,000	
101	Transport						
4680	Transport Fleet			41,000		41,000	Last financial year Drivers and Passenger Transport Assistants were recruited to deliver 9 SEN routes in house. The pressure is an increase in numbers of drivers and assistants taken on to provide transport services for an additional route. Although no savings on budget using external operators would have created a pressure of £90k.
4103	Purchasing Transport			24,300		24,300	Transport pressure for Adult Social Services as additional clients in wheelchairs requiring regular transport to day centres. The transport will be required until clients are either unable to attend or move from the area.
	Total Transport	0	0	65,300	0	65,300	

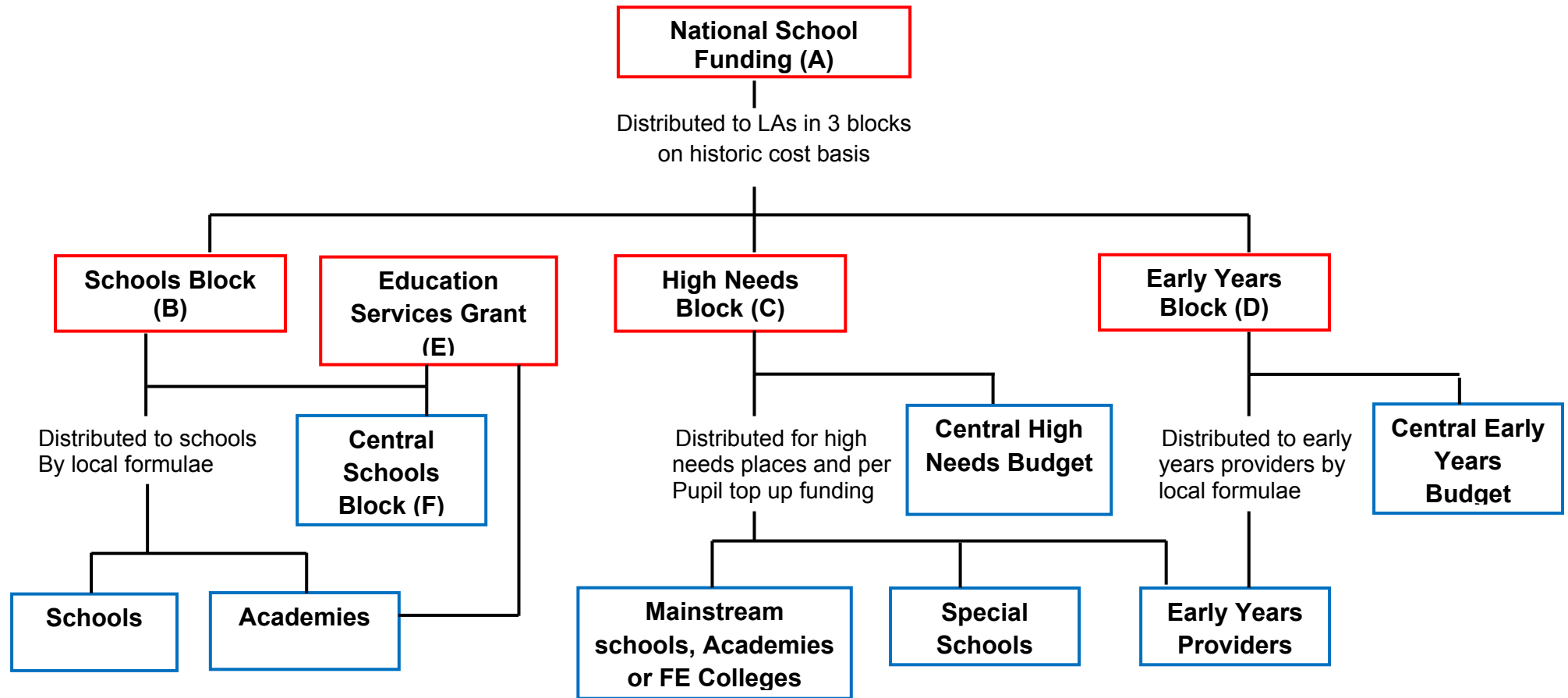
Cost Centre	Cost Centre Description	Pressures Already within MTFP £	Reversal of Pressure / Saving in MTFP £	New Pressures £	Pressures Funded by Earmarked Reserves £	Total Pressures 2017/18 £	Description of Pressure
	Waste Management						
2500	Waste Management	0	0	223,000		223,000	Cost pressures due to increased waste tonnages and adverse pricing changes. Based on tonnages to date, the expected increase in Green waste tonnages for the year is 600 tonnes (total for year 5600). The anticipated increase in Residual Waste for the year is 400 tonnes (total for year 8700). Currently, the Council recycles 32 different materials which generates income. However, Dry Mixed Recycling (grey bins) which used to generate income of £20/t (annual tonnage @4000) is now costing between £10/t and £15/t. The Waste Strategy is in the process of being revised to drive waste minimisation activities.
	Total Waste Management	0	0	223,000	0	223,000	
	Economic Development						
102							
3702	Digital Rutland				47,000	47,000	This is the predicted funding required for the continuation of the project into 2017/18. The amount includes costys for the part time project manager and additional professional fees. Funding was originally approved by Cabinet in report 43/2011 and the expenditure will be funded from the earmarked reserve.
	Economic Development	0	0	0	47,000	47,000	
	Libraries						
5700	Libraries				15,000	15,000	The installation of new self-access technology enabling unstaffed provision is an invest to save scheme which will facilitate future savings. This has been successfully achieved in other authorities. This will be funded from the Invest to Save earmarked reserve and will not be a cost to the General Fund
	Libraries	0	0	0	15,000	15,000	
	Total Places Directorate	0	0	328,300	62,000	390,300	

Cost Centre	Cost Centre Description	Pressures Already within MTFP £	Reversal of Pressure / Saving in MTFP £	New Pressures £	Pressures Funded by Earmarked Reserves £	Total Pressures 2017/18 £	Description of Pressure
	Resources Directorate						
	Corporate Costs						
3721	External Levies	54,000		0	0	54,000	The Apprenticeship Levy will be introduced in April 2017, and is a 0.5% payroll tax to fund apprenticeship training, paid by any organisation with a payroll bill of more the £3 Million per annum.
	Total Corporate Costs	54,000	0	0	0	54,000	
	Total Resources Directorate	54,000	0	0	0	54,000	
	Total Pressures	174,000	11,500	806,700	119,400	1,111,600	

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Education Services Funding

This diagram shows how school funding currently works and areas under review. The diagram includes references to where more information can be found.



References - Education Services Funding Explained

(A) National School Funding

The Department for Education is proposing to change the way local authorities are funded in future and have been consulting stakeholders on the best way forward for delivering a fair and transparent funding system where the amount of funding children attract for their schools is based on need and is consistent across the country.

(B) Schools Block

The key features of the schools block funding and the proposed changes are shown below:

Old Schools Block	New Schools Block
Funding received by local authorities using historic Schools Block Unit of Funding (SBUF) multiplied by number of pupils	Allocated based on national funding formula direct to schools by 2019/20
Funding held centrally to fund admissions service and nationally agreed licence fees	Transferred to New Central School Block (F)
Rutland allocates 99.6% of funding to schools using a local funding formula	100% of funding given directly to schools via a national funding formula
Rutland transfers funds to other blocks to meet pressures (early years and high needs)	Blocks will be ring-fenced and transfers between them not permitted

Key Points/Issues:

- As Rutland is currently funded at below the national average, it is likely that Rutland will receive more funding under a national funding formula. However, individual school allocations will fluctuate depending on funding allocated to each factor within the formula.
- There will be no local flexibility to allow for the transfer of funds between blocks.
- The new central school block may be insufficient to meet costs.

(C) High Needs Block

The key features of the high needs block and the proposed changes are:

Old High Needs Block	New High Needs Block
Funding allocated as a lump sum based on historic spend	National Formula to allocate funds including factors such as Disability, Health etc
Some funding held centrally to fund support services e.g. excluded pupils education, staffing costs	No information currently available as to whether this will continue

Old High Needs Block	New High Needs Block
Funding allocated to settings based on pupil needs using Place-Plus approach	No change proposed
Rutland transfers funding from other block to support schools with high needs pupils. Council has a high % of pupils deemed as high needs compared to other LAs.	Blocks will be ring-fenced

Key Points/Issues:

- There is insufficient data to work out with any certainty what the likely impact will be for Rutland.
- Some of the proposed factors being discussed, such as disability living allowance and deprivation, would be low datasets for Rutland and therefore these elements of the formula are likely to produce low allocations.
- As the blocks are ring-fenced, there is no flexibility if costs exceed funding and no indication as to how this would be dealt with in the future
- Stronger leadership, systems and accountability will be required going forward with schools and Schools Forum to ensure pupils correctly assessed as high need.
- The DfE are proposing to provide an overall protection that will limit any year on year reductions for each local authority (a minimum funding guarantee). This will give authorities who face reductions in funding time to plan ahead.

(D) Early Years Block

The key features of the Early Years funding and the proposed changes are:

Old Early Years Block	New Early Years Block
Allocated to local authorities using the Early Years basic Unit of Funding	Allocated to local authorities via new national funding formula including use of area cost adjustment
Local authorities allocate to settings based on local formula – Rutland pays £4.60 per hour	Local authorities allocate to settings based on a simplified local formula – Rutland will only be able to pay £4.40 in 17/18 (£4.25 in 18/19)
Some funding held centrally to pay for support and advice services – Rutland retains £105k (7.2%)	Limit on centrally held funding – Rutland estimate is £96k for 17/18 (£65k thereafter)

Rutland transfers funding from schools block to support early years	Blocks will be ringfenced
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Key Points/Issues:

- The Area Cost Adjustment (ACA) has a significant impact on the amount of funding a local authority receives. The ACA for Rutland is 1.04, whereas for Peterborough it is 1.21 meaning that Peterborough will receive a significantly higher hourly rate than Rutland.
- The restriction on the amount of funding that can be held centrally is likely to lead to the Council being unable to retain sufficient funding to cover the cost of the services being provided to early years settings.
- The Council will have less funding to pass on to providers which will put pressure on the Council’s responsibility to ensure that all 3&4 year olds receive 15 hours of free provision.

(E) Education Services Grant (ESG)

The main changes proposed for the ESG are shown below:

Old ESG	Proposal
General Funding Rate (£77 per pupil) for maintained school pupils only	Funding to cease September 2017
Retained Duties Rate (£15 per pupil) for all pupils	To be transferred into the New Central Schools Block (F)

Key Points/Issues of the changes:

- For Rutland the General Funding Rate element for 2016/17 is £71k and unless a recharge to maintained schools can be agreed or services provided reduced, the loss will be a pressure on the revenue budget.
- For the Retained Duties element, local authorities will be able to recharge to the DSG costs associated with the statutory duties being provided to schools covered by this funding.
- The DfE have indicated that in future years, as responsibilities are removed from local authorities (e.g. school improvement), the funding to support these responsibilities will be reduced.

(F) LA Central Schools Budget

The key features of the new Central Schools Block are:

Old Funding	New Funding
	Allocated based on a per pupil calculation – yet to be determined

	Block will be ringfenced
--	--------------------------

Key Points/Issues of the changes:

- Some local authorities have historic commitments within this block and so it is likely that in the short term Rutland will receive more funding than currently spends. However, it is anticipated that this will reduce as the commitments unwind.
- The DfE proposed reducing funding allocated to this block on the basis of reducing the statutory responsibilities on local authorities such as schools improvement. However, it is now unclear as to whether the reduction in responsibilities will go ahead and this could lead to increased pressure on core budgets.

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Earmarked Reserves

Reserve (ceiling)	Balance at 01 April 2016 £000	Balance at 31 March 2017 £000	Proposed Use in 2017/18 £000	Required?	To be used in 2018/19 and beyond?
Invest to Save (£500k)	478	418	(15)	Yes	Yes
Invest to Save Reserve is used to fund investment projects, costs of restructuring and other one-off projects that will yield economic or efficiency gains in future years. It has been used and will continue to be used.					
Planning Delivery Grant (current balance)	49	35	0	Yes	Yes – required in 2018/19
Reserve held to support continued development of Local Planning Framework					
Welfare Reserve (£150k)	153	115	0	Yes	Yes
The Welfare Reserve combines the under spend on the Discretionary Fund, Crisis Loans and unused grant given by Government to fund welfare reform administration. Funds can also be used to support any changes to Local Council Tax support in the future.					
Training (£80k)	80	70	0	Yes	Yes
Created from underspends on the training budget and investment in a leadership programme for senior managers and customer service continue to be priorities.					
Highways (£300k)	309	307	(20)	Yes	Yes
The Highways reserve combines external funding received from Government for Sustainable Drainage Schemes (which is partly on hold); S38 Income being matched to expenditure over the next few years; and the Winter Maintenance reserve to be utilised as necessary to cover periods of extreme weather conditions.					
NNDR (unlimited)	0	0	0	N/A	N/A
The Council is allowed to retain a proportion of NNDR income based on the amount it might collect in any given year with the remainder paid to Government. Any amounts in this reserve reflect receipts received in excess of the agreed amount and must be paid over to Government.					

Appendix 9

Reserve (ceiling)	Balance at 01 April 2016 £000	Balance at 31 March 2017 £000	Proposed Use in 2017/18 £000	Required?	To be used in 2018/19 and beyond?
Tourism (limited to available funding)	49	34	(14)	Yes	Yes
Continued funding of tourism initiatives from Anglian Water funding.					
SEN/SEND Grant (£grant received)	211	126	0	Yes	Yes
The SEN and SEND reform Grants are required to complete the transfer of Statements of SEN to Education, Health & Care Plans (EHCP) as defined by the Children & Families Act 2014.					
Travel4Rutland (current balance)	26	26	0	No	No
This is the revenue generated by the ShoreLink and WorkLink services during the first 18 months of operations.					
Insurance and Legal (£200k)	250	170	0	Yes	Yes
A new reserve set up to meet any additional costs from claims, appeals or other legal claims.					
Digital Rutland (£current balance)	276	57	(47)	Yes	Yes
As agreed by Cabinet, amount set aside for completion of Digital Rutland works, a substantial amount is to be used in 16/17.					
Social Care (£750k)	623	630	91	Yes	Yes
The remit of this reserve is to provide additional funds as and when required for care packages and other exceptional costs arising from the Council's safeguarding and care work. There are risks on the horizon arising from changes in the health sector, the Care Act and demographic pressures. It will be used in 16/17 to fund external support to help try and reduce placement costs.					
Other Reserves	573	55	0	No	No
'Other' Reserves includes those set up for Budget Carry Forwards which have now been used or are no longer required. The residual amount will be transferred to General Fund Reserves.					

Appendix 9

Reserve (ceiling)	Balance at 01 April 2016 £000	Balance at 31 March 2017 £000	Proposed Use in 2017/18 £000	Required?	To be used in 2018/19 and beyond?
Earmarked reserves total sub total	3,077	2,043	(5)		
Public Health (unlimited)	415	278	(67)	Yes	Yes
Ring fenced reserve which must be spent on public health objectives					
Better Care Fund (unlimited)	334	187	0	Yes	Yes
Ring fenced reserve which must be spent on BCF schemes					
Total	3,826	2,508	(72)		

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Rutland County Council

Draft Budget for 2017/18 - Capital Programme

Appendix 10

This appendix shows the detailed Capital Programme for both approved projects and capital funding awaiting allocation, and how the programme will be funded.

Directorate		Project Description	Budget 2017/18 £000
People	Approved	Devolved Formula Capital	32
People	Approved	Disabled Facilities Grants	186
People	Approved	Liquid Logic	27
People	Approved	Oakham C of E Primary School (Single Storey Expansion - 90	651
People	Approved	Catmose College - Phase 1	132
People	Approved	Catmose College - Phase 2	130
People	Approved	Catmose College - Phase 3	1,950
People	Approved	Uppingham C of E Primary School	200
People	Approved	Barleythorpe Primary Free School - Contribution	200
People	Approved	SEN	200
Total People Capital Programme			3,708
Places	Approval Required	Highways Capital Projects	1,849
Places	Approval Required	Highways Incentive Funding	143
Places	Approved	Digital Rutland	400
Total Places Capital Programme			2,392
Resources	Approval Required	IT Capital Projects	150
Total Resources Capital Programme			150
Total Capital Programme			6,250

Approved	Capital programmes already approved or ring fenced funding received yearly
Approval Required	Capital programmes planned but would require further approval

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CABINET

17 January 2017

FEES & CHARGES 2017/18

Report of the Director for Resources

Strategic Aim:	Sound Financial and Workforce Planning	
Key Decision: Yes	Forward Plan Reference: FP/021216/02	
Exempt Information	No	
Cabinet Member(s) Responsible:	Mr T Mathias, Deputy Leader, Portfolio Holder for Places (Highways, Environment, Transport and Community Safety) and Market Towns and Acting Portfolio Holder for Finance	
Contact Officer(s):	Debbie Mogg, Director for Resources	01572 758358 dmogg@rutland.gov.uk
	Saverio Della Rocca, Assistant Director (Finance)	01572 758159 sdrocca@rutland.gov.uk
Ward Councillors	N/A	

DECISION RECOMMENDATIONS

That Cabinet RECOMMENDS TO COUNCIL:

1. To approve the level of fees and charges for 2017/18 as set out in Appendices 1-4, and
2. That the Director for Places (Environment, Planning & Transport) be authorised to waive the fee for the registration of a permissive pathway if there is a significant public benefit, in consultation with the relevant portfolio holder.

That Cabinet authorise:

3. That the Director for Places (Environment, Planning & Transport) in consultation with the Portfolio Holder for Places (Highways, Environment, Transport, Community Safety and Market Towns) be authorised to modify the recommendation to Council for Post 16 transport charges following the consideration of all consultation responses.

1 PURPOSE OF THE REPORT

- 1.1 This report sets out the proposals for fees and charges for services provided by the Council, for the financial year 2017/18.

2 INTRODUCTION

- 2.1 Fees and charges represent a significant source of finance for the Council. The Council receives approximately £4.6 million from fees and charges each year. It is important that fees and charges are set at an appropriate level so as to maximise income to the Council.
- 2.2 The Council provides a wide range of services for which fees and charges can be made. Some of these fees and charges are set at a statutory level such as planning application fees and environmental protection fees. Others are discretionary and the Council has the ability to decide upon an appropriate charge for the services. Examples include bulky waste collection and room hire charges.
- 2.3 In addition, the Council provides services to other public sector bodies and other external bodies for which charges are made. For example, the Council provides services to schools to support improvement.
- 2.4 Fees and charges are reviewed annually as part of the budget and council tax setting process. Fees and charges need to be approved in order to be effective from April 2017. In reviewing the level of fees for 2017/18, a 2% increase has been applied to all discretionary fees in line with inflation, unless there is business case to do something different. Sections 3 to 5 of this report highlight the key issues for each Directorate and the rationale for the proposals.

3 PEOPLE DIRECTORATE PROPOSALS

- 3.1 A detailed schedule of all fees and charges is provided at **Appendix 1**. The rationale for the proposals for each service area are set out below. There are currently no fees and charges within Children's Social Care or Early Help.

Adult Social Care Charges

- 3.2 There were increases to adult social care fees and charges in 2016/17 as a result of a charging review to ensure the sustainability of social care services for the most vulnerable (Cabinet Report 117/2016). Following on from these recent changes, the proposal is to maintain current fees and charges for 2017/18 as set out in the following paragraphs.
- 3.3 **Deferred Payment Agreements (DPAs)** are a form of loan for home owners who move into residential care, enabling them to defer some of the costs of care which are then recovered from their estate or the sale of their property. Two DPA charging amendments were approved by Council in July 2016 relating to increased arrangement fees for DPAs and the charging of interest at the government rate on deferred payments. It is proposed that these charges, introduced in October 2016, will be maintained as-is in 2017/18:
- a) DPA arrangement fee at the cost recovery level of £470 plus third party charges.
 - b) Interest charged on Deferred Payments at the rate set by Government (the most recent rate being 2.25%), and to adjust this as and when interest rate changes are notified.

- 3.4 Following the charging policy review in 2016, three changes to **domiciliary care charging** came into force in October 2016, which it is proposed should be maintained in 2017/18:
- a) Service users should pay the full hourly cost of care (£16.46), up to any applicable affordability ceilings.
 - b) Service users will be charged for care services from their start date, not from the date of financial assessment.
 - c) Charging of an administration fee of £114.50 for helping individuals with over £23,250 who are setting up a care package (beyond free of charge advice).

The maximum home care rate payable by an individual per week rose to £441 in 2016, mirroring a rise in the lowest residential care rate, to which it is linked. It is proposed that this remains the same in 2017/18.

- 3.5 The charges to other Local Authorities or Clinical Commissioning Groups (CCG) for **learning disability day centre** places in Rutland (at Brightways and Rutwel) have not been increased for some time. Scoping work and benchmarking undertaken by officers indicates that the charges, which are still based on full cost recovery, remain competitive in relation to other providers. It is proposed that the current inter-authority rates for learning disability day centre places should be retained.

Learning and Skills – School Improvement Service

- 3.6 Services available to schools and charges for school improvement depend upon two factors
- a) the category of the school identified through risk assessment shared with the school
 - b) the status of each individual school i.e. is the school maintained or Academy/ Free School. Independent schools have a further, higher charging scale.
- 3.7 The charging structures for schools will be reviewed during early 2017 as agreed with Head Teachers in March 2016. Any proposed changes to charging structures will require separate approval in advance of the next academic year.

4 PLACES DIRECTORATE PROPOSALS

- 4.1 A detailed schedule of all fees and charges is provided at **Appendix 2**.

Parking Charges

- 4.2 The proposed new parking charges are based on a rate of 80p per hour and bring Uppingham charges in line with Oakham. Parking charges were last increased in 2013. The same discount percentage will be applied to any charges that are currently discounted (e.g. the 50% discounted permits for the residents car park on Barleythorpe road).

Post 16 Transport Charges

- 4.3 Post 16 transport charges are currently the subject of consultation in accordance with statutory requirements which closes on 27th January. Therefore the proposals included within Appendix 2 are subject to the outcome of this consultation. It is recommended that the Director for Places (Environment, Planning & Transport) in consultation with the Portfolio Holder for Places (Highways, Environment, Transport, Community Safety and Market Towns) be authorised to modify the recommendation to Council for Post 16 transport charges following the consideration of all consultation responses. A summary of the consultation responses will be included in the report to Council.

Registration of Permissive Paths

- 4.4 The ability to waive charges for registering permissive paths has been included. Some of these paths make a significant contribution to the rights of way network and the charges would make landowners unlikely to keep them open when DEFRA grants end. It is therefore recommended that the Director for Places (Environment, Planning & Transport) be authorised to waive the fee if there is a significant public benefit, in consultation with the relevant portfolio holder.

5 RESOURCES DIRECTORATE PROPOSALS

- 5.1 A detailed schedule of all fees and charges is provided at **Appendix 3**.

Reprographics Services

- 5.2 The Council is permitted to make a charge for ad-hoc copying of information subject to the Local Government (Access to Information) Act 1985 and for information requested under the Freedom of Information Act 2000. This legislation allows the Council to recover reasonable costs in respect of providing the documentation. This includes direct material costs plus overheads.
- 5.3 No increase is proposed to this charge.

Legal Services

- 5.4 Charges for the provision of certain legal services under a fee structure are common practice across all areas of Local Government. The fee income received is administered by Peterborough Legal under the Council's shared service agreement and then netted off invoices received from them for legal services.

Elections & Referendums – Charges to Parishes

- 5.5 The representation of the People Act 1983, Section 36 (4) requires the Council to cover all expenditure incurred by the Returning Officer in the holding of an election (or the Counting Office in the holding of a referendum). The fees for conducting Parliamentary, and European Parliamentary and Police and Crime Commissioner elections are regulated by the Returning Officers' Fees and Charges Orders made by the Government.
- 5.6 The Act allows the Council to recharge the costs of elections and referendums to parish councils. The proposed fees are set out at **Appendix 4** and are based on actual costs incurred in the management of local elections and referendums. The Council works collaboratively with other authorities across Leicestershire to agree consistent fees. No increase is proposed for 2017/18.

Other Resources Fees & Charges

- 5.7 An administration fee for DBS checks is charged, as permitted under section 93 of the Local Government Act 2003. The Council has recently moved to an online service for DBS checks therefore it is recommended that there is no change to the current fee level for 2017/18, but that a full review of the costs of administering the checks is undertaken during the year to measure the impact of moving to the online service.
- 5.8 Charges levied for Subject Access Requests (SARs) made under the Data Protection Act 1998 will remain at £10 per request in line with statutory limitations.
- 5.9 Charges levied for Blue Badges (disabled parking permits) will remain at £10 per badge in line with the national Blue Badge Scheme, which allows for Local Authorities to charge successful applicants a maximum of £10.

6 CONSULTATION

- 6.1 Consultation is already underway in respect of Post 16 Transport Charges. Consultation on other charges is not required as no new charges are proposed and existing ones are only being increased in line with inflation.

7 ALTERNATIVE OPTIONS

- 7.1 The alternative option is to retain the current level of fees and charges. To do so would have a negative impact on the Council's financial position as we would not be recovering the actual costs of services provided. Costs increase year on year and as such need to be reflected in this Policy.

8 FINANCIAL IMPLICATIONS

- 8.1 Income budgets are in many instances driven by demand and can be volatile. It is not always the case that an increase in charges will lead to increases in income received. For this reason, even where fees and charges are increased, income budgets are not always amended.
- 8.2 The changes to the parking fees are expected to generate £135,000 additional income, compared to the 2016/17 budget. This additional income is not included in the Draft Budget for 2017/18 (Report 08/2017). If the recommendations within this report are approved this income will be incorporated into the final budget to be presented to Cabinet and Council for approval.

9 LEGAL AND GOVERNANCE CONSIDERATIONS

- 9.1 The annual review of fees and charges is an integral part of the budget and Council Tax Setting process. The approved fees and charges will form part of the overall budget presented to full Council for approval in February.

10 EQUALITY IMPACT ASSESSMENT

- 10.1 An Equality Impact Assessment has not been completed because there are no service, policy or organisational changes being proposed.

11 COMMUNITY SAFETY IMPLICATIONS

11.1 There are no community safety implications arising from this report.

12 HEALTH AND WELLBEING IMPLICATIONS

12.1 There are no health and wellbeing implications arising from this report.

13 CONCLUSION AND SUMMARY OF REASONS FOR THE RECOMMENDATIONS

13.1 The annual review of fees and charges is an integral part of the budget and council tax setting process and is also to ensure the Council is compliant with legislative guidance. It is therefore recommended that Cabinet approve the proposals set out in the document.

14 BACKGROUND PAPERS

14.1 There are no additional background papers to the report

15 APPENDICES

Appendix 1 – People Directorate proposed fees and charges 2017/18

Appendix 2 – Places Directorate proposed fees and charges 2017/18

Appendix 3 – Resources Directorate proposed fees and charges 2017/18

Appendix 4 – Proposed fees in respect of charges to parishes for elections and referendums 2017/18

A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.

People Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
ADULT SOCIAL CARE			
Weekly residential care rates			
Residential care	£441	£441	Under their contract, providers may evidence increased costs to trigger a review of charges.
Residential care, dementia	£472	£472	Under their contract, providers may evidence increased costs to trigger a review of charges.
Residential care, nursing	£513	£513	Under their contract, providers may evidence increased costs to trigger a review of charges.
Home care rates			
Hourly cost of care, up to individual applicable affordability ceilings	£16.46	£16.46	Charges apply from the start of provision of service. Hourly rate anticipated to change when domiciliary care is recommissioned in 2017-18.
Maximum weekly home care costs	£441	£441	Aligned to the weekly cost of residential care.
Administrative fee to help individuals with over £23,250 setting up a care package	£114.50	£114.50	This one-off charge was introduced in October 2016. Charge is on a cost recovery basis.
Deferred Payment Agreements (DPAs)			
DPA setup and management fee	£470.00	£470.00	Charge of £470 plus third party charges in place from October 2016. Charges on a cost recovery basis only.
Interest on deferred payments	2.25%	2.25%	Tracks the rate set by government, which is reviewed every 6 months and subject to change.
Learning Disability Day Centre Places - charges to third parties			
Level 1	£50.50	£50.50	The charges are balanced to recover costs and remain competitive.
Level 2 (standard)	£70.70	£70.70	The charges are balanced to recover costs and remain competitive.
Level 3 (1:1 / complex support), daily rate	£90.60	£90.60	The charges are balanced to recover costs and remain competitive.
LEARNING AND SKILLS: SCHOOL IMPROVEMENT, INCLUSION AND SUPPORT CHARGES			
Elected school review half day	£300	£300	
Moderation of year 2 and year 6 statutory assessments	£425	£425	
Bespoke data analysis training	£150	£150	
School improvement annual conference, per delegate	£50	£50	
Governor annual conference, per delegate	£50	£50	
Bespoke training for governors	£150	£150	

People Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Additional Early Years Foundation School support beyond core package	£300	£300	
Admissions (ranking and measurements)			
Service level 1, per hour	£35	£35	Charges to be reviewed in early 2017 as agreed with Head Teachers in March 2016.
Service level 2, primary, per hour	£50	£50	
Service level 2, secondary, per hour	£75	£75	
Inclusion officer (Early years, ASD or EBD)			
Per hour	£70	£70	
Per half day	£200	£200	
Per day	£350	£350	
Educational psychologist (non statutory element)			
Per hour	£120	£120	
Per half day	£350	£350	
Per day	£575	£575	
Bespoke training for SEN			
Per hour	£120	£120	
Per half day	£350	£350	
Per day	£575	£575	
Specialist school improvement services, beyond free of charge entitlement, academies/free schools			
Per hour	£120	£120	
Per half day	£350	£350	
Per day	£575	£575	
Specialist school improvement services, beyond free of charge entitlement, independent schools			
Per hour	Full cost recovery	Full cost recovery	Cost is confirmed depending on requirements.
Per half day	Full cost recovery	Full cost recovery	Cost is confirmed depending on requirements.
Per day	Full cost recovery	Full cost recovery	Cost is confirmed depending on requirements.

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Land Charges			
Inspection of documents filed in respect of each parcel of land	£ 2.75	£ 2.75	It is proposed that these fees do not increase this year as our fees are already high when compared to other councils. Further increases will increase the likelihood that more solicitors will move to using personal search companies, i.e. we will still have to do the work but the information will be requested under the Environmental Information Regulations (EIR) free of charge. Loss of business would outweigh 2% gain.
Official search (including issue of official certificate of search)			
(a) in any one part of the register	£ 11.00	£ 11.00	Time recording has been undertaken to accurately account for these charges. This was necessary as a comparison showed our charges were high in comparison with other authorities.
(b) in the whole of the register			
(i) where the requisition is made by electronic means	£ 22.00	£ 22.00	
(ii) in any other case	£ 22.00	£ 22.00	
(c) in addition, in respect of each parcel of land above one, where more than one parcel is included in the same requisition (whether the requisition is for a search in the whole or any part of the register)	£ 10.00	£ 10.00	
Office copy of any entry in the register (not including a copy or extract of any plan or document filed)	As reprographics charges	As reprographics charges	The charge should be on a cost recovery basis only and has been brought in line with corporate charges.
Standard enquiries (CON29R).	90.00 inc VAT	90.00 inc VAT	A new CON29R with VAT is introduced on 1.1.17.
Additional parcel of land	20.00 inc VAT	20.00 inc VAT	Time recording has been undertaken to accurately account for these charges
Optional enquiry (CON 290)	13.50 inc VAT	13.50 inc VAT	
Additional typed enquiry	35.00 inc VAT	35.00 inc VAT	Highways to take responsibility for this question
Charges for landowner statements made under s15A of the Commons Act 2006			
Deposit of a Statement and Map . Fee includes provision for a single site notice erected on an existing structure.	£ 200	£ 200	A4 (Fee varies by size of application map)
	£ 225	£ 225	A3
	£ 250	£ 250	A2
	£ 275	£ 275	A1
	£ 300	£ 300	A0
Additional deposit notice (price per notice). Each application (fee) includes provision of a single notice. Deposits with multiple parcels of land will require additional notices	£ 25	£ 25	A4 (Fee varies by size of notice map)
	£ 30	£ 30	A3
	£ 40	£ 40	A2
	£ 50	£ 50	A1
	£ 65	£ 65	A0
Additional fee for joint applications made under s31 Highways Act 1980 AND s15A of the Commons Act 2006			
Charge added to fees described above	£ 25	£ 25	
Village Greens corrective applications			
To remove buildings/land wrongly registered	£ 1,000	£ 1,000	similar fees charged at other authorities
Correction of a mistake made by the Commons Registration authority	No fee	No fee	Cannot charge for this

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Environmental Services			
Licences:			
Zoo	£ 159	£ 163	Increase by 2% & rounded upwards to nearest £
Dangerous Wild Animals	£ 141	£ 144	Increase by 2% & rounded upwards to nearest £
Riding Establishments	£ 141	£ 144	Increase by 2% & rounded upwards to nearest £
Animal Boarding Establishments			
Kennels/Catteries	£ 102	£ 105	Increase by 2% & rounded upwards to nearest £
Home boarding	£ 62	£ 64	Increase by 2% & rounded upwards to nearest £
Dog Breeding	£ 102	£ 105	Increase by 2% & rounded upwards to nearest £
Pet Animal Shop	£ 102	£ 105	Increase by 2% & rounded upwards to nearest £
Street Trading Licence:			
A1 laybys	£ 644	£ 657	Increase by 2% & rounded upwards to nearest £
Other laybys	£ 219	£ 224	Increase by 2% & rounded upwards to nearest £
Service charge	£ 1,878	£ 1,916	Increase by 2% & rounded upwards to nearest £
Licensing Act 2003 (prescribed by legislation)			
Annual Premises Licence Fee (based on rateable value of premises)			
A	£ 70	£ 70	Nationally set fees
B	£ 180	£ 180	Nationally set fees
C	£ 295	£ 295	Nationally set fees
D	£ 320	£ 320	Nationally set fees
E	£ 350	£ 350	Nationally set fees
Concession for village halls, church halls, charities	Free	Free	Nationally set fees
Personal licence	£ 37	£ 37	Nationally set fees
Club Premises Licence (as for Premises Licences)			
A	£ 70	£ 70	Nationally set fees
B	£ 180	£ 180	Nationally set fees
C	£ 295	£ 295	Nationally set fees
D	£ 320	£ 320	Nationally set fees
E	£ 350	£ 350	Nationally set fees
Temporary Event Notice	£ 21	£ 21	Nationally set fees
Registrations			
Food Premises	Free	Free	
Food Premises Register (copy of entry, per sheet)	£ 3.00	£ 3.10	Increase by 2% & rounded upwards to nearest £0.1
Public Register (copy of entry, per sheet)	£ 3.00	£ 3.10	Increase by 2% & rounded upwards to nearest £0.1
Hairdressers			
Person	£ 85	£ 87	Increase by 2% & rounded upwards to nearest £
Premises	£ 85	£ 87	Increase by 2% & rounded upwards to nearest £
Skin Piercing			
Person	£ 85	£ 87	Increase by 2% & rounded upwards to nearest £
Premises	£ 102	£ 105	Increase by 2% & rounded upwards to nearest £
Dog recovery (prescribed by legislation):			
	£ 25	£ 25	Nationally set fees

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Other:			
As prescribed by legislation			
Lotteries Registrations			
New	£ 40	£ 40	Nationally set
Renewals	£ 20	£ 20	Nationally set
Gambling Act Premises			
New Application	£ 841	£ 857	Increase by 2% & rounded upwards to nearest £.
Annual fee	£ 379	£ 387	Increase by 2% & rounded upwards to nearest £.
Premises Transfer	£ 315	£ 322	Increase by 2% & rounded upwards to nearest £.
Gaming Machine Permits (prescribed by legislation)			
New/renewal permits	£ 50	£ 50	Nationally set
Transfer	£ 25	£ 25	Nationally set
Food Surrender Certificates	£ 55	£ 55	Nationally set. Plus cost of removal of food.
Abandoned Vehicles prescribed by legislation - The Removal, Storage and Disposal of Vehicles (Prescribed Sums and Charges) Regulations			
Removal Charge			Replaced by charges below
Storage Charge (per day or part thereof)			Replaced by charges below
Disposal Charge			Replaced by charges below
Removal of vehicles equal to or less than 3.5 tonnes:			
Vehicle on road, upright and not substantially damaged or any two wheeled vehicle	£ 150	£ 150	Set by the Removal, Storage and Disposal of Vehicles (Prescribed Sums and Charges etc.) Regulations 2008
Vehicle on road but either not upright, substantially damaged or both	£ 250	£ 250	Set by the Removal, Storage and Disposal of Vehicles (Prescribed Sums and Charges etc.) Regulations 2008
Vehicle off road, upright and not substantially damaged	£ 200	£ 200	Set by the Removal, Storage and Disposal of Vehicles (Prescribed Sums and Charges etc.) Regulations 2008
Vehicle off road but either not upright, substantially damaged or both	£ 300	£ 300	Set by the Removal, Storage and Disposal of Vehicles (Prescribed Sums and Charges etc.) Regulations 2008
Storage of two wheeled vehicles per day	£ 10	£ 10	Set by the Removal, Storage and Disposal of Vehicles (Prescribed Sums and Charges etc.) Regulations 2008
Storage of vehicles equal to or less than 3.5 tonnes	£ 20	£ 20	Set by the Removal, Storage and Disposal of Vehicles (Prescribed Sums and Charges etc.) Regulations 2008
Disposal of two wheeled vehicles	£ 50	£ 50	Set by the Removal, Storage and Disposal of Vehicles (Prescribed Sums and Charges etc.) Regulations 2008
Disposal of vehicles equal to or less than 3.5 tonnes	£ 75	£ 75	Set by the Removal, Storage and Disposal of Vehicles (Prescribed Sums and Charges etc.) Regulations 2008
Scrap Metal Dealers Act 2013			
Site Licence Fee	£ 312	£ 319	Increase by 2% & rounded upwards to nearest £.
Metal Collectors Licence Fee	£ 219	£ 224	Increase by 2% & rounded upwards to nearest £.
Water Sampling (maximum total fee prescribed by legislation is £90)			
Basic parameters	£ 70	£ 72	Increase by 2% & rounded upwards to nearest £
Bacteriological analysis only	£ 36	£ 37	Increase by 2% & rounded upwards to nearest £

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Authorisation under Environmental Protection Act 1990 (prescribed by legislation)			
Annual subsistence charge			
Medium risk	£ 1,125	£ 1,125	Nationally set
Low risk	£ 749	£ 749	Nationally set
(add £35 to the above fees if paid quarterly)			
Reduce fee activities (waste oil burners, petrol vapour recovery and dry cleaners)	£ 77	£ 77	Nationally set
- except odorising of natural gas	£ 378	£ 378	Nationally set
Petroleum			
(Prescribed by legislation - Petroleum (Consolidation) Act 1928 - Health and Safety (Fees) Regulations 2003)			
Licence to keep petroleum spirit of a quantity			
Not exceeding 2,500 litres	£ 43	£ 43	Nationally set fees no changes
Exceeding 2,500 litres but not exceeding 50,000 litres	£ 59	£ 59	Nationally set fees no changes
Exceeding 50,000 litres	£ 123	£ 123	Nationally set fees no changes
Transfer of petroleum	£ 8	£ 8	Nationally set fees no changes
Driver controlled licence (petroleum)			
Initial fee	£ 205	£ 205	Nationally set fees no changes
Transfer of petroleum	£ 31	£ 31	Nationally set fees no changes
Notices fee	£ 5	£ 5	Nationally set fees no changes
Licence to store Explosives			
(Prescribed by legislation - Explosives Regulations 2014)			
New application (separation greater than 0 metres) 1 year	£ 178	£ 178	Nationally set
New application (separation greater than 0 metres) 2 year	£ 234	£ 234	Nationally set
New application (separation greater than 0 metres) 3 year	£ 292	£ 292	Nationally set
New application (separation greater than 0 metres) 4 year	£ 360	£ 360	Nationally set
New application (separation greater than 0 metres) 5 year	£ 407	£ 407	Nationally set
Renewal of store licence			Nationally set
New application (no minimum separation distance) 1 year	£ 105	£ 105	Nationally set
New application (no minimum separation distance) 2 year	£ 136	£ 136	Nationally set
New application (no minimum separation distance) 3 year	£ 166	£ 166	Nationally set
New application (no minimum separation distance) 4 year	£ 198	£ 198	Nationally set
New application (no minimum separation distance) 5 year	£ 229	£ 229	Nationally set
Renewal of application (no minimum separation distance) 1 year	£ 52	£ 52	Nationally set
Renewal of application (no minimum separation distance) 2 year	£ 83	£ 83	Nationally set
Renewal of application (no minimum separation distance) 3 year	£ 115	£ 115	Nationally set
Renewal of application (no minimum separation distance) 4 year	£ 146	£ 146	Nationally set
Renewal of application (no minimum separation distance) 5 year	£ 178	£ 178	Nationally set

Fees and Charges 2017/18			
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Transfer/Variation/Replacement	£ 35	£ 35	Nationally set
Poisons (Poisons Act 1972 - subject to national LACORS recommendation)			
New notifications	£ 40	£ 41	Increase by 2% & rounded upwards to nearest £
Renewal	£ 20	£ 21	Increase by 2% & rounded upwards to nearest £
Hackney Carriages & Private Hire Vehicles			
Private Hire Vehicle	£ 145	£ 148	Increase by 2% & rounded upwards to nearest £
Hackney Carriage Vehicle	£ 145	£ 148	Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence		£ 177	Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (1 to 5 vehicles)	£ 178	£ 182	For a 1year license. Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (1 to 5 vehicles)	£ 794	£ 810	For a 5year license. Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (6 to 10 vehicles)	£ 356	£ 364	For a 1year license. Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (6 to 10 vehicles)	£ 1,684	£ 1,718	For a 5year license. Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (11 to 15 vehicles)	£ 534	£ 545	For a 1year license. Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (11 to 15 vehicles)	£ 2,574	£ 2,626	For a 5year license. Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (16 to 20 vehicles)	£ 712	£ 727	For a 1year license. Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (16 to 20 vehicles)	£ 3,464	£ 3,533	For a 5year license. Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (21 or more vehicles)	£ 890	£ 908	For a 1year license. Increase by 2% & rounded upwards to nearest £
Private Hire Operators Licence (21 or more vehicles)	£ 4,354	£ 4,441	For a 5year license. Increase by 2% & rounded upwards to nearest £
Driver licence	£ 216	£ 221	For a 3year license. Increase by 2% & rounded upwards to nearest £
Pre-application Driver Suitability Test Fees	£ 25	£ 26	One off fee, not repeated. New Driver applications only.
Plate deposit (Refundable)	£ 20	£ 20	Historically the annual increase has not been applied.
Bulky Waste Collection			
For the collection of up to four items of bulky waste except exempt collections	£ 28	£ 29	Increase by 2% & rounded upwards to nearest £
Bulky Inert Waste - 4 heavy duty bags full of soils and rubble (bags provided by the council)	£ 42	£ 43	Increase by 2% & rounded upwards to nearest £
Charges for Disposal			
Chargeable household waste per tonne	£ 104	£ 107	Increase by 2% & rounded upwards to nearest £
Trade waste per tonne	£ 104	£ 107	Increase by 2% & rounded upwards to nearest £
Bin Emptying Charges (per each collection)			
1100 Litre size Recycling inc Green Waste	£ 7.20	£ 7.40	Increase by 2% & rounded upwards to nearest £0.1
660 Litre size Recycling inc Green Waste	£ 7.20	£ 7.40	Increase by 2% & rounded upwards to nearest £0.1
240 Litre size Recycling inc Green Waste	£ 7.20	£ 7.40	Increase by 2% & rounded upwards to nearest £0.1

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
1100 Litre size Refuse	£ 8.60	£ 8.80	Increase by 2% & rounded upwards to nearest £0.1
660 Litre size Refuse	£ 8.60	£ 8.80	Increase by 2% & rounded upwards to nearest £0.1
240 Litre size Refuse	£ 8.60	£ 8.80	Increase by 2% & rounded upwards to nearest £0.1
Bin Emptying Charges (per each collection) including disposal			
1100 Litre size Recycling	£ 7.20	£ 7.40	Increase by 2% & rounded upwards to nearest £0.1
660 Litre size Recycling	£ 7.20	£ 7.40	Increase by 2% & rounded upwards to nearest £0.1
240 Litre size Recycling	£ 7.20	£ 7.40	Increase by 2% & rounded upwards to nearest £0.1
1100 Litre size Green Waste	£ 9.30	£ 9.50	Increase by 2% & rounded upwards to nearest £0.1
660 Litre size Green Waste	£ 9.30	£ 9.50	Increase by 2% & rounded upwards to nearest £0.1
240 Litre size Green Waste	£ 9.30	£ 9.50	Increase by 2% & rounded upwards to nearest £0.1
1100 Litre size Refuse	£ 18.40	£ 18.80	Increase by 2% & rounded upwards to nearest £0.1
660 Litre size Refuse	£ 14.00	£ 14.30	Increase by 2% & rounded upwards to nearest £0.1
240 Litre size Refuse	£ 9.70	£ 9.90	Increase by 2% & rounded upwards to nearest £0.1
Green Waste Additional Bin Charges			
Additional Green Waste bin admin/delivery charge (one off)	£ 39.00		
Additional Green Waste bin collection charge (annual)	N/A	£ 40.00	New annual charge to be introduced for 2017/19
Housing			
Charging for improvement notices	£ 175	£ 179	Increase by 2% & rounded upwards to nearest £1
Charging for prohibition notices	£ 66	£ 68	Increase by 2% & rounded upwards to nearest £1
Immigration inspections	£ 102	£ 105	Increase by 2% & rounded upwards to nearest £1
HMO licence fee	£ 408	£ 417	Increase by 2% & rounded upwards to nearest £1
Works in default per hour up to (depending on officer grade)	£ 29	£ 30	Based on current hourly rate from PCC.
Burial fees			
For the interment: *			
of the body of a stillborn child or child whose age at death did not exceed one year	£ 105	£ 108	Increase by 2% & rounded upwards to nearest £1
Administrative fee	£ 30	£ 31	Increase by 2% & rounded upwards to nearest £1
of the body of a person whose age at death exceeded one year	£ 518	£ 529	Increase by 2% & rounded upwards to nearest £1
Administrative fee	£ 62	£ 64	Increase by 2% & rounded upwards to nearest £1
For the interment of cremated remains	£ 224	£ 229	Increase by 2% & rounded upwards to nearest £1
Administrative fee	£ 62	£ 64	Increase by 2% & rounded upwards to nearest £1
Additional charge for interment at a depth exceeding five feet	£ 117	£ 120	Increase by 2% & rounded upwards to nearest £1

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Exclusive right of burial in earthen graves *			Increase by 2% & rounded upwards to nearest £1
Child's grave	£ 91	£ 93	Increase by 2% & rounded upwards to nearest £1
Single grave up to five feet in depth	£ 235	£ 240	Increase by 2% & rounded upwards to nearest £1
Double depth grave over 5 feet in depth	£ 311	£ 318	Increase by 2% & rounded upwards to nearest £1
Monuments, Gravestones and Monumental Inscriptions *			
A flat stone *			
on a single grave space	£ 62	£ 64	Increase by 2% & rounded upwards to nearest £1
on a double grave space	£ 107	£ 110	Increase by 2% & rounded upwards to nearest £1
A headstone *			
on a single grave space	£ 73	£ 75	Increase by 2% & rounded upwards to nearest £1
on a double grave space	£ 91	£ 93	Increase by 2% & rounded upwards to nearest £1
A footstone *			
on a single grave space	£ 73	£ 75	Increase by 2% & rounded upwards to nearest £1
on a double grave space	£ 91	£ 93	Increase by 2% & rounded upwards to nearest £1
Kerbstones or border stones *			
on a single grave space	£ 128	£ 130	Increase by 2% & rounded upwards to nearest £1
on a double grave space	£ 230	£ 234	Increase by 2% & rounded upwards to nearest £1
Vase *			
not exceeding twelve inches in height	£ 57	£ 59	Increase by 2% & rounded upwards to nearest £1
exceeding twelve inches in height	£ 73	£ 75	Increase by 2% & rounded upwards to nearest £1
Tablet on any grave, gardens of remembrance or in the Chapel (to include Vase in the Gardens of Remembrance)	£ 57	£ 59	Increase by 2% & rounded upwards to nearest £1
Any other monument *			
not exceeding two feet in height on a single grave space	£ 91	£ 93	Increase by 2% & rounded upwards to nearest £1
exceeding two feet in height on a grave space	£ 164	£ 168	Increase by 2% & rounded upwards to nearest £1
Charge for additional inscriptions	£ 57	£ 59	Increase by 2% & rounded upwards to nearest £1
Charge for placing a vase not exceeding twelve inches on a grave without exclusive right of burial	£ 57	£ 59	Increase by 2% & rounded upwards to nearest £1
* Burial fees doubled for non-Rutland residents			
Reservation of cremation plots and burial plots:			
for the next available plot	£ 73	£ 75	Increase by 2% & rounded upwards to nearest £1
for a chosen plot	£ 91	£ 93	Increase by 2% & rounded upwards to nearest £1
for non-Rutland residents	£ 107	£ 110	Increase by 2% & rounded upwards to nearest £1

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Highways			
Section 50 licence			
Opening of street/road for new service	£ 376	£ 384	2% rounded increase applied
Opening of street/road for existing service repairs	£ 161	£ 164	2% rounded increase applied
Inspection of works and reinstatement following:			
Section 50 works	£ 50	£ 50	Fixed National Rate (subject to National Change)
Defect inspection	£ 50	£ 50	Fixed National Rate (subject to National Change)
Charges in relation to works occupying the carriageway during period of overrun			
(Prescribed by legislation - New Roads and Street Works Act 1991)			
Description of street			
Traffic-sensitive street or protected street not in road category 2, 3 or 4. - First 3 days	£ 5,000	£ 5,000	Fixed National Rate
Amount (£) each subsequent day	£ 10,000	£ 10,000	Fixed National Rate
Other street not in road category 2, 3 or 4.	£ 2,500	£ 2,500	Fixed National Rate
Amount (£) each subsequent day	£ 2,500	£ 2,500	Fixed National Rate
Traffic-sensitive street or protected street in road category 2.	£ 3,000	£ 3,000	Fixed National Rate
Amount (£) each subsequent day	£ 8,000	£ 8,000	Fixed National Rate
Other street in road category 2.	£ 2,000	£ 2,000	Fixed National Rate
Amount (£) each subsequent day	£ 2,000	£ 2,000	Fixed National Rate
Traffic-sensitive street or protected street in road category 3 or 4.	£ 750	£ 750	Fixed National Rate
Amount (£) each subsequent day	£ 750	£ 750	Fixed National Rate
Other street in road category 3 or 4.	£ 250	£ 250	Fixed National Rate
Amount (£) each subsequent day	£ 750	£ 750	Fixed National Rate
NRSWA sample inspections	£ 50	£ 50	Fixed National Rate
Traffic Management Act 2004 (Section 41)			
Fixed Penalty Notice as prescribed in Traffic Management Act - Incorrect ETON Notices	£ 120	£ 120	Fixed National Rate
Fixed Penalty Notice as prescribed in Traffic Management Act - Incorrect ETON Notices	£ 80	£ 80	If paid within 28 days
Brown Signs			
Initial assessment fee (non refundable)	£ 210	£ 214	2% rounded increase applied
Design fee per sign	£ 105	£ 107	2% rounded increase applied
Sign washing fee per sign	£ 41	£ 42	2% rounded increase applied
Manufacture and installation	Sign(s) and post(s) at Term Maintenance Contract actual costs		
Removal fee (at end of agreement period, if agreement not re-applied for and approved)	Sign(s) and post(s) at Term Maintenance Contract actual costs, plus staff time		
Temporary Direction signs (e.g. to new housing developments)			
Application fee	As per Brown Signs (all additional costs calculable in the same way)		
Manufacture and installation fee	Sign(s) and post(s) at Term Maintenance Contract actual costs, plus staff time		
Sign cleaning fee	£ 41	£ 42	Per sign face for one clean per year (over the period of the agreement). 2% increase applied but rounded
Removal fee (at end of agreement period, if agreement not re-applied for and approved)	Sign(s) and post(s) at Term Maintenance Contract actual costs, plus staff time		

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Other licences			
Skips - 4 week period – or part thereof	£ 30	£ 31	Increased in line with adjacent authorities
Skips - Extension for 4 weeks or part thereof	£ 30	£ 31	2% rounded increase applied
Scaffolds/Hoardings - 4 week period – or part thereof	£ 65	£ 67	2% rounded increase applied
Scaffold/Hoarding - Extension for 4 weeks or part thereof	£ 65	£ 67	2% rounded increase applied
Building Material on the Highway - per week	£ 30	£ 31	2% rounded increase applied
Permit for new access	£ 56	£ 57	2% rounded increase applied
Annual			
To place benches, chairs, tables etc in Highway (initial fee to make or amend license)	£ 168	£ 172	2% rounded increase applied
Benches, chairs, tables etc in Highway (annual renewal fee)	£ 59	£ 60	2% rounded increase applied
License to Cultivate	£ 61	£ 62	2% rounded increase applied
License to Cultivate (historical)	£ 25	£ 26	2% rounded increase applied
Other Charges			
Request for accident data – enquiry with no accidents	£ 40	£ 41	2% rounded increase applied
Request for accident date (raw data – any enquiry up to 50 accidents) (N.B. to commercial organisations – cost to litigants)	£ 80	£ 81	Plus F.O.I. duplicating & postage charges
Request for accident date (major enquiry – over 50 accidents) (N.B. to commercial organisations – cost to litigants)	Cost plus F.O.I. duplicating & postage charges		
Speed Surveys	£ 327	£ 334	2% rounded increase applied
Post for speed survey	Post at Term Maintenance Contract actual costs, plus staff time		
Section 38, 278 and 106 Agreements	11% to £100,000 then 5% plus legal fees (as quoted in Appendix - Resources)		
Road Closures and Traffic Management			
Temporary Road Closure Application	£ 480	£ 490	2% rounded increase applied. Waived for non-profit organisations
Temporary Traffic Regulation Order Application	£ 480	£ 490	2% rounded increase applied. Waived for non-profit organisations
Emergency Road Closure	£ 480	£ 490	2% rounded increase applied
Advertising Fees for Temporary Traffic Regulation Order		-	Dependant on actual advertising costs. Between £150-250
Posting of Notice on site	£ 50	£ 51	
Removal of Notice on site	£ 50	£ 51	
Turning off of traffic signals for required works	£ 225	£ 229	
Traffic Regulation Orders on / for new development			Highway's staff time, advertising, works at cost, legal fees plus duplication and postage as required
Rights of Way Orders			Highway's staff time, advertising, works at cost, legal fees plus duplication and postage as required

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Materials ordered on behalf of third parties (e.g. Parish Councils)	Cost of materials plus 5% administration		
Deposits under s31 of the Highways Act	Highway's staff time, advertising, works at cost, legal fees plus duplication and postage as required		
Filling of Parish Grit Bins	£ 54	£ 55	2% rounded increase applied
The Removal, Storage and Disposal of Structure with Highway ie Caravan, Highways Act 1980			
Removal of vehicles equal to or less than 3.5 tonnes:			
Vehicle on road, upright and not substantially damaged or any two wheeled vehicle	£ 150	£ 153	2% rounded increase applied
Vehicle on road but either not upright, substantially damaged or both	£ 250	£ 255	2% rounded increase applied
Vehicle off road, upright and not substantially damaged	£ 200	£ 204	2% rounded increase applied
Vehicle off road but either not upright, substantially damaged or both	£ 300	£ 306	2% rounded increase applied
Storage of vehicles equal to or less than 3.5 tonnes	£ 20	£ 20	2% rounded increase applied
Disposal of vehicles equal to or less than 3.5 tonnes	£ 75	£ 76	2% rounded increase applied
Road Traffic Accidents			
If fatal and no-one convicted of an offence			
Otherwise, minimum charge	£ 99	£ 101	Calculated at cost plus 5% administration. 2% increase applied but rounded
Charges for Definitive Map Extracts			
A4, first copy	£ 44	£ 45	Each additional copy £8.40. 2% rounded increase applied but rounded
A3, first copy	£ 62	£ 63	Each additional copy £10.40. 2% rounded increase applied but rounded
A2, first copy	£ 75	£ 77	Each additional copy £12.70. 2% rounded increase applied but rounded
A1, first copy	£ 82	£ 83	Each additional copy £14.80. 2% rounded increase applied but rounded
Section 74 Traffic Management Act 2004			
Fixed Penalty Notices	£ 120	£ 120	Fixed Fee. As per code of practice (set by central government/as revised by The Traffic Management Act)
Discounted rate	£ 80	£ 80	Fixed Fee. As per code of practice (set by central government/as revised by The Traffic Management Act)
Charges for landowner statements made under section 31(6) of the Highways Act. These may be waived at the			
Deposit of a Statement and Map under section 31(6) of the Highways Act.	£ 200	£ 175	The legislation has changed so that there is no longer a requirement to post on site notices hence the price has been reduced by the cost of one notice (which was previously included).A4 (Fee varies by size of application map)
	£ 225	£ 195	A3
	£ 250	£ 210	A2
	£ 275	£ 225	A1
	£ 300	£ 235	A0

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Additional deposit notice (price per notice). Each application (fee) includes provision of a single notice. Deposits with multiple parcels of land will require additional notices	£ 25	n/a	* The legislation has changed so that there is no longer a requirement to post on site notices hence the charges no longer apply. A4 (Fee varies by size of notice map)
	£ 30	n/a	A3
	£ 40	n/a	A2
	£ 50	n/a	A1
	£ 65	n/a	A0
Additional fee for joint applications made under s31 Highways Act 1980 AND s15A of the Commons Act 2006			
Charge added to fees described above	£ 25	£ 25	

Parking				
Penalty Charge Notices				
Higher Level Penalty	£ 70	£ 70	Dependent on the car parking contravention (eg parking in a restricted street during prescribed hours)	
Lower Level Penalty	£ 50	£ 50	Dependent on the car parking contravention (eg parked after the expiry of paid for time)	
Oakham car park tariffs				
0.5 hour (Short Stay = SS)	£ 0.40	£ 0.40	The new charges are based on a rate of 80p per hour and bring Uppingham charges in line with Oakham. Parking charges were last increased in 2013. The same discount percentage will be applied to any charges that are currently discounted (e.g. the 50% discounted permits for the residents car park on Barleythorpe road).	
0.5 (Long Stay = LS)	£ 0.30	£ 0.40		
1 (SS)	£ 0.70	£ 0.80		
1 (LS)	£ 0.60	£ 0.80		
2 hours (SS)	£ 1.50	£ 1.60		
2 (LS)	£ 1.30	£ 1.60		
3 (SS)	£ 2.00	£ 2.40		
3 (LS)	£ 1.90	£ 2.40		
4 (SS)	£ 3.50	£ 3.20		
+3(LS)	£ 3.25	£ 4.00		
Weekly (Monday - Friday = MF)	£ 10.00	£ 15.00		
Weekly (Monday - Saturday = MS)	£ 12.00	£ 18.00		
Season (MF)	£ 385.00	£ 432.00		
Season (MS)	£ 440.00	£ 520.00		
Dispensation (week)	£ 10.00	£ 25.00		
Resident Permit	£ 25.00	£ 40.00		
Uppingham car park tariffs				
0.5 hour (SS)	£ -	£ 0.40		
0.5 (LS)	£ -	£ 0.40		
1 (SS)	£ 0.30	£ 0.80		
1 (LS)	£ 0.30	£ 0.80		
2 hours (SS)	£ 1.00	£ 1.60		
2 (LS)	£ 1.00	£ 1.60		
3 (SS)	£ 2.00	£ 2.40		
3 (LS)	£ 2.00	£ 2.40		
4 (SS)	£ 3.00	£ 3.20		
+3(LS)	£ 3.00	£ 4.00		
Weekly (MF)	£ -	£ 15.00		
Weekly (MS)	£ -	£ 18.00		
Season (MF)	£ -	£ 432.00		
Season (MS)	£ -	£ 520.00		
Dispensation (week)	£ 10.00	£ 25.00		
Resident Permit	£ 25.00	£ 40.00		
Transport				
Home to School Transport				
Concessionary fare -Students living in Rutland and attending their qualifying school but lives under the 2 mile primary or 3 mile secondary distance criteria	£97 per year or £32 per double term	£99 per year or £33 per double term	2% rounded increase applied	
Concessionary fare -Students living in Rutland but not attending their qualifying school	£259 per year or £86 per double term	£263 per year or £88 per double term	2% rounded increase applied	

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Concessionary fare -Students living outside Rutland	£483 per year or £161 per double term	£493 per year or £164 per double term	2% rounded increase applied
Denominational transport – students attending a denominational school outside Rutland	£640 per year	£653 per year	2% rounded increase applied
Replacement bus pass	£ 5	£ 5	
Post 16 Transport			
Student charge – for receiving assisted transport.	£ 339	£500/346	£500 proposed increase subject to outcome from public consultation which closes 27th January 2017. Alternatively if increase from September 2017 is not supported then 2% rounded increase
Concessionary Travel			
English National Concessionary Travel pass – Access & Freedom travel schemes. Initial and replacing life expired passes		Free	Statutory duty to provide a free pass to qualifying residents.
English National Concessionary Travel pass – Access & Freedom travel schemes. Issue of replacement passes for lost or stolen passes		£ 5	
Delivery charges			
Delivery of post/meals to schools/colleges and voluntary organisations within Rutland	£10 standard hourly charge plus 0.25p per mile of full journey	£10 standard hourly charge plus 0.25p per mile of full journey	Charges to cover costs of staff time, vehicle maintenance and fuel.
Development Control and Building Control			
Copies of planning documents	As reprographics charges	As reprographics charges	The charge should be on a cost recovery basis only and has been brought in line with corporate charges.
Copy Decision Notices (No charge for Parish Councils)	As reprographics charges	As reprographics charges	The charge should be on a cost recovery basis only and has been brought in line with corporate charges.
Planning Application Fees			Fees are set nationally. No decision at present whether there will be any increase in 17/18.
Preliminary Planning Advice			
Major Commercial	£ 260	£ 265	£191 where no meeting required. 2% increase applied
Minor commercial, minerals and waste, and wind or solar installations	£ 187	£ 191	£126 where no meeting required. 2% increase applied
Householder and other applications	£ 62	£ 63	2% increase applied
Strategic Residential	£ 499	£ 509	£381 where no meeting required. 2% increase applied
Major Residential or Strategic Commercial	£ 374	£ 381	£250 where no meeting required. 2% increase applied
Minor Residential	£ 187	£ 191	£126 where no meeting required. 2% increase applied
Additional time (in excess of 3hrs) & communications requested by applicant (per hour)	£ 68	£ 69	2% increase applied
High Hedge Complaint	£ 394	£ 402	2% increase applied
Building Control Fees			Fees are calculated on a case by case basis dependent upon the complexity and size of the works.
Planning Policy			
Local Plan			
Rutland Core Strategy - Adopted July 2011	£ 28	£ 29	2% increase applied
Minerals Core Strategy & Development Control Policies DPD - Adopted October 2010	£ 28	£ 29	2% increase applied

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Site Allocations & Policies Document - Adopted October 2014	£ 36	£ 37	Charge reflects printing costs and is on comparable level to other document charges.
Neighbourhood Plans	£ 11	£ 11	Charge reflects printing costs and is on comparable level to other document charges.
Supplementary Planning Documents	£ 11	£ 12	2% increase applied
Local Development Scheme (August 2015)	£ 11	£ 12	2% increase applied.
Statement of Community Involvement (January 2014)	£ 11	£ 12	2% increase applied.
Annual Monitoring Report 1 April 2015-31 March 2016 (November 2016)	£ 11	£ 12	2% increase applied. Dates amended
Conservation Area Appraisals	£ 11	£ 12	2% increase applied
Housing Strategy			
Homelessness Review	£ 11	£ 12	2% increase applied. Date removed as replacement document due.
Housing Strategy	£ 11	£ 12	2% increase applied. Date removed as replacement document due.
Homelessness Strategy	£ 11	£ 12	2% increase applied. Date removed as replacement document due.
Tenancy Strategy - Adopted January 2013	£ 2	£ 2	2% increase applied
Self-build and Custom Housebuilding Register			
New entry to the register		£ 75	New charge, permitted under the Self-build and Custom Housebuilding (Time for Compliance and Fees) Regulations 2016, to help cover the costs of administering the register.
Museum			
Standard charge			
Photocopying & Printouts			
A4 B&W per side	£ 0.10	£ 0.10	2% increase applied and rounded
A3 B&W per side	£ 0.20	£ 0.20	2% increase applied and rounded
Printouts requiring staff scanning			
A4	£ 4.50	£ 4.60	2% increase applied and rounded
A3	£ 5.00	£ 5.10	2% increase applied and rounded
Photographic Paper (in addition to any copying charges)			
A4 per sheet	£ 0.50	£ 0.55	2% increase applied and rounded
Laminating (in addition to any copying charges)			
A5 pouches	£ 1.00	£ 1.00	2% increase applied and rounded
A4 pouches	£ 1.10	£ 1.10	2% increase applied and rounded
A3 pouches	£ 1.50	£ 1.50	2% increase applied and rounded
Research Fees			
First 30 minutes			
Subsequent time, per hour	£ 28	£ 29	2% increase applied and rounded
Postage, Packing and Handling			
All sales	£ 2.60	£ 2.65	2% increase applied and rounded
Photography Facility Fee			
Client's own still photography on museum premises for commercial purposes, per hour			
	£ 31	£ 32	2% increase applied and rounded
Client's own video filming on museum premises for commercial purposes, per hour			
	£ 57	£ 58	2% increase applied and rounded
Loan of items for photography elsewhere, per day			
	£ 50	£ 51	2% increase applied and rounded
Commercial Use Fees			
Still image, per use			
	£ 33	£ 33	2% increase applied and rounded
Video footage, per use			
	£ 64	£ 65	2% increase applied and rounded
Educational or academic publications			
Still image, per use			
	£ 15	£ 15	2% increase applied and rounded
Video footage, per use			
	£ 33	£ 33	2% increase applied and rounded

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Storage of archaeological items (per standard box)	£ 29	£ 50	Increase to reflect pressure on storage
Storage of paper archive (per standard box)	-	£ 30	New rate
Hire charges			
Use during opening hours, per half day			
Standard charges			
Museum: Colonel Noel Suite	£ 55	£ 60	2% increase applied and rounded
Oakham Castle: Court No.1	£ 100	Not available	Castle meetings no longer available during opening hours
Oakham Castle: Great Hall (maintaining public access)	£ 200	Not available	Castle meetings no longer available during opening hours
Affiliates rates			
Museum: Colonel Noel Suite	£ 30	£ 30	2% increase applied and rounded
Oakham Castle: Court No.1	£ 50	Not available	Castle meetings no longer available during opening hours
Oakham Castle: Great Hall (maintaining public access)	£ 100	Not available	Castle meetings no longer available during opening hours
Use outside of opening hours, per hour (plus 1 hour for set up and tidy up)			
Standard charges			
Museum: Colonel Noel Suite			
Weekdays	£ 34	£ 35	2% increase applied and rounded
Saturdays	£ 42	£ 43	2% increase applied and rounded
Sundays	£ 55	£ 57	2% increase applied and rounded
Museum: Riding School & Gallery			
Weekdays	£ 49	£ 50	2% increase applied and rounded
Saturdays	£ 57	£ 60	2% increase applied and rounded
Sundays	£ 68	£ 70	2% increase applied and rounded
Oakham Castle: Great Hall & Court No.1			
Weekdays	£ 57	£ 60	2% increase applied and rounded
Saturdays	£ 68	£ 70	2% increase applied and rounded
Sundays	£ 94	£ 95	2% increase applied and rounded
Use outside of opening hours, per hour (plus 1 hour for set up and tidy up)			
Affiliates rates			
Museum: Colonel Noel Suite			
Weekdays	£ 27	£ 28	2% increase applied and rounded
Saturdays	£ 33	£ 34	2% increase applied and rounded
Sundays	£ 43	£ 44	2% increase applied and rounded
Museum: Riding School & Gallery			
Weekdays	£ 38	£ 39	2% increase applied and rounded
Saturdays	£ 44	£ 45	2% increase applied and rounded
Sundays	£ 50	£ 51	2% increase applied and rounded
Oakham Castle: Great Hall & Court No.1			
Weekdays	£ 45	£ 46	2% increase applied and rounded
Saturdays	£ 50	£ 51	2% increase applied and rounded
Sundays	£ 71	£ 73	2% increase applied and rounded
Use of castle grounds			Price on application
Hire of display screens and/or cases, per event (client to insure and provide transport)	£ 37	£ 38	2% increase applied and rounded

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Civil Ceremonies at Oakham Castle: including Marriages, Civil Partnerships, Naming and Memorial Ceremonies			
Use of Great Hall, including photography permit			
Monday-Thursday	£ 410	£ 600	Costs relate to the hire of the venue only and do not include the cost of civil ceremony. Significant price rise suggested to bring us into line with market place.
Friday & Saturday	£ 510	£ 750	Costs relate to the hire of the venue only and do not include the cost of civil ceremony. Significant price rise suggested to bring us into line with market place.
Sunday	£ 530	£ 600	Costs relate to the hire of the venue only and do not include the cost of civil ceremony. Significant price rise suggested to bring us into line with market place.
Use of grounds only, for photography	£ 70	£ 75	
Drinks Reception Package	-	£ 250	New package
Cancellation charges:			
More than two months in advance	£ 100	£ 100	
Less than two months in advance	50% of charge	50% of charge	
Less than 72 hours notice	Full charge	Full charge	
Registrars			
The majority of Registrars Fees are set nationally, however there are discretionary			
The majority of Registrars Fees are set nationally, however there are discretionary charges in the following areas.			
Wedding and Civil Partnership Ceremonies at Approved Premises (fee			
Monday - Thursday	£ 310	£ 320	2% increase applied and rounded
Friday	£ 330	£ 340	2% increase applied and rounded
Saturday	£ 410	£ 420	2% increase applied and rounded
Sundays & Bank Holidays	£ 500	£ 510	2% increase applied and rounded
Non-Refundable Deposit	10% of fee	£ 50	
Licence Fee for Approval of Premises	£ 1,500	£ 1,550	2% increase applied and rounded
Licence Amendment Fee	£ 200	£ 200	2% increase applied and rounded
Use of the decommissioned Register Office room as an Approved Premises			
Monday - Thursday	£ 120	£ 150	Price increased following facilities improvements
Friday	£ 150	£ 200	Price increased following facilities improvements
Saturday	£ 175	£ 250	Price increased following facilities improvements
Sundays & Bank Holidays	£ 245	£ 300	Price increased following facilities improvements
Non-Refundable Deposit	10% of fee	£ 50	
Fee for diary amendment to an Approved Premise or Decommissioned Room ceremony	£ 20	£ 20	
Library			
Overdue charges (Daily rate)			
Books and Audiobooks (Loan Period 21 days)			
Standard	£ -	£ -	Accounts blocked after 12 weeks to prevent abuse / non-return
Concessions	£ -	£ -	Accounts blocked after 12 weeks to prevent abuse / non-return
Ebooks			Automatically expire therefore no overdues are charged
Lost or damaged items			
Full replacement cost of the item, plus a fixed administration fee of £1.00 Under 5s are exempt from damage charges			
Requests			
Standard	£ 1.00	N/A	Abolish rate, see below
Concessions	£ 0.50	N/A	Abolish rate, see below
Children	£ 0.50	N/A	Abolish rate, see below
Requests for Rutland residents		£ 1.50	New rate
Requests for non-Rutland residents		£ 5.50	New rate - we have been receiving significant numbers of Leicestershire residents using the Rutland service
Requests for items that are in stock or on order are free			

Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Concessions - on production of appropriate evidence			
Concessionary charges are available on overdue items and requests for:			
Full time students			
Persons who are aged 60 and over			
Persons who are receiving Income Support, Job Seeker's Allowance, Incapacity Benefit, Employment and Support Allowance, Personal Independence Payment or Disability Living Allowance			
Exemptions			
Persons who are housebound; blind; partially sighted; or have reading difficulties, are exempted from overdue, request and loan charges			
Service charges - no exemptions or concessions			
Compact Discs (7 day loan)	£ 0.50	£ 0.50	Propose to retain present competitive rate
DVDs & Bluray (7 day loan)	£ 2.00	£ 2.00	Propose to retain present competitive rate
Childs "U" Cert. DVDs & Bluray (7 day loan)	£ 1.00	£ 1.00	Propose to retain present competitive rate
Self-service Printer/Photocopier			
B&W A4 per side	£ 0.10	£ 0.10	Coin operated
Colour A4 per side	£ 1.00	£ 1.00	Coin operated
B&W A3 per side	£ 0.20	£ 0.20	Coin operated
Colour A3 per side	£ 1.50	£ 1.50	Coin operated
Fax (Standard rated VAT inclusive)			
United Kingdom			
First page	£ 1.70	£ 1.80	2% increase applied and rounded
Each subsequent page	£ 1.10	£ 1.20	2% increase applied and rounded
Non-United Kingdom & Premium Rate			
First page	£ 4.50	£ 4.60	2% increase applied and rounded
Each subsequent page	£ 2.30	£ 2.40	2% increase applied and rounded
To receive, per sheet	£ 1.00	£ 1.00	2% increase applied and rounded
Postage, Packing and Handling			
All sales	£ 2.60	£ 2.65	2% increase applied and rounded

Resources Fees and Charges 2017/18			
Item	2016/17	2017/18 Proposal	Additional information
Reprographics Service			
Fees and charges for ad-hoc copying of information subject to the Local Government (Access to Information) Act 1985 and for information requested under the Freedom of Information Act:			
Price per copy	£0.10	£0.10	No increase applied
Data Protection			
Subject Access Request Fee	£10.00	£10.00	No increase as statutory charge
Blue Badge Scheme			
Fee per application	£10.00	£10.00	No increase as statutory charge
Legal services			
Highways			
Section 38 Agreement	£559	£570	2% increase applied
Section 278 Agreement	£559	£570	2% increase applied
Planning Unilateral Undertaking	£326	£333	Authority for Head of Legal Services to increase to maximum £810 (from £795) to reflect complexity. 2% increase applied
Planning Section 106 Agreement	£598	£610	Authority for Head of Legal Services to increase by £157 (from £154) per hour or part thereafter over 3 hours. 2% increase applied
Planning Section 106 Agreement - Affordable Housing	£583	£595	Authority for Head of Legal Services to increase by £157 (from £154) per hour or part thereafter over 3 hours
Highway Road Closure (Charitable Organisations events e.g. Street Markets)	£68	£69	Plus cost of advertising Public Notices. 2% increase applied
Highways Road Closures (for works) & advertising (2 Notices)	£486	£496	2% increase applied
Licences to Cultivate Highway Verges (except requests from Charitable Organisations and Parish Authorities)	£68	£69	2% increase applied
Licences to place items in the Highway for enhancement purposes (except requests from Charitable Organisations and Parish Authorities)	£68	£69	2% increase applied
Diversions, extinguishments and creations of public rights of way	£1,672	£1,705	Actual costs up to this maximum plus advertising. 2% increase applied.

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RUTLAND SCHEDULE-RECOMMENDED SCALE OF FEES AND EXPENSES PAYABLE IN RESPECT OF LOCAL ELECTIONS AND REFERENDUMS FOR 2017/18

DESCRIPTION OF DUTIES	2016/17	2017/18 Proposal
Returning Officer's fee (including all disbursements and expenses not otherwise provided for in this scale) in the case of a contested election for all duties preparatory to taking the Poll and the Counting of the votes and making the return of the election where the election is for Parish Councillors only:		
1. For one Parish	132.98	£132.98
2. For each additional Parish up to 10	92.93	£92.93
3. For each additional Parish over 10	65.94	£65.94
4. Additional payment where a Parish is divided into Wards, for each Ward	22.09	£22.09
Returning Officer's fee (including all disbursements and expenses not otherwise provided for in this scale) in the case of a contested election for all duties preparatory to taking the Poll and the Counting of the votes and making the return of the election where the elections are for District Councillors and Parish Councillors on the same day:		
1. For one Parish	105.99	£105.99
2. For each additional Parish up to 10	88.85	£88.85
3. For each additional Parish over 10	53.55	£53.55
4. Additional payment where a Parish is divided into Wards, for each Ward	13.16	£13.16
Returning Officer's fee (including all disbursements and expenses not otherwise provided for in this scale) in the case of an election which is uncontested:		
1. Each Parish or Ward of a Parish separately returning a Councillor or Councillors	25.19	£25.19
2. Additional payment where a Parish is divided into Wards, for each ward	13.16	£13.16
3. Printing and providing nomination forms, notices, ballot papers and other forms and documents required in and about the election or poll	50	£50.00

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RUTLAND SCHEDULE-RECOMMENDED SCALE OF FEES AND EXPENSES PAYABLE IN RESPECT OF LOCAL ELECTIONS AND REFERENDUMS FOR 2017/18

DESCRIPTION OF DUTIES	2016/17	2017/18 Proposal
Deputy Returning Officers' fee for DRO with full powers to be a matter of local determination. Authorities in calculating the amount that DRO's receive are advised to take into account the level of duties actually undertaken, current budgetary constraints and any other payment made to the DRO arising out of their duties in connection with the election	Discretionary, but recommended equivalent to 50% of the RO fee	Discretionary, but recommended equivalent to 50% of the RO fee
All equipment to be purchased, hired, altered or repaired	Actual and necessary cost	Actual and necessary cost
Hire and fitting up of Polling Stations including heating, lighting, cleaning and damages and expenses paid in respect thereof	Actual and necessary cost	Actual and necessary cost
Stationery at Polling Stations	Actual and necessary cost	Actual and necessary cost
Printing and providing nomination forms, notices, ballot papers and other forms and documents required in and about the election or poll	Actual and necessary cost	Actual and necessary cost
Returning Officers' travelling expenses and those of Presiding Officer's and Poll Clerks	Casual Users' rate as laid down by the N.J.C. or local agreement	Casual Users' rate as laid down by the N.J.C. or local agreement
Conveyance of Ballot Boxes	Actual and necessary cost	Actual and necessary cost
Each Presiding Officer 7.00 am to 10.00 pm Poll	195	£195.00
Each Poll Clerk 7.00 am to 10.00pm Poll	115	£115.00
Each part-time Poll Clerk where acting for 4 hours	35.48	£35.48
Remuneration of persons employed at the Counting of Votes at the Election: 1. For each 500 local government electors or part thereof on the Register of Electors used at the election	32.42	£32.42

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RUTLAND SCHEDULE-RECOMMENDED SCALE OF FEES AND EXPENSES PAYABLE IN RESPECT OF LOCAL ELECTIONS AND REFERENDUMS FOR 2017/18

DESCRIPTION OF DUTIES	2016/17	2017/18 Proposal
2. Where the number of candidates exceeds three, for every three candidates or part thereof	32.42	£32.42
3. Allowance for a recount per parish (single member)	53.7	£53.70
4. Allowance for a recount per parish (multiple members)	107.41	£107.41
For the production and printing of postal ballot packs	Actual and necessary cost	Actual and necessary cost
Remuneration of persons employed for the purpose of in house despatch of postal ballot papers:		
1. Postal Vote Supervisor Fee – per hour – standard rate	10	£10.00
2. Postal Vote Assistant Fee – per hour – standard rate	7.5	£7.50
Remuneration of persons employed for the purpose of in house receipt of postal ballot papers:		
1. Postal Vote Supervisor Fee – per hour – standard rate	12.5	£12.50
2. Postal Vote Assistant Fee – per hour – standard rate	7.5	£7.50
Clerical assistance	Actual and necessary cost	Actual and necessary cost
For the employment of persons in connection with the preparation, completion and issue of Official Poll Cards , for every 100 cards or fraction thereof issued	10.37	£10.37
For the attendance at training of persons employed at the election – per category of job, subject to local conditions and annual review	30	£30.00
For printing and providing Official Poll Cards	Actual and necessary cost	Actual and necessary cost
For general stationery, postages and miscellaneous expenses	Actual and necessary cost	Actual and necessary cost

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